



Light Addressable Gold Electrodes

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Zusammenfassung

Das Ziel dieser Dissertation war die Herstellung von Licht unterstützten potentiometrischen Sensoren (engl. Light Amplified Potentiometric sensors, LAPS) mit Halbleiter Nanopartikeln (Quantenpunkte) anstelle einer kontinuierlichen Halbleiterschicht. Quantenpunkte (engl. Quantum Dots, QDs) eignen sich hierfür besonders aufgrund ihrer überragenden fluoreszierenden, elektrischen und katalytischen Eigenschaften. Im Gegensatz zu Sensoren mit einer kontinuierlichen Halbleiterschicht sind diese Sensoren klein, leicht und zudem ist der Stromverbrauch sehr viel geringer.

Hierzu wurden die QDs auf einem Gold-Substrat (Au-Substrat) mit Hilfe von Benzo-1,4-dithiol (BDT) immobilisiert. Zunächst wurde eine selbstorganisierte Monolage (engl. self-assembled monolayer, SAM) von BDT auf dem Au-Substrat gebildet. Die Leitfähigkeit des Au-Substrates verringert sich dramatisch aufgrund des gebildeten SAM. Über die BDT-Moleküle wurden die QDs auf dem Au-Substrat verankert. Bei der Anregung der auf der Au-Oberfläche immobilisierten QDs (QD/Au) durch UV Licht werden Elektron-Loch-Paare in den QDs generiert. Oberflächendefekte der QDs führen zu langlebigen Elektron-Loch-Paaren. Bei Anlegen einer gewissen Spannung an die Au-Oberfläche können Elektronen durch die BDT Schicht tunneln und von den QDs abgegeben oder aufgenommen werden. Daher kann ein Kathoden- oder Anodenstrom, abhängig vom Richtpotential, bei gleichzeitiger Beleuchtung beobachtet werden. Ohne die Beleuchtung wirkt die QD/Au Elektrode als Isolator.

Zur Verbesserung des Aufbaus wurden verschiedene Modifikationen, wie verschiedene Substrate (Au aus Lösung abgeschieden auf Glas oder Glimmer Platten und aufgedampft auf SiO₂/Si Oberflächen) und verschiedene Dithiol-Moleküle (geschütztes und ungeschütztes Biphenyl-4,4'-dithiol und geschütztes und ungeschütztes 4,4'-Dimercaptostilbene) vorgenommen und untersucht. Auch wurden verschiedene QD Immobilisierungstechniken (normale Inkubation, Drehbeschichtung, Schichtweise Adsorption von Polyelektrolyten (engl. layer by layer assembly, LbL) und Hitzeimmobilisierung) eingesetzt.

Mit diesem Aufbau können elektrochemisch verschiedene Analyten detektiert werden, je nach eingearbeiteten QDs. Cadmiumsulfid (CdS) QDs können beispielsweise 4- Aminophenol, ein Produkt der enzymatischen Reaktion von alkaliner Phosphatase mit p-Aminophenylphosphat, nachweisen. Anschließend wurde diese Reaktion mit einer CdS/Au Elektrode untersucht wobei einerseits die Enzym-Substrat Reaktion in der Elektrolytlösung stattfand und andererseits das Enzym mittels LbL auf der Oberfläche der QDs fixiert wurde. Mit einer anderen Art von CdS-FePt Dimer QDs wurde der Nachweis von Wasserstoffperoxid (H₂O₂) erbracht. Bei der normalen CdS/Au Elektrode wurde kein Einfluss durch H₂O₂ festgestellt, lediglich bei Anwesenheit von Pt innerhalb der QDs wurde H₂O₂ über die Redox Reaktion selbst bei einer Spannung von -100mV detektiert.

Abstract

The main objective carried out in this dissertation was to fabricate Light Amplified Potentiometric sensors (LAPS) based upon the semiconductor nanoparticles (quantum dots) instead of its bulk form. Quantum dots (QDs) were opted for this device fabrication because of their superior fluorescent, electric and catalytic properties. Also in comparison to their bulk counterparts they will make device small, light weighted and power consumption is much lower.

QDs were immobilized on a Au substrate via 1,4 benzene dithiol (BDT) molecule. Initially a self-assembled monolayer (SAM) of BDT was established on Au substrate. Because of SAM, the conductivity of Au substrate decreased dramatically. Furthermore QDs were anchored with the help of BDT molecule on Au substrate. When QDs immobilized on Au substrate (QD/Au) via BDT molecule were irradiated with UV-visible light, electron-hole pairs were generated in QDs. The surface defect states in QDs trapped the excited electrons and long lived electron-hole pairs were formed. By the application of an appropriate bias potential on Au substrate the electrons could be supplied or extracted from the QDs via tunneling through BDT. Thus a cathodic or anodic current could be observed depending upon bias potential under illumination. However without light illumination the QD/Au electrode remained an insulator.

To improve the device different modifications were made, including different substrates (Au evaporated on glass, Au evaporated on mica sheets and Au sputtered on SiO₂/Si) and different dithiol molecules (capped and uncapped biphenyl 4,4' dithiol and capped and uncapped 4,4' dimercaptostilbenes) were tried. Also different QD immobilization techniques (normal incubation, spin coating, layer by layer assembly (LbL) of polyelectrolytes and heat immobilization) were employed.

This device was able to detect electrochemically different analytes depending upon the QDs incorporated. For example CdS QDs were able to detect 4-Aminophenol, a product of an enzymatic reaction of Alkaline Phosphatase with p-Aminophenyl Phosphate. Subsequently this reaction was observed at CdS/Au electrode, by enzyme-substrate reaction within the electrolyte solution, and also by immobilizing the enzyme on top of QDs via LbL assembly of polyelectrolytes. With another kind of CdS-FePt dimer QDs, detection of hydrogen peroxide (H₂O₂) was demonstrated. Only at CdS/Au electrode there was no impact made by H₂O₂ but with the presence of Pt within QDs H₂O₂ was detected via reduction even at a bias potential of -100mV.

*Dedicated to Mom, Dad
and Raazia*

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List of Abbreviations:

Light amplified potentiometric sensor	LAPS
Nanoparticles	NPs
Quantum dots	QDs
Light emitting diode	LED
Gold	Au
1,4 Benzene dithiol	BDT
Self-assembled monolayers	SAMs
Layer by layer	LbL
Single walled carbon nanotube	SWCNT
Förster Resonance energy transfer	FRET
Alkaline Phosphatase	ALP
p-nitrophenyl phosphate	p-NPP
p-aminophenyl phosphate	p-APP
4-aminophenol	4-AP
Quinone Amine	QI

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Introduction

1 Preface:

It is a commutative work which consists of two major parts: an introductory chapter which reviews different topics that are a part of this research work and the publications that summarize results.

The introduction chapter first reviews nanotechnology and methods to fabricate nanoparticles of different materials. Then it surveys the quantization effects in solids at low dimensions, e.g. how semiconductor nanoparticles (NPs) or quantum dots (QDs) attain way superior photo-physical properties in comparison to bulk semiconductor. Applications of QDs in diverse fields are summarized. Biosensors; their principle parts and detection mechanisms are reviewed. Incorporation of QDs within biosensors, their advantages and their potential applications are discussed.

The second part of this dissertation enlists author's publications that summarize results and contribution to this research field.

2 Nanotechnology:

The unit of nanometer (nm) derives its prefix nano from a Greek word meaning dwarf or extremely small. The materials with at least one characteristic dimension between 1-100nm are termed as nanomaterials. At such small dimensions, due to a number of reasons, the properties of the materials are governed by atomic and quantum phenomena, which is not the case in the bulk materials.

1nm spans 3-5 atoms lined up in a row. For a crystal composed of a few atoms, the number of atoms lying at the crystal's surface will be a significant fraction of the total number of atoms within the crystal. So the physical, chemical and electronic properties of the nanomaterials are different from their bulk counter parts. This variation in properties arises from the surface effects and quantum size effects [1].

In case of metals for example, nanoparticles (NPs) of gold of diameter~100nm or smaller appear red (not golden) when suspended in colorless media [2, 3]. Gold NPs of diameter less than 3nm are no longer noble and un-reactive, but can catalyze chemical reactions [4, 5]. Similarly the catalytic activity of the silver NPs is strongly dependent on particle size [6, 7]. As far as magnetic materials like ferro-magnets are concerned the coercivity increases with decreasing the particle size, however below a critical size d_c it decreases abruptly giving rise to super paramagnetism [8]. Also depending upon the method and conditions used to prepare single walled carbon nanotubes (SWCNT), they can be metallic in nature [9]. They can possess conductivity greater than copper due to the un-scattered nature of electron transport along a SWCNT [10].

The semiconductor NPs have optical properties which are tunable by changing the composition or size of NP. For this reason they are exploited for many applications from fluorescent tags [11] to lasers [12], from LED [13] to solar cells [14], impacting dramatically the development of electronic and optical devices. The research on nanostructures has been a flourishing field in chemistry, physics and material science. Because of their unique and versatile properties these NPs are probably the most studied systems.

The branch that manipulates the all above mentioned and many other properties of matter at nanoscale, to create new materials, structures and devices, is termed as nanotechnology. In fact nanotechnology has revolutionized many fronts of daily life like; new medical treatment strategies and tools are under consideration (targeted drug delivery and MRI contrast agents [15]), stronger and lighter materials (carbon nanotubes) [16], magnetic iron based alloys reduce loss of energy transmission [17] and numerous more.

2.1 Nanofabrication:

Nanofabrication methods can be divided roughly into two groups: top down and bottom up methods. Top down methods start with patterns made on a larger scale and reduce its lateral dimensions before forming nanostructures. On the other hand bottom up methods begin with atom or molecules to build up nanostructures, in some cases through smart use of self-organization.

2.1.1 Top down approach:

The top down approach has its foundation for example in lithographic techniques, in which a bulk material is selectively degraded to produce smaller, often patterned, features. For example in case of micro-lithography, light is shone through a mask to selectively etch either a positive or negative pattern into surface, yielding the desired topography. Size reductions to nanolithography are driven in large part by the computer industry, responding to the demand of smaller resistors and stronger computing power. To reach feature size <100 nm, researchers rely on shorter wavelength of light or even e-beam lithography techniques. Top down processing can also be generated through selective chemical etching, although this relies much more on the initial properties of the bulk material.

2.1.2 Bottom up approach:

In bottom up methods, the atoms and molecules are assembled into the smallest nanostructures (dimensions of typically 2 to 10 nm), by carefully controlled chemical reactions, which make this technique cheaper as compared to the lithographic method.

Self-assembly of atoms and molecules into nanostructures can be classified as a bottom up method. In nature self-assembly is often used to make complex structures. At present the mastery of self-assembly is limited to relatively simple systems. To achieve complex systems hierarchical self-assembly can assist, where the products of one self-assembly step is a base for the next one. The formation of self-assembled monolayers (SAM), that are produced when a substance spontaneously forms a molecular monolayer on a surface, could be successfully combined with standard lithographic methods to achieve large scale and better controlled structure.

In one type of bottom up synthesis, individual molecules are triggered to self-assemble into larger objects with nanoscale dimensions. The formation of micelles from individual charged lipids is a classic example of this method. In such systems, aggregate shape and size are pre-programmed through the specific features of the component molecules, often through the inclusion of selectively compatible and incompatible components. When we deliberately create molecules with such opposing segments (e.g. hydrophilic and hydrophobic; rigid and flexible; directional hydrogen-bonding or π - π stacking; etc.), multiple molecules are forced to reduce

their entropic/enthalpic balance through aggregation. Substantial advances have been made in this field of "supramolecular self-assembly" over the past 30 years.

Bottom up methods are also used, for instance, for the fabrication of carbon nanotubes (CNT) and nanoparticles. CNT can be produced by evaporation of solid carbon in an arc discharge, laser ablation or catalytic decomposition of fullerene [18].

3 *Quantum Dots:*

Semiconductive nanoparticles, having sizes comparable with the bulk exciton Bohr radius (usually less than 20 nm) are often called quantum dots (QDs). For QDs one cannot only control the electron number, but also engineer their shape and their electronic density. The ability to control the energy states of the electrons by applying a voltage has led to the exotic idea of a material whose chemical nature could be modified at will, making it emulate different elements, such as lead one time then the gold the next --- effectively programmable matter.

3.1 *From Bulk Semiconductor to a Quantum Dot*

In bulk semiconductors the de Broglie wavelength of thermalized electrons λ_B , can be stated as;

$$\lambda_B = \frac{h}{p} = \frac{h}{\sqrt{2m^*E}}$$

eq. 1

where h is Planck's constant, p is the momentum of electron, m^* is the effective mass of electron and E is the energy.

λ_B is of the order of about tens of nanometers in semiconductor compounds for carriers with typical thermal energies [19]. Thus if one dimension of the semiconductor is comparable or less than λ_B , the electrons will be confined in two dimensions, and because in this particular dimension, now the quantization effects take over the energy-momentum relation will change dramatically. This is the case for charge carriers in quantum well (QW) structure. These kind of structures are the extensively used in semiconductor laser and in amplifiers.

If two dimensions of the semiconductor are confined, the carriers can only move in one dimension and the structure formed is termed as Quantum wires (QWR). This kind of structure can be fabricated by lithographic methods.

Finally if the movement of charge carriers is confined in all three dimensions, then the resultant structure will be a Quantum dot. QDs are thus tiny clusters of semiconductor material having all three dimensions of only few nanometers.

The spatial confinement of charge carriers in lower dimensional semiconductors leads to energy-momentum relations governed by quantum mechanics. With decreasing dimensionality the density of states remain no more continuous or quasi continuous, but becomes quantized (cf [20]).

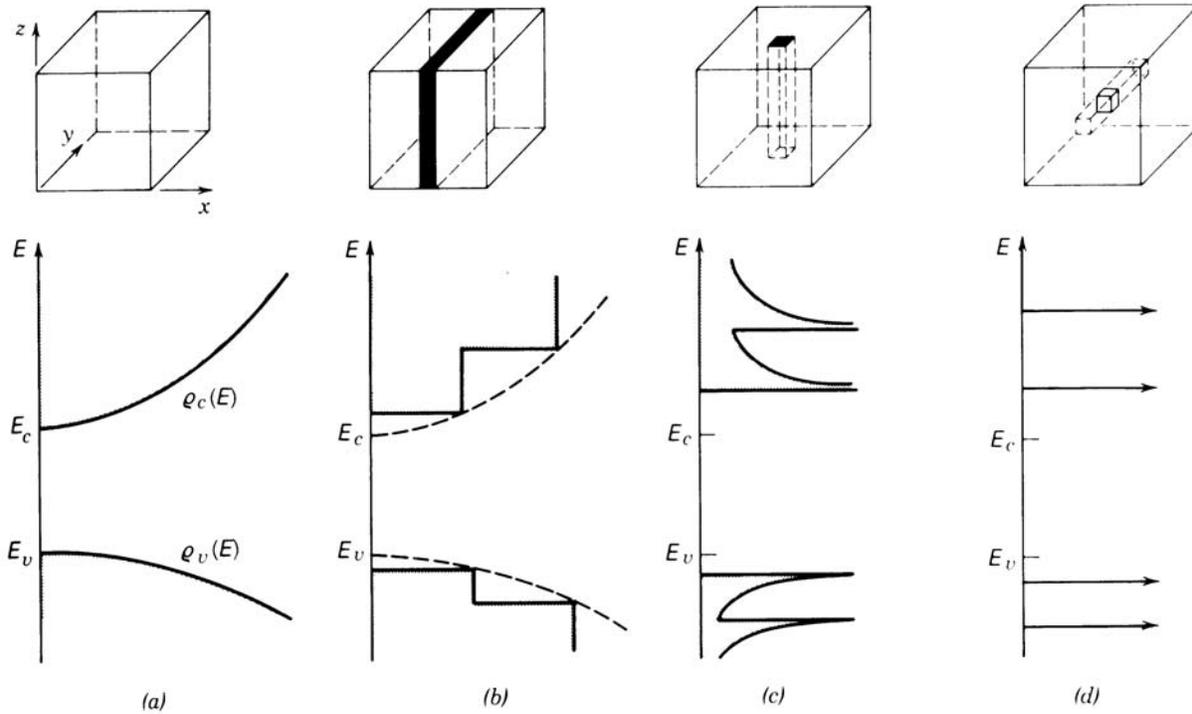


Figure 1. Comparison of the quantization of density of states: (a) bulk, (b) quantum well, (c) quantum wire, (d) quantum dot. The conduction and valence bands split into overlapping subbands, that get successively narrower as the electron motion is restricted (taken from 'Fundamental of Photonics')

In case of QDs the charge carriers occupy only a restricted set of energy states, just like electrons in an atom. For this reason QDs are sometimes referred to as 'artificial atoms', though QDs actually contain hundreds of thousands of atoms. The advantage is that, unlike atoms, QDs can be attached with the electrodes, using techniques like lithography [21], self-assembled monolayers (SAMs) [22] and electrochemical deposition [23] etc. Therefore QDs are excellent tools to study atomic like properties.

3.2 Density of states in QDs

In semiconductors in bulk form, some energy level are forbidden, defining the so called band gap, which is different for each bulk material. The band gap can also be defined as the energy required to create an electron hole pair at rest with respect to the lattice, and far enough apart so that their Coulomb attraction can be overcome. The electron-hole pair termed as exciton has a binding energy of a few meV. The pair acts as a single hydrogenic pair and is free to move in a perfect crystal. In other words such excitations are not spatially bound to a region smaller than the natural charge separation distance (Exciton Bohr radius r_B). According to the Bohr's hydrogen model the energy of exciton bound state is;

$$E_{ex} = \frac{m^*}{m_0} \frac{1}{(k\epsilon_0)^2} (13.6) eV$$

where m^* is the reduced effective mass, m_0 is the electron rest mass, ϵ_0 is the permittivity of free space and k is the dielectric constant.

The assumptions like translational symmetry and infinity of the bulk are not valid in nanocrystals. The confinement effects can be understood using quantum mechanical model of particle in a box. In other words, by considering the nanoparticles as receptacles of electrons and holes whose effective masses are the same as in the ideal infinite solid of the same stoichiometry.

The band gap energy ($E_g(\text{nano})$) required for creating an exciton in 0-dimensional system depends upon [24]; band gap energy of bulk material $E_g(\text{bulk})$, energy required for the confinement of carriers (given by eq. 3)

$$E_n = \frac{h^2 n^2}{8m^* R^2}$$

eq. 3

where R is the radius of the nanostructure. And finally on Coulomb screening interaction potential, that is proportional to $1/R$

With all three contributions the ground state solution of the stationary Schrödinger equation becomes;

$$E_g(\text{nano}) = E_g(\text{bulk}) + \frac{h^2}{8m^* R^2} - \frac{1.8q^2}{\epsilon R}$$

eq. 4

If Coulomb term affects the particle size by 10%, then according to 'eq. 4', it is possible to predict the widening of nanocrystals band gap with respect to its bulk phase. Beyond the widening of the band gap and discretization of the energy levels with reducing size, materials at low dimension experience the transformation of the density of state as function of energy. The density of electron and hole states can be expressed in the general form;

$$\rho(E) \propto E^{\frac{d}{2}-1}$$

eq. 5

Where $d=1,2,3$ is the dimensionality and the energy is measured from the bottom of conduction band for electrons and from top of the valence band for holes. In the three dimensional system $\rho(E)$ is a smooth square-root function of energy. In the case of $d=2$ and $d=1$, a number of discrete subbands appear due to the quantum confinement effect and the density of state follows 'eq. 5' within every subband. For quasi-zero dimensional system the density of states is described by a set of d -functions. As a consequence, from the density of state perspective nanocrystals lies between the discrete atomic and the bulk continuous band limit.

The macroscopic properties of nanocrystals such as the inherent size dependence of optical signals are also the result of free energy variation. The nanoparticles are typically made up of ten to thousand of atoms. The atoms get arranged so that a very high surface to volume ratio exists in comparison to bulk materials. The surface atoms contribute proportionally to the total free energy, thus the nanocrystals exhibit different thermodynamic properties with respect to their bulk counterparts. By changing the crystal size it is possible to manipulate the free energy of nanocrystals.

3.3 Structural and general properties of QDs:

The combination of low dimensionality and larger surface contribution has different effects on nanoparticle properties while compared with the bulk state [25].

The melting temperature of nanocrystals is lower than bulk phase of a material [26], [27]. This occurs because of the fact that surface energy in the liquid phase is always lower than solid phase. Consequently the surface atoms reach to the liquid state earlier, where they can minimize their energy. The decrease in the melting temperature varies as the inverse of the nanocrystals radius.

On the other hand on application of a progressive pressure nanocrystals can prove more rigid than bulk material again because of energy minimization they will be much more closely packed. But in bulk, if there are any defects (point, linear or planar), they can propagate within the lattice and under the application of a pressure the whole structure can be deformed.

The energy required to add successive charges onto an extended (bulk) crystal does not vary, but in a nanocrystal the presence of one charge acts to prevent the addition of another. So in metallic or semiconductive nanoparticles current-voltage curves of individual crystals resemble an additive step function, like a staircase. This effect is called coulomb blockage. Coulomb blockage scales as $1/R$, with R being the radius of confined structure.

The change of the optical properties is the most fascinating effect of semiconductors at low dimensions. As a result of quantum confinement effect, the band gap of QDs varies with the size of nanocrystals and is always larger than that of the bulk state. The absorption spectrum of the QD can be considered as overlapping peaks corresponding to the transition between different exciton energy levels. The wavelength corresponding to the first exciton absorption in the UV visible spectrum of a QD is called absorption onset and depends upon the size of the nanocrystal. Excited electron returns to the ground state through radiative recombination with the hole (fluorescence). Consequently tunable emission can be obtained by varying the nanocrystal size. Figure 2 depicts the increasing bandgap with the decreasing size from bulk semiconductor to a QD. It also shows different colors being emitted depending upon the size of the semiconductor material.

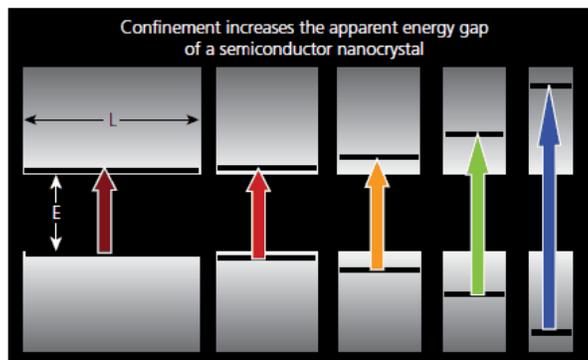


Figure 2: Schematic of the effect of the decreased size of the box on the increased energy gap of a semiconductor quantum dot, and the resultant luminescent color change from bulk materials (left) to small nanocrystals (right) (taken from sigma aldrich) [28]

QDs are characterized by narrow emission profile which is generally required for minimum overlap of emitted colors. This is one of many advantages of QDs over organic fluorophores. The bandwidth of the emission spectra expressed as full width at half maxima (FWHM) gets larger with the increasing size distribution of the QDs. Moreover it depends upon the temperature and the natural spectral line width of the QDs.

3.4 Applications of QDs:

QDs have found many applications in diverse fields like optoelectronics, nanophotonics, sensing or biology [27, 29-40].

3.4.1 Technical applications:

These applications are inspired from their attractive optical and electronic properties. The ability to tailor QDs (their shape, size and surface functionalization), improved the performance of QDs within these applications. For example the surface passivation enables higher quantum yields (QY) for QDs and therefore makes them brighter biolabels, higher stability to photo-oxidation results in a long lived device. In optoelectronic because of these superior properties QDs are employed for light emitting diodes (LEDs) [27, 41-44], solar cells [45-48] and lasers [49, 50]. QDs have potential advantage as light-absorbing materials. Efficient charge transfer from the nanocrystals to the conduction band of the wide bandgap semiconductor (TiO_2 , ZnO , Ta_2O_5) [51] in combination with high excitation coefficients in the visible spectral range makes them attractive for Grätzel type solar cell.

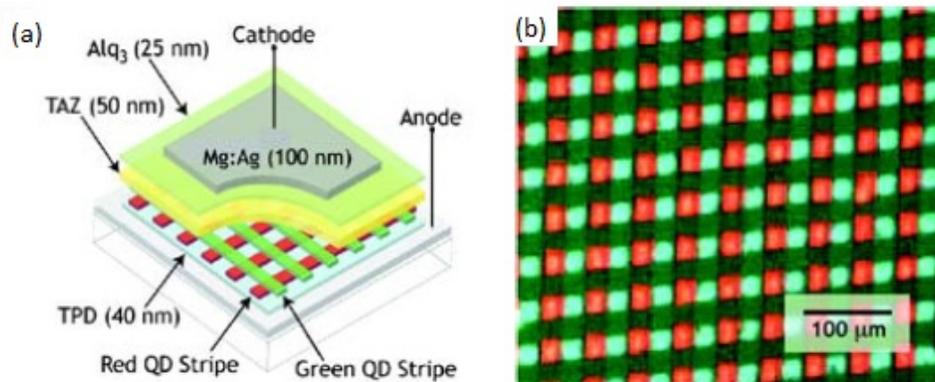


Figure 3: (a) scheme of QD-LED device, (b) schematic diagram showing the structure of a QD-LED with an emissive layer consisting of 25 μm wide stripes of green and red QD monolayers (taken from Kim et al.)[41].

Another significant application for IR-emitting nanocrystals (HgTe, InAs/CdSe) is their use as optical amplifier media for telecommunication systems based on a silica fiber which has optimal transmission windows in the 1.3 and 1.5 micron regions of the IR spectrum.

3.4.2 Bioanalysis:

Novel QDs materials can be attained via surface functionalization with different functional ligands in the surface. These ligands could be responsive to an external stimulus to provide sensors, or to be able to bind to relevant biomolecule to provide luminescent biomarkers. QDs are widely used as fluorescent probes and labels in biology. Because QDs are size-tunable, the narrow emission spectra combined with broad absorption spectra, they are often used in multiplexed detection where a single excitation light source is used, and light from multiple labels of different target biomolecules or cellular compartment is spectrally filtered and collected.

It's critical that the surface modification and functionalization are not only compatible with physiological conditions and biological environments but also to maintain nanocrystal stability. Long term stability of luminescence against photobleaching/photooxidation and bioinertness makes QDs superior compared to organic dyes and fluorescent proteins [34, 52]. QDs have successfully been used in immunochemistry [32, 33], DNA microarrays [53], imaging of live cells [34, 54, 55] or imaging in-vivo of the blood flow [56]. A potential hindrance for in-vivo or living cell research is cyto-toxicity caused by the QDs [57]. However silicon carbide QDs are non-toxic and do not need any functionalization [58].

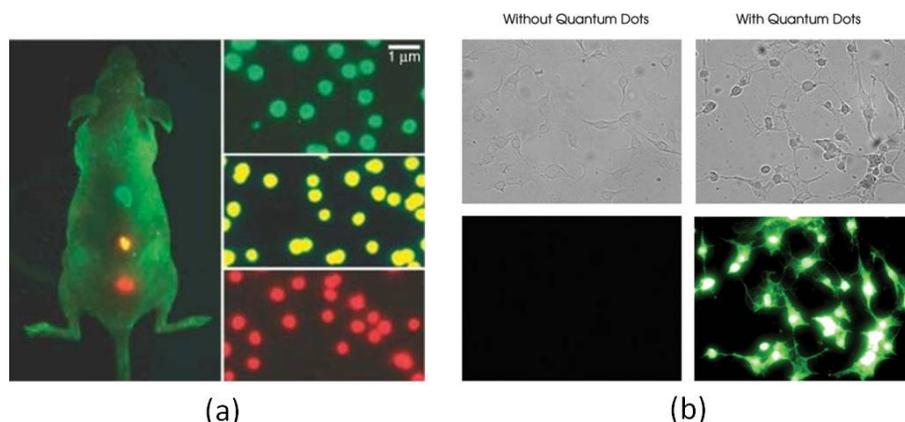


Figure 4: (a) simultaneous in vivo imaging of multicolor QD-encoded microbeads (taken from Hu et al.) [59] and (b) Non-toxic silicon carbide QDs having a very bright contrast in fluorescence images (taken from Botsoa et al.) [58].

3.4.3 Sensors:

Chemical surface engineering of QDs gives them the potential of being optical transducers in sensing. QDs have been used in detection of biomolecules, simple organic molecules or inorganic molecules as well as sensors for temperature and pH [60-63]. In microfluidic devices they are used as traces in flow velocimetry [64, 65] or even as gas sensors [66]. Most of these sensing applications are based on signal transduction via fluorescence resonance energy transfer (FRET) or photoinduced electron transfer (PET) phenomena [39, 40].

In FRET the excitation energy from a donor species is transferred to an acceptor species. QDs in the FRET donor-acceptor couple can act as donors or acceptors [39, 40]. The sensing principle is based upon the physical separation between the donor and acceptor as well as on their spectral overlap, i.e. for the process of FRET to occur both the donor and acceptor species must lie in close proximity to each other and emission of the donor must overlap with the absorption of acceptor. The luminescent switching mechanism based on FRET can be exploited in detection of small organic molecules [60].

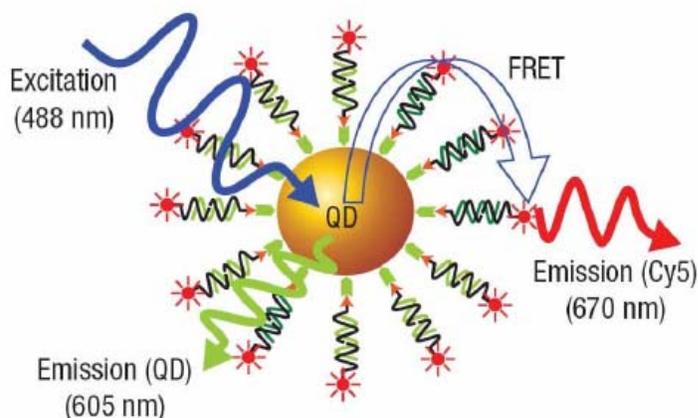


Figure 5: Fluorescence emission from Cy5 due to FRET between Cy5 acceptors and a Quantum Dot donor in a nanosensor assembly (taken from Zhang et al.).

Electron transfer processes play a crucial role in molecular signaling in biological systems, in solar energy harvesting in natural and artificial systems or in photocatalysis. Upon light illumination both the electron in the conduction band and hole in the valence band take part in the electron transfer processes [67]. Due to PET, the QD luminescence is effectively quenched. This modulation of the luminescence by PET can be exploited in the sensing application [39, 40, 67]

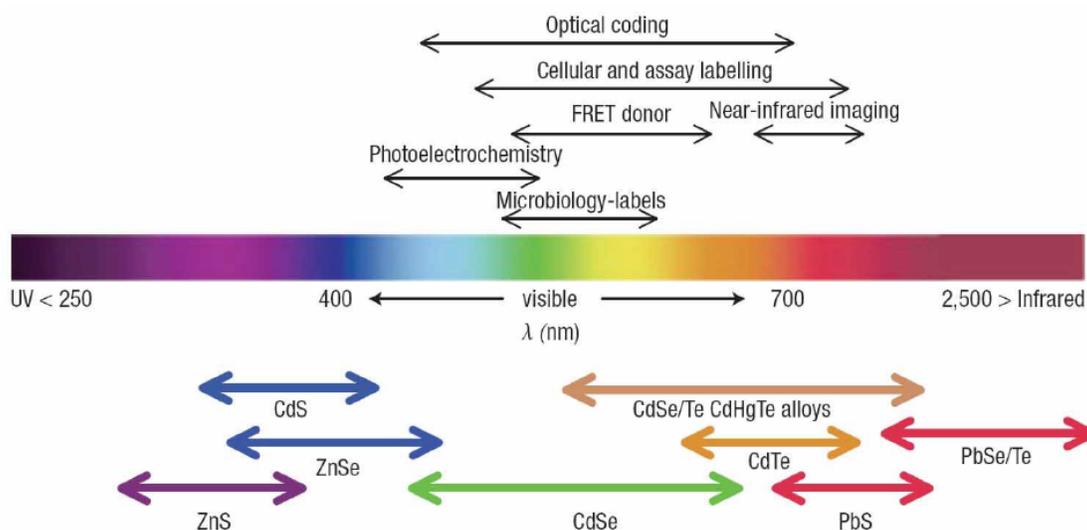


Figure 6: Selected Quantum Dot core materials scaled as a function of their emission wavelength superimposed over the spectrum. Representative areas of biological interest are also presented (taken from medintz et al.) [68].

4 Biosensors:

Biosensors can be defined as devices that intimately associate a biological/biomimetic sensing element with a transducer [69]. These analytical instruments with exclusive capacities combine a recognition power, which naturally exists, in biological systems with sensitivity, flexibility and user-friendliness of advanced microelectronic transducer devices [70, 71]. The role of the latter in a biosensor is to convert an observed change, either physical or chemical into a measurable signal. The magnitude of this signal (usually electrical) is proportional to the concentration of a specific chemical or a set of chemicals. The first biosensor was the one that combined an electrochemical transducer (Clark amperometric oxygen electrode) with enzyme (glucose oxidase) as the sensing element for glucose detection [72]. The Clark electrode is a polarographic electrode used for measuring the concentration of oxygen in blood and gases. The sample is brought into contact with a membrane (usually polypropylene or PTFE - Teflon) through which oxygen diffuses into a measurement chamber containing potassium chloride solution. In the chamber there are two electrodes: one is a reference silver/silver chloride electrode and another is a platinum electrode coated with glass to expose only a tiny area of platinum (e.g. 20 μm diameters). The electric current flow between the two electrodes when polarized with a voltage of 600-800 mV determines the oxygen concentration in the solution [73]. The principal components of a biosensor are demonstrated in Figure 7 [74].

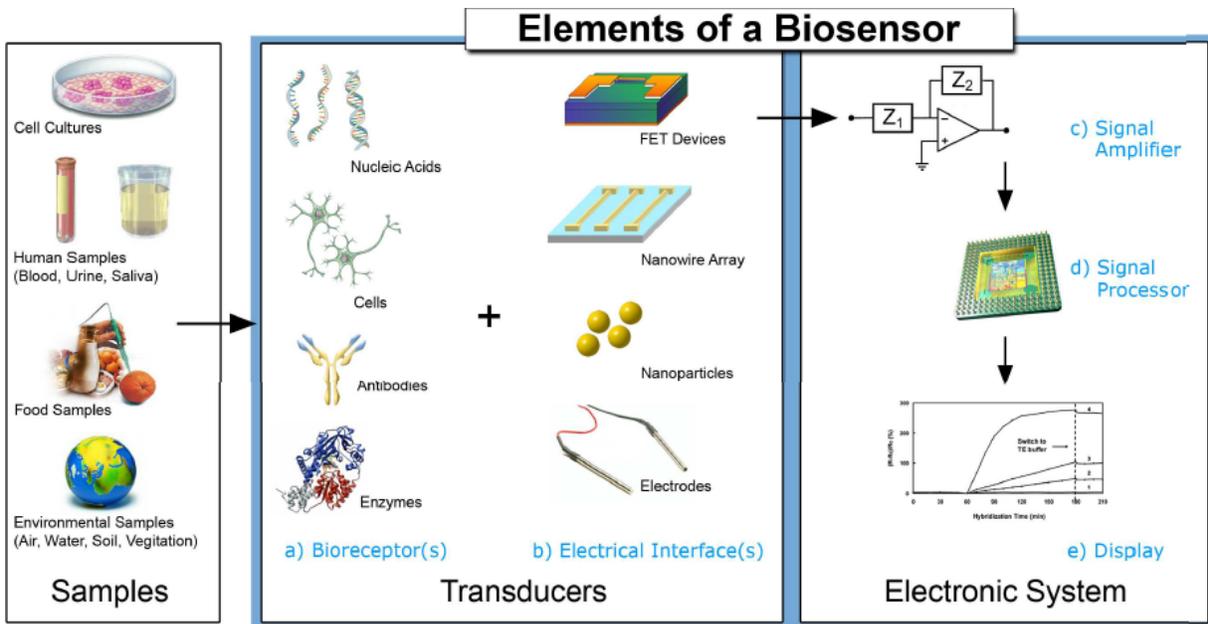


Figure 7: Elements and selected component of a typical biosensor (taken from Grieshaber et al.)

Among the bioreceptor elements enzymes are by far the most important. The reason for this lies in the fact that these molecules provide not only the recognition of analyte-substrate, but also have the catalytic function important for the amplification of the signal [75]. Enzymes are quite flexible molecules and have various complex conformations with sometimes different catalytic activity [76]. The recognition of analytes by these elements is far superior to most chemical recognition systems. Some enzymes are even able to distinguish between stereoisomers of the analyte. Biosensors also provide short response times and in many cases allow real time measurements. The nature of biosensors allows miniaturization and integration into portable instruments, making biosensors an ideal choice for on-site measurements. Biological elements can be unstable under harsh conditions, which cause the slow commercialization of many biosensors [77].

The clinical market for biosensors is dominated by one type of enzyme electrode, which is available from different manufacturers in different forms [78, 79]. The glucose enzyme biosensor enjoys outstanding success, because of its huge demand. Diabetics need to measure their glucose level in blood at least 3-4 times a day. Also, diabetes is a very common disease costing the US health system over \$ 140 billion per year. This creates a large market for a small, cheap and portable glucose detection device that allows measurement in small samples of blood. The sensor should also be easy to use and, if possible, disposable.

In general biosensors are classified either by their biological element or the transducer used. In some cases the immobilization method used to attach the biological element to the transducer is used for classification.

4.1 Transducers:

A transducer is a device that is activated by energy from one system and supplies energy to a second system [80]. In biosensors this means that energy produced directly or indirectly by a biological reaction is generally converted into an electrical signal. Main transducers used in biosensors are electrochemical, optical, piezoelectric and thermal transducers.

The biological elements can be very important for right choice of transducer. Enzymatic reactions are easily monitored, electrochemically or thermometrically, whereas mass sensitive devices are usually not used for enzymatic biosensor. On the other hand, piezoelectric sensors can detect affinity reactions of antigen and antibody or DNA without a label. Other transducers frequently require some sort of label for affinity measurements.

4.1.1 Electrochemical transducers:

Various electrochemical techniques have been employed in biosensors. These methods include amperometry, potentiometry, impedance and conductivity methods. By far the most popular techniques are amperometry and potentiometry. Comparison of different electrochemical transducers used in biosensors [81].

Type of energy transduction	Advantages	Disadvantages
Potentiometric	Translation is relatively easy Easily miniaturized	Requires reference electrode Limited linear range often pH sensitive
Amperometric	Wide variety of biochemical redox mechanisms as basics for signal generation Easily miniaturized Good dynamic range, controllable by membrane thickness Relatively good sensitivity	Requires reference electrode Multiple membranes or enzymes may be necessary for required selectivity and sensitivity
Conductimetric	Easy to fabricate No reference electrode required Low frequency/ Amplitude source	Non selective unless used in array format

4.1.2 Three Electrode System:

In two electrode system the current between the two electrodes as well as the applied potential can be measured. The problem though is that there are three regions over which the potential drop occurs: two electrode-solution interfaces and the solution. Only the potential drop over the whole system can be estimated in two electrode systems, but only the potential drop at working electrode cannot be estimated.

In all electrochemical experiments, the reactions of interest occur at the surface of the working electrode. Therefore, we are interested in controlling the potential drop across the interface between the surface of the working electrode and the solution (i.e., the interfacial potential). However, it is impossible to control or measure this interfacial potential without placing another electrode in the solution. Thus, two interfacial potentials must be considered, neither of which can be measured independently. Hence, one requirement for this counter electrode is that its interfacial potential remains constant, so that any changes in the cell potential produce identical changes in the working electrode interfacial potential.

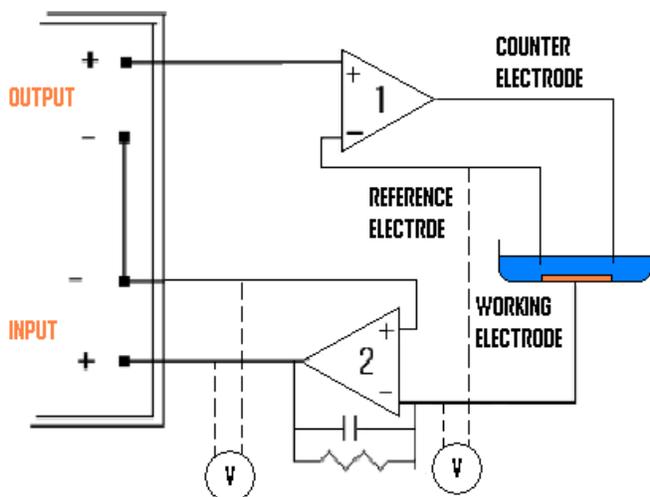


Figure 8: Schematics of a three electrode system

An electrode whose potential does not vary with current is referred to an ideal non-polarizable electrode, and is characterized by a vertical region on a current vs. potential plot. However, there is no electrode that behaves in this way (although some approach ideal non-polarizable behavior at low currents). Consequently, the interfacial potential of the counter electrode in the two-electrode system discussed above varies as current is passed through the cell. This problem is overcome by using a three-electrode system, in which the functions of the counter electrode are divided between the reference and auxiliary electrodes; that is, the potential between the working and reference electrodes is controlled and the current passes between the working and auxiliary electrodes. The current passing through the reference electrode is further diminished by using a high-input-impedance operational amplifier for the reference electrode input.

The reference electrode is directly connected with the ‘-’ input of operational amplifier ‘1’. No current flows through the reference electrode. The purpose is to ensure that the voltage difference between the reference electrode and working electrode always remains constant. Operational amplifier ‘2’ has a variable resistor mounted between the - input and output of operational amplifier ‘2’ to increase the sensitivity of the instrument.

Circuit layout can be seen in the ‘Figure: 8’ Operation amplifier ‘1’ acts as voltage follower circuit whose output goes to the counter electrode, e.g. Pt wire. Operational amplifier ‘2’ serves as current-to-voltage converter. This setup is interfaced normally with a computer.

4.1.1.1 Potentiometric transducers:

For this class, transduction mechanism is based on measuring the interfacial potential at an electrode surface resulting from the chemical reaction between the analyte species and an Ion Selective Electrode (ISE) [82]. The voltage arising from such electrochemical reaction is measured at zero current against reference electrodes such as Ag/AgCl. The Nernst potential of the pH glass electrode is described by the Nicolsky-Eisenman equation, of which the generalized form for ISE is as follows [83]:

$$E = E_0 + \frac{RT}{Z_a F} \ln \left[a_a + \sum_i^n K_{a,i} (a_i)^{\frac{z_a}{z_i}} \right]$$

eq. 6

where E represents the potential, R the universal gas constant, T temperature, F Faraday constant, z_a followed and z_i interfering ion valence, a_a activity of measured and a_i activity of interfering ion and $K_{a,i}$ represents the selectivity coefficient. The glass pH electrode composed of a glass membrane is the widely used ISE for the determination of hydrogen ions (pH) in solution. Several gas sensors have also been developed using ISEs over the past few years for the detection of harmful gaseous pollutants such as CO₂, CO, NO_x, SO_x, H₂, Cl₂, NH₃ etc [84-86].

Ion selective electrodes are based on potentiometric measurements and can be used for mainly enzyme based biosensor. The change in pH due to enzyme activity for example can be easily monitored with a pH sensitive ISE. The potential that develops across an ion selective membrane is measured.

The first potentiometric biosensor was developed by Guilbault and Montalvo for Urea in 1969 [87]. More recent potentiometric devices are based on field-effect transistor (FET) devices.

4.1.1.2 Amperometric transducers:

The principle of these transducers is based on the measurement of a steady state current produced when a constant potential is applied. This current can be related to an electrochemical species that is consumed or produced by the biological element.

The biosensor can be realized with relatively simple instrumentation. The electrochemical set-up normally consists of working electrodes (such as gold, platinum, glassy carbon, graphite or a carbon paste), a reference electrode such as Ag/AgCl, and an auxiliary electrode often made of carbon or platinum. The constant potential is applied by a potentiostat.

Amperometry is probably the most common detection method in biosensors due to its simplicity and sensitivity. The biological element can be directly immobilized on the electrode and is very often an enzyme. During the enzymatic reaction an electrochemically active species can be

produced or consumed by the enzyme and this specie can be oxidized or reduced at the electrode. In the ideal case, the measured current is directly proportional to the analyte concentration.

The signal generated from amperometric detection depends on the number of redox active molecules that are transported to the electrode surface. Such molecules dissolved in solution can be brought into contact with the electrode surface principally by diffusion, migration, and hydrodynamic flow.

4.1.1.2.1 Diffusion:

It is a phenomenon based on the random movement of inert particles that causes a system to decay to a state of maximum uniformity, and can be represented mathematically by Fick's second law:

$$\frac{\partial \phi}{\partial x} = D \nabla^2 \phi$$

eq. 7

where ϕ is the concentration of the diffusing molecule, t is time, D is the diffusion coefficient, and ∇ is the gradient operator. The observable effect of diffusion is that particles move from areas of high concentration to areas of low concentration. In the context of amperometry, an electron transfer occurs at the electrode surface, creating a concentration gradient. In the case of an oxidation, the oxidized species diffuses away from the surface, while more of the oxidizable species diffuses to the electrode surface. The current that results from this system, as long as the bulk concentration does not change significantly, is known as the limiting current.

4.1.1.2.2 Migration:

It is the movement of charged particles (ions) due to the influence of an electric field. Positively charged particles are attracted to a negatively charged electrode, and vice versa. While this effect is very important in some situations, it is generally undesired in most electrochemical measurements, as it introduces an additional source of signal variation. The effects of migration are often reduced by adding an inert electrolyte, often called a supporting electrolyte, in high concentration relative to the analyte to decrease the electric field strength near the electrode.

4.1.1.2.3 Hydrodynamic mass transport:

This transport is caused by the movement of solution, typically by stirring, flowing solution over the electrode, or rotating the electrode within the solution. Electrochemical detection is very sensitive to movement of the solution over the electrode because convection can be orders of magnitude faster than diffusion. While this is a limitation in some situations, controlled flow and diffusive mixing can be used to greatly enhance the detectable current by increasing the flux of redox active molecules to the electrode surface. Electrochemical assays typically use stirring, flow injection analysis [88], or rotating disk electrodes [89] to increase the observed limiting current over that of plain diffusion.

4.1.1.2.4 Amperometric techniques:

Amperometry based sensors are used for detecting species in a solution which can undergo oxidation or reduction resulting in a current that is governed by Faraday's law and laws of mass transport [82]. Cyclic voltammetry (CV) and Linear sweep voltammetry (LSV) are the most common voltammetric techniques used to detect electroactive species present in the solution.

In LSV a potential is swept linearly with time and corresponding current due to electron transfer to or from the analyte species to the electrode is measured.

A sensitive, electroanalytical method for detection of analytes in solution or on the surface of an electrode is cyclic voltammetry. Cyclic voltammetry uses a triangular waveform to linearly ramp the potential through time and measuring the current as a function of potential. In time t a fixed potential range is employed. The potential is varied linearly with time, and the rate at which the potential is varied is called the sweep rate. A cyclic voltammetry experiment starts by scanning from V_1 to V_2 and then the scan reverses direction back to the original potential V_1 .

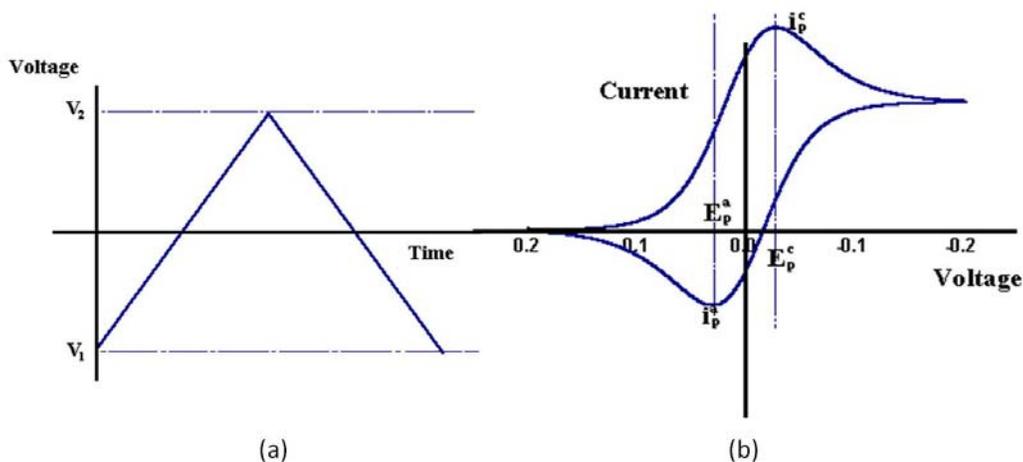


Figure 9: (a) Input triangular waveform and (b) a typical CV of a reversible system (e.g. Fe^{2+} and Fe^{3+}); i_p^c denotes the maximum cathodic or oxidative current (conversion of Fe^{3+} to Fe^{2+}) and i_p^a denotes maximum anodic or reductive current (conversion of Fe^{2+} to Fe^{3+}) E_p^c and E_p^a denote the cathodic and anodic voltages respectively for peak currents.

Solution state cyclic voltammetry for a reversible system has several well-defined characteristics. At a standard electrode, mass transport is dominated by linear diffusion. The oxidation and reduction peak in the cyclic voltammogram should be separated by $59/n$ mV (where n is the number of electrons transferred) and the peak separation is independent of scan rate [90]. Additionally, the ratio of the peak currents (where the absolute value of the current reaches a maximum on the forward and the reverse scan) is one. Finally, for an electrochemically reversible system, the maximum current is dependent on the rate of diffusion of the analyte to the electrode surface; consequently, the peak currents are proportional to the square root of the scan rate [91].

In amperometric measurements, a constant step potential is supplied to the working electrode and the current obtained from the electron transfer due to the faradic processes is plotted against time. Amperometry is often performed in either batch mode or in flow mode. In batch mode the analyte is introduced within the original electrolyte solution producing a step increase in the

current. In flow mode the analyte is injected into the electrolyte stream, which is in continuous contact with the working electrode. As the injected analyte reaches the working electrode it undergoes oxidation or reduction, thereby giving a peak current.

Electrochemical sensors can be used to determine various substances present in solution or electrolyte. These sensors have diverse applications in fields ranging from medicine [77] to environmental monitoring [92]. In addition to solution detection of analytes, there are also electrochemical sensors for detecting toxic gases such as carbon monoxide, hydrogen sulfide, chlorine, nitrogen and sulfur oxides [84, 85].

4.2 Enzymes:

Enzymes are biological catalysts responsible for most chemical reactions in living organisms. Their main task is to initiate or accelerate reactions that would otherwise not take place, or only very slowly at the moderate temperatures, predominant in organisms. They also slow down reactions, if necessary, or split them up in separate parts to control the heat evolution of the exothermic reactions. Otherwise, the uncontrolled heat evolution could lead to cell death.

Enzymes are the most commonly used biocatalysts in biosensors. Electrochemical biocatalytic sensors using enzymes have dominated the biosensor market for years with oxi-reductases being the most important enzymes (e.g. glucose oxidase) [77]. Some enzymes like urease are highly specific for one compound [93]. Other enzymes on the other hand are specific for a whole group of substrates. Alkaline Phosphatase (ALP) for example can cleave the phosphate group from a wide range of mono-phosphate esters.

The structure of enzymes is mainly made up of a single peptide chain, but the active molecule can be a separate molecule, embedded in the polypeptide backbone. Only certain molecules are allowed to access the active site, so that specificity of enzyme is mainly determined by access through the protein shell and the binding site, and not by the active site itself.

When the substrate (S) binds to the binding site of the enzyme (E), a reactive intermediate, the enzyme-substrate complex (ES) is formed. k_1 is the rate constant of formation of enzyme-substrate complex (ES) while k_{-1} is the rate constant of the reversible reaction of disassociation of ES back to E and S. The complex ES is converted to E and a product (P) by the active site, whereas the complex formation process is reversible. The product formation step with a rate constant k_2 can be considered irreversible, since the affinity of the enzyme towards P is generally negligible.



eq. 8

The rate of the enzyme reaction (v) is described by the Michaelis-Menten equation,

$$v = \frac{v_{max}[S]}{K_M + [S]}$$

eq. 9

K_M represents the Michaelis-Menten constant, and v_{max} is the maximum rate of enzymatic reaction, under the condition that the active site of the enzyme is saturated (substrate excess).

$$K_M = \frac{k_{-1} + k_2}{k_1}$$

eq. 10

$$v_{max} = k_2[E]$$

eq. 11

Under suitable conditions, enzymes are very stable and can work for weeks or months with very high turnover rates. One single enzyme can convert between 10^3 to 10^8 substrate molecules per minute.

During enzymatic reactions, substrates are consumed and products are formed. These compounds can be monitored by suitable transducers. In case of glucose oxidase, these compounds are O_2 and H_2O_2 , which are easily detected. Some enzymes have additional active areas, where so-called cofactors, e.g. NADH, are required for optimal enzyme activity [88]. Many enzymes also require metal ions for their catalytic activity.

There are two main applications for enzymes in the biosensors. They can either be used as catalytic biosensors or markers in affinity biosensors, such as immunosensors and DNA sensors.

In catalytic enzyme sensors the concentration of enzyme (E) is constant and the substrate (S) concentration is much smaller than K_M . The velocity v is only dependent on the substrate concentration.

When E is used as label for antibodies or DNA strands, the substrate must be used in excess and the concentration of E is the only limiting factor in 'eq. 9' the reaction is of first order for enzyme concentration. Since enzyme can convert hundreds of substrate molecules per second, they are highly efficient chemical amplifiers for the detection of other molecules [94].

Enzymes are sensitive to temperature changes. Increasing temperature increases the reaction rate, but at elevated temperatures the protein structure denatures, mostly irreversible, leaving the enzyme inactive. For most enzymes this critical temperature starts between 40°C and 50°C , however few enzymes can possess high thermal stability above 100°C .

Enzymes consist of amino acids and therefore sensitive to pH. ALP for example works best in alkaline pH and loses activity in acidic media.

Enzyme reactions can be inhibited by various species. Inhibition may be irreversible, allowing the enzyme to regain full activity after dissociation from the inhibitor. These inhibitors can competitively block the active site or alter the enzyme activity by other mechanisms. Other inhibitors inhibit the enzyme and deactivate it irreversibly. These irreversible inhibitors can work

in different ways, for example blocking the binding site, reacting with the central metal ion or denaturing the enzyme.

Enzyme inhibition sensors have been reported for the detection of toxic compounds and heavy metal ions and are based on the selective inhibition of enzymes [77].

4.2.1 Alkaline Phosphatase:

Alkaline Phosphatases are a group of enzymes found primarily in the liver and bone. There are also small amounts present in cells lining the intestines, the placenta, and the kidney (in the proximal convoluted tubules) [95]. The primary importance of measuring alkaline phosphatase is to check the possibility of bone disease or liver disease.

The hydrolase alkaline phosphatase (ALP) has a molecular weight of ca. 140,000 g/mol and contains two zinc atoms and one magnesium atom. The tertiary structure of ALP can be seen in Figure 10 [96]. The enzyme ALP is not specific for only one substrate, but a wide range of compounds. Certain organic monophosphate esters can enter the enzyme and the phosphate group is hydrolysed, leaving phosphate and a phenolic compound. The optimal working range of ALP lies between pH 9.5 and 10.5. At pH values below 6, the enzyme is significantly inhibited. The absence of zinc ions or the presence of inorganic phosphates or metal chelating agents such as EDTA can also inhibit the enzyme activity.

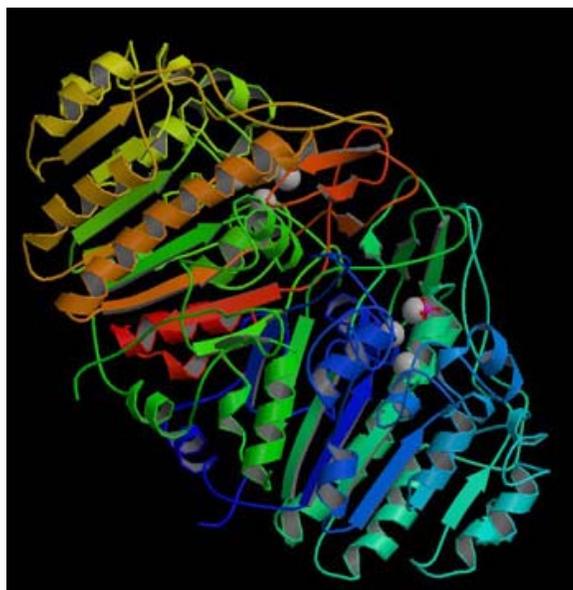
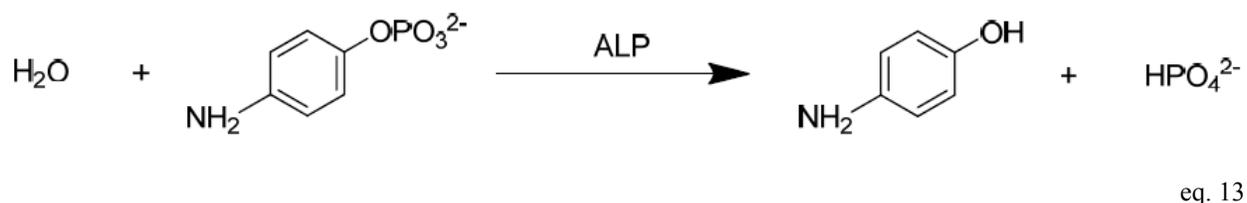
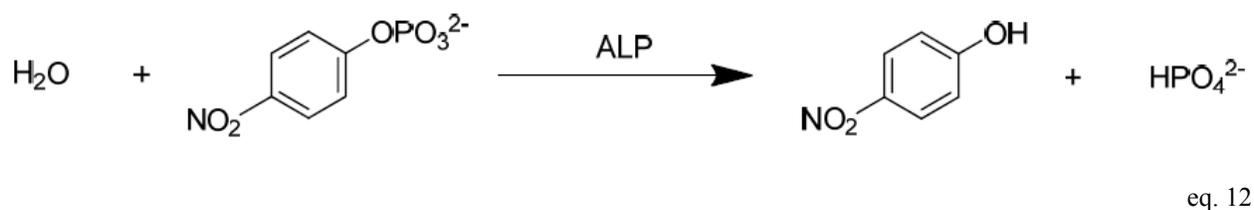


Figure 10: Alkaline Phosphatase (taken from Raymond et al.)

The broad specificity of ALP towards a whole family of substrates allows choosing a suitable substrate for a specific application. For enzyme linked immunosorbant assay (ELISA) with spectrophotometric detection, p-nitrophenyl phosphate is frequently used. For electrochemical detection, a variety of substrates are in use. 'eq. 12' shows the enzymatic conversion of substrate p-aminophenyl phosphate (pAPP) to 4-aminophenol (4-AP), and the subsequent oxidation of 4-AP at +200mV. At this voltage background interferences and electrode fouling are minimized.

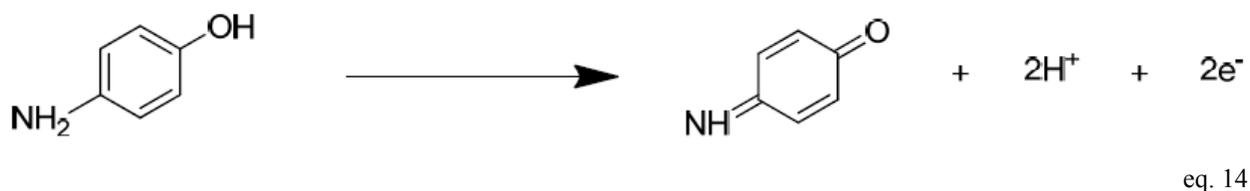


4.2.1.1 p-Amino Phenyl Phosphate:

pAPP was synthesized following the protocol from Frew et al [97]. For this purpose p-Nitrophenyl Phosphate (pNPP) was used, which is another substrate for enzyme ALP [98]. 10 g of pNPP was dissolved in 23.81 ml of distilled water and the pH was adjusted to 9 by addition of 10% NaOH. Then 21.71 g of $\text{Na}_2\text{S} \cdot 9\text{H}_2\text{O}$ was added and the solution was heated to 90-95°C for 1 hour. The solution was then allowed to cool down. After cooling the solution, concentrated HCl was added to obtain a very low pH ~ 0 . The solution was allowed to cool down and the pH was then adjusted to 4-5 with 25% NaOH. Then, the solution was filtered and the filtrate was washed with boiling methanol. The product was finally obtained in the form of crystals. pAPP is a much better substrate for ALP than pNPP [99] and it can be detected at much lower potential than pNPP, which allows for reducing noise caused by high bias potentials.

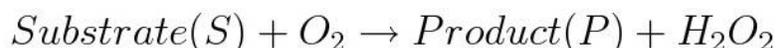
4.2.1.2 4-Aminophenol:

4-aminophenol (4AP) is the product of the enzymatic reaction of the enzyme alkaline phosphatase (ALP) and its substrate p-aminophenyl phosphate (pAPP). 4AP is not very stable [100]. It oxidizes quickly under light and regular atmosphere conditions.



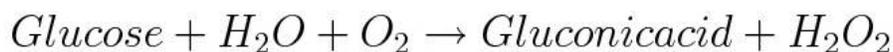
4.2.1.3 Hydrogen peroxide

Hydrogen peroxide (H_2O_2) is one of the most important products or substrate of enzyme catalysed oxidation reactions [101, 102]. Most common enzymes used in biosensors are oxidases, which catalyse the model oxidation reactions:



eq. 15

The function of enzyme is to selectively oxidize analyte by the reduction of O_2 to H_2O_2 [103]. Oxygen is the natural electron acceptor that oxidizes in order to regenerate the enzyme during the reaction. Out of variety of enzymatic reactions that produce H_2O_2 (see Table 2), perhaps the most important in practical terms is the oxidation of glucose catalyzed by glucose oxidase (GOx). This well-studied reaction, which proceeds according to ‘eq. 16’ and results in production of H_2O_2 , is used extensively in the development of glucose biosensors and assays:



eq. 16

The production of hydrogen peroxide is detected electrochemically and is then related to the concentration of glucose. In addition to being a product/substrate of enzymatic reaction, hydrogen peroxide is by itself an important analyte. It plays an important role in natural oxidation processes as it is found in air, solids and water.

The important areas of H_2O_2 application include industry (pharmaceutical, food, clinical), and environmental analyses. Furthermore, its use as an antibacterial agent added to milk, demanding an established protocol for H_2O_2 detection in the food industry. So far the techniques that have been used for the detection of hydrogen peroxide are enzymatic, spectrophotometric, thermo-optic and chemiluminescent assays [104].

In table 2, some literature is reviewed where the enzymatic reaction either produced or consumed H_2O_2 .

Enzyme	Production/consumption of H_2O_2	Reference
Glucose oxidase	Production	[105]
Uricase	Production	[105]
Zinc Oxide	Production	[105]
Horseradish peroxidase	Consumption	[106]
Tyrosinase (polyphenoloxidase)	Production	[107]
Glycolate oxidase	Production	[108]
Sarcosine oxidase or bovine abumin	Consumption	[109]
Lactate dehydrogenase and lactate oxidase	Indirect production	[110]
L-amino acid oxidase	Production	[111]
Catalase	Consumption	[112]
NADPH oxidase	Consumption	[113]

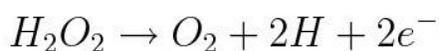
4.2.1.3.1 Electrochemical detection of hydrogen peroxide

The concentration of hydrogen peroxide can be measured directly using amperometric detection. A change in H_2O_2 concentration in the medium appears as a variation in the output current. The quantified parameters are magnitude of the sensor response, response time, and current response. It is desirable to measure signals in conditions when the linear relationship exists between the current value and the analyte concentration. At that point, the reactions are considered to be in steady state when “pseudoequilibrium” occurs between the species close to the sensor and their

consumption at the indicative electrode. One of the serious problems associated with measurement of complex analytes is the possible interference of the redox species present in the sample. Several methods have been reported which aimed at reducing level of interference. These methods include use of perm-selective coatings [114, 115], use of artificial mediators [72], or selective electrocatalysis [116, 117]. The use of mediators or selective electrocatalysis helps to lower the detection potential to the level when the majority of interfering species are electroinactive [118].

Hydrogen peroxide can be reduced at the platinum electrodes. Detection of hydrogen peroxide in this case depends on temperature, pH and the oxidation status of the platinum electrodes. The research has proved that the oxidation of H_2O_2 requires a stable oxidized surface for the reproducibility of the detection [109]. The difficulty with the application of these electrodes lies in relatively high price required for their manufacturing and in the high potential required for oxidation. The potential required to dismute (simultaneous oxidation and reduction) hydrogen peroxide on electrode is + 600 - +1200 mV versus a saturated calomel electrode (SCE) [119]. The potential depends greatly on the nature of the working electrode (platinum, gold, graphite, graphite-polymer composite, etc.). On platinum the oxidation potential is +400 mV [120], which is quite high and needs to be reduced in order to avoid any interference coming from real samples [121]. On carbon electrodes, which are much cheaper than platinum electrodes, the oxidation potential for hydrogen peroxide is even higher (>700mV) [121].

Further reduction of the oxidation potential and enhancement of sensor signal can be achieved by using an enzyme, such as horseradish peroxidase [122]. The simplest electrode type is the one that consists of a layer of peroxidase molecules adsorbed on the electrode surface [123]. The sensor response, measured at the lowering overpotential of 0.6V vs SCE consists of the change in reduction current which is proportional to peroxide concentration. A variety of mediators - small organic molecules capable of lowering the redox potential can be used for facilitating electron transfer between the enzyme catalytic centre and electrode. Mediated amperometric biosensors also have an advantage over non-mediated enzyme electrodes, since the mediator could replace oxygen as an electron acceptor. Hydrogen peroxide detection is known to be affected by oxygen concentration, since the gas is a co-substrate of oxidase-catalyzed reactions (eq. 17).



eq. 17

4.3 *Quantum dots incorporation in Biosensors:*

QDs have a tremendous attraction because of their unique optical, electrical, thermal and catalytic properties in the field of biosensors. The surface of the QDs can be modified with different functionalizations [124]. The conjugates of QD-ligands make these NPs behave as optical transducers, which can recognize the events occurring at their surfaces [125, 126].

QDs can be used to investigate the biological dynamic processes, such as electron transfer quenching as photophysical probing mechanisms. QD based FRET nanosensors will be particularly appealing for intracellular sensing, where their high photo bleaching thresholds and substantial reduction in direct excitation of dye and fluorescent protein acceptors could permit

the monitoring of intracellular processes over longer periods of time. [127]. QDs have been shown to be very efficient FRET donors with organic fluorophores, due to the large overlap between the quantum dot emission wavelength and the absorption spectra of the dyes [128, 129]. As the emission characteristics of the QDs can be continuously tuned so it is possible to create a FRET donor for any number of organic dyes that emit between approximately 510 and 640 nm [129-131].

Illuminating the QDs with light makes them photoexcited and the electrons from their valance band jump to their conduction band, resulting in electron-hole pairs or excitons. The electron-hole pairs either recombine radiatively by generating a photon or the electron gets trapped into a surface defect and a long lived electron-hole pair is generated. If the electron of this pair gets transferred to solution solubilized acceptor, and the valance band hole is neutralized by obtaining an electron from the electrode, the cathodic current gets registered. The process can occur in a reverse manner i.e. if a solution solubilized donor donates the electron to compensate the valance band hole and the electron from the conduction band of the QD jump to the electrode an anodic current can be observed (Figure: 11) . So the QDs are photoelectrochemically active [132].

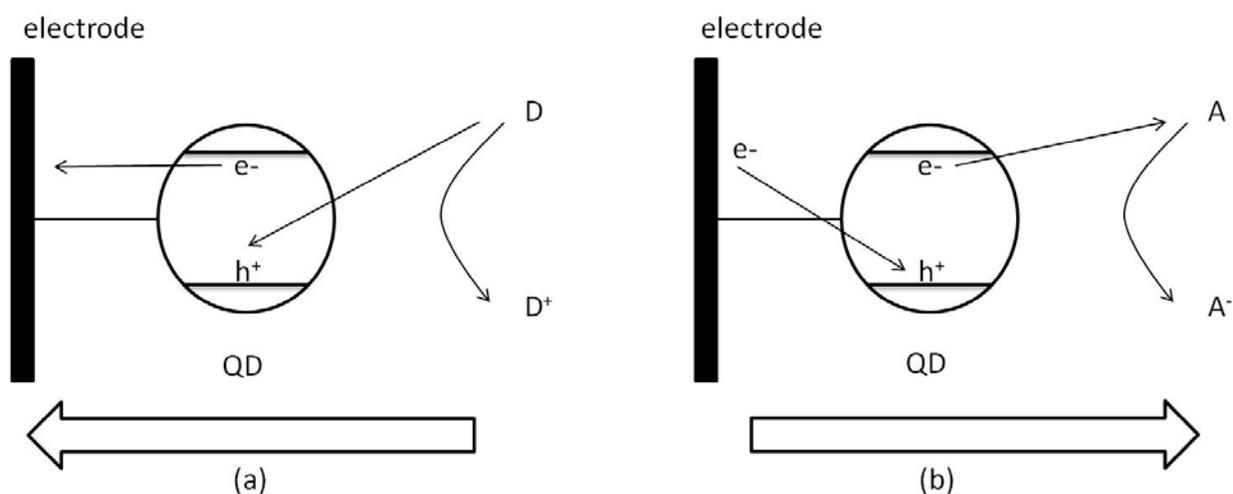


Figure 11: (a) oxidation current and (b) reduction current mediated by QDs

For diverse purposes and conveniences to monitor electrochemical reactions it is feasible to immobilized QDs on the planar substrates. For example in order to observe the redox-reactions of enzymes or proteins mediated by QDs, one needs immobilized QDs on an electrode which have the capacity to conduct.

4.3.1 Fabrication of Quantum Dot functionalized surfaces

Immobilization of QDs onto planar surfaces is important in fabrication of photonic devices and in the design of various sensing platforms. There are two main methods for the deposition of QDs on surfaces. The first method involves covalent coupling between the chemical groups present on the substrate and the functional groups located at the QD surface. The second method is based on non-specific interactions between the substrate and the surface or the nanoparticles, e.g. physio-sorption, electrostatic layer by layer assembly (LbL) etc.

4.3.1.1 Covalent coupling:

Covalent attachment of nanoparticles is irreversible and usually stable QD layers are obtained. An example of this approach is the coupling of carboxylate-functionalized QDs to amine-terminated glass substrates resulting in relatively dense QD film (Figure 12) [133, 134]. Among other covalent couplings Self assembled monolayers (SAMs) of dithiol molecules is very convenient method to immobilize QDs on planar metal substrates.

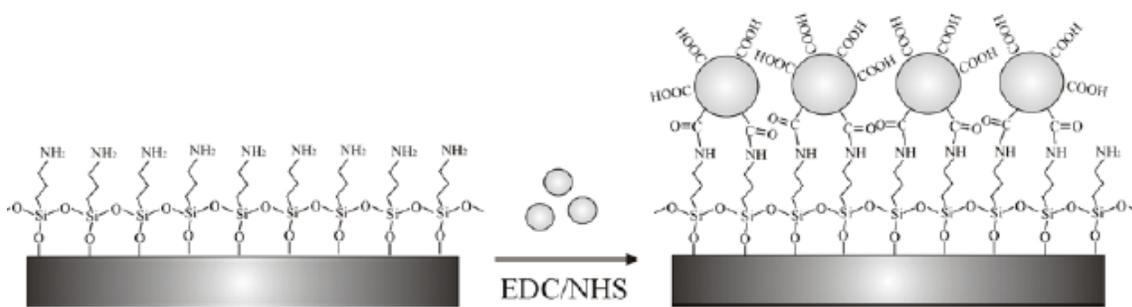
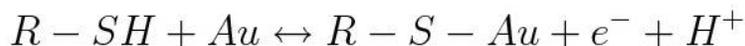


Figure 12: immobilization of carboxylate-functionalized QDs to amine- terminated glass substrate (taken from Xu et al.)

4.3.1.1.1 Self-Assembled monolayers:

Another common way to immobilize QDs on gold surfaces is, via self-assembled monolayers (SAMs) of dithiol molecules [135, 136]. One sulfur atom in these functional groups is strongly chemisorbed to gold and the other one assists the anchoring of QD. Piezoelectric and SPR biosensors are mainly based on immobilization of species onto gold surfaces. Gold surfaces can also be found for impedance or amperometric sensors.



eq. 18

The gold sulphur bond is described differently in various publications. In Spangler and Tyler for example, the bond was found to be in-between an electrostatic and covalent bond [137]. In other work, the character and strength of the bond was found to depend on the sulfur compound [138]. The di-sulfur bond in disulfide was found to break down easier than the sulfur-hydrogen bond in thiols. However, thiols were reported to bind to gold under evolution of hydrogen [139].

Thiols, disulphides and sulphides can have simple alkane chains and resulting in non-functionalized SAMs but they can also have a variety of reactive groups. The sulfur chemisorption is not exclusive to gold, but silver, platinum or copper exhibit similar tendencies. The gold surface is a preferred one, when it comes to biosensors, because it has the third best electrical conductivity of all metals at room temperature and its inertness prevent the formation of insulating surface oxides [140, 141]. The monolayer is formed by exposing the gold surface to a solution of thiols. The solvent used is dependent on the sulfur compound. Densely packed monolayers can be observed after one hour, but for highly ordered monolayers a much longer time is usually required. The gold sulfur bond is so strong that even the impurities at the gold

surface are removed by SAMs [135]. Highly ordered SAMs can be observed, especially for non-functionalized linear alkane thiols with carbon chains longer than 10 atoms. The monolayer is stabilized by van der Waals force in-between the methyl groups [142].

Highly ordered monolayers of SAMs can also be achieved for thiols which are not very long by capping-decapping mechanism. For this technique one side of the dithiol molecule is capped with the acetyl group so that only one side is free to chemisorb on the gold surface [143]. In this way highly ordered SAMs can be established. The decapping of the dithiol molecule or the removal of acetyl group can be performed by a mixture of NaOH and ethanolic solution. Another very effective method to immobilize the dithiol molecules is by immobilizing them on Au surfaces at elevated temperatures. Highly ordered and uniform monolayers can be obtained by this method [144].

For techniques like capacitance measurements, the insulating monolayer is required. Thiols undergo spontaneous chemisorption to form monolayers with high thermal, mechanical and chemical stability. The ability of many thiols and disulfides with different functional groups and spacer length, as well as the ability to form mixed monolayers allows the formation of tailored SAMs for immobilization of QDs. Furthermore depending on the functional group of the SAM, various residues of the biomolecules can be targeted.

Another technique to immobilize the QDs is to modify the QDs surface with the dithiol molecules. This can be achieved via ligand exchange of the surfactants of the QDs by the sulfides of the dithiol molecule. In this way QDs can reside on top of the gold substrate by the chemisorption of the other terminal sulfur molecule on gold surface. However, the ordering of the QDs on top of gold is not very efficient though.

4.3.1.2 Non-covalent Attachments:

4.3.1.2.1 Layer by layer (LbL) adsorption of polyelectrolytes:

Non-covalent attachments of QDs on planar surfaces can be achieved by processes like layer by layer assembly [145, 146], hydrogen bond formation [147] or supramolecular host-guest chemistry.

Owing to the simplicity of the electrostatic assembly this method has been widely used to fabricate multilayered structures including QDs (Figure 13). To be able to use QDs in LbL assembly, the QDs need to be functionalized with charged ligands [146]. Polyelectrolytes are usually the second component of the assembly. The difference in size, morphology and effective charge densities between the multilayer components significantly affect the fabrication process. Additionally, the electrostatically assembled structures are very sensitive to pH, ionic strength, hydrogen bonding and to the concentration of the nanoparticles and of the polyelectrolyte.

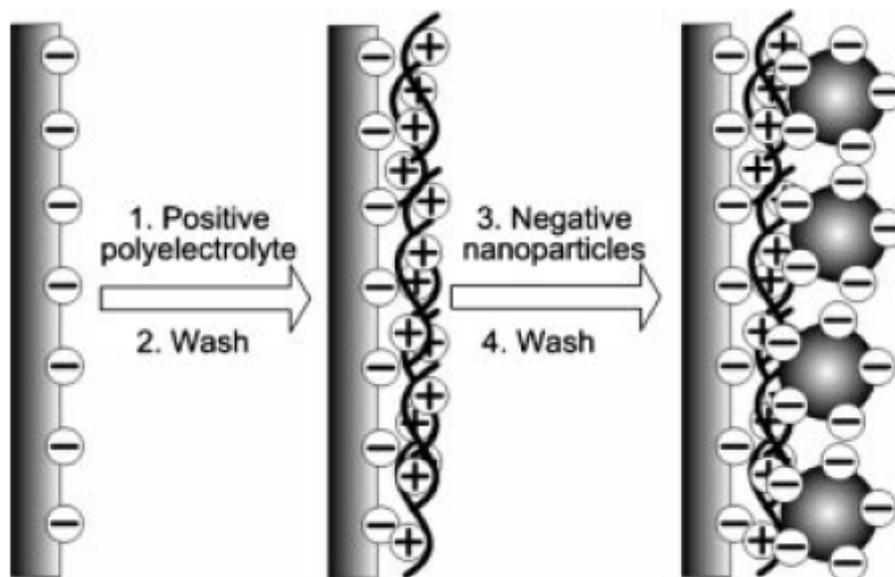


Figure 13 : schematic representation of the LbL assembly involving polyelectrolyte molecules and oppositely charged nanoparticles. The procedure 1-4 can be repeated to assemble more polyelectrolyte/nanoparticles bilayers (taken from Schavel et al.) [148].

Since polyelectrolytes are charged it is really simple to include enzymes within the LbL structure. In fact depending upon the isoelectric point of a particular enzyme a positive or a negative electrolyte can be opted to build up the assembly. In this way it is really easy to have a well packed and dense layer of enzyme immobilized on the electrode. Even the conjugates of QDs and enzymes can be co-immobilized in this electrostatic assembly.

4.3.1.2.2 Surface patterning of QDs:

Fabrication of substrates patterned with QDs often requires the use of a combination of a top-down and bottom up fabrication techniques. Using different lithographic and patterning techniques the nanocrystals can be immobilized on the surface in the localized areas. Photolithography is a method widely used in the fabrication of patterned substrates. In this method the substrate is exposed to radiation, e.g. UV or X-ray, through a mask with pre patterned features. For instance, selective immobilization of CdSe/CdS QDs on a substrate was achieved by selective photoactivation of the surface to provide amine functionality (Figure 14). The QDs underwent a ligand exchange reaction and were bound to the amine groups [149].

Soft lithographic patterning techniques like microcontact printing, nanoimprint lithography or scanning probe based lithography are particularly attractive because they are simple to perform and do not require clean room facilities, making them very cost effective. In microcontact printing an elastomeric ‘stamp’ is modeled from a previously fabricated silicon master. The stamps are often made of elastomers, e.g. crosslinked poly(dimethylsiloxane) (PDMS). PDMS has been used as a stamp to transfer many different nanoparticles, including QDs, onto various substrates [41, 150]. QD deposition on surface using micro contact printing is based on simple inking of the PDMS stamp with a QD solution and making a conformal contact between the inked stamp and the substrate. The concentration of the nanoparticles in the solution, inking time, and contact time are the primary parameters, which one can tune to obtain high-quality

prints. To modify the interactions with the QD ink, the PDMS stamp can be oxidized to render its surface hydrophilic.

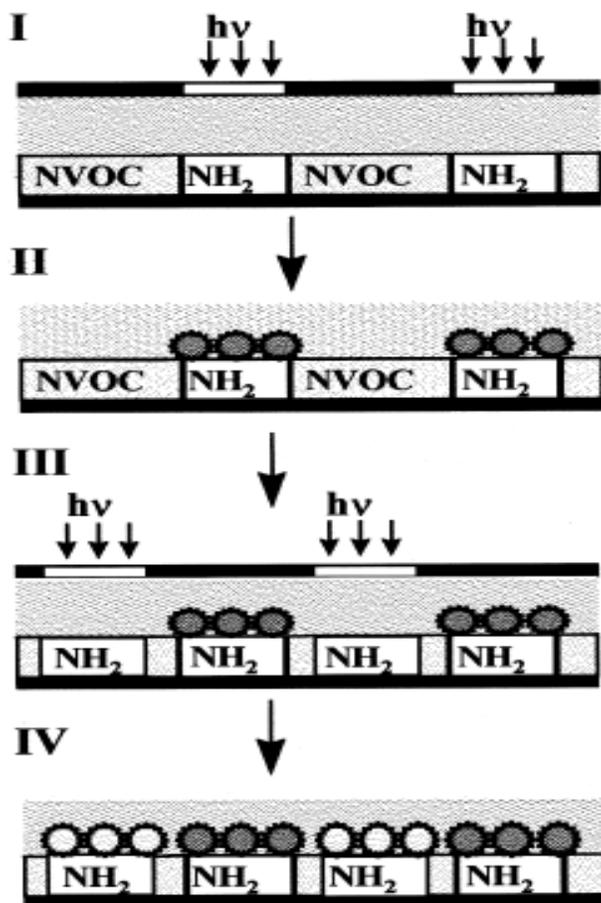


Figure 14: Reaction scheme for the stepwise preparation of multiple particle arrays (taken from Vossmeier et al.) [149].

5 Conclusion and perspectives:

QDs can be immobilized on gold, silver, platinum or palladium substrate electrodes with covalent coupling (SAMs of dithiols) and electrostatic coupling (LbL assembly). QD modified metal electrodes can be used to detect different analytes and their corresponding enzymatic reactions with electrochemical methods like amperometry or voltammetry etc. The electrostatic coupling can also assist the attachment of enzyme to the QD modified electrodes.

QDs are the essential part of this type of electrochemical detection mechanism. They can be engineered with desired size and shape assisting the mediation of electrons from redox active species within the electrolyte, and since their surfaces can be functionalized at will, they become very powerful tools for sensitive and selective detection of particular species. With the incorporation of different immobilization techniques (covalent or electrostatic) complex nanostructures can be constructed.

Since the selectivity to detect a particular analyte depends upon the material of the QD. Alongside functionalizations it is also possible to synthesize hybrid systems of metal-semiconductor or magnetic-semiconductor QDs [151]. Hybrid QDs not only give versatility but also allow constructing a compact system.

For the electrochemical measurements QDs immobilized metal electrodes are active only under light illumination. Furthermore because of the limited lateral diffusion of the electrons from one QD to another, there is well defined spatial resolution for these devices, i.e. one can trigger the photocurrent only in a specific region. This property can assist to fabricate a single electrode for multi-analyte detection. As the response comes only from the area where the light pointer is placed, so by immobilizing different kind of QDs on a single electrode one can specifically identify different species within the electrolyte. For example if type 'a' QDs can detect redox active analyte A and type 'b' can detect redox active analyte B, then by simply moving the light pointer one can detect any one of the analyte.

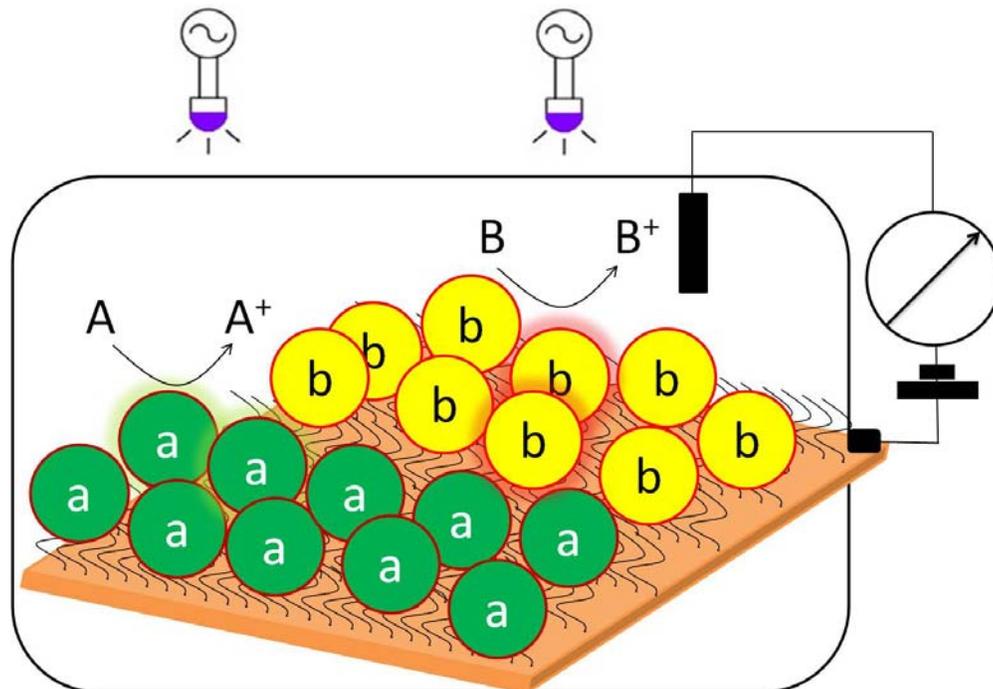


Figure 15: Simultaneous detection of two different analytes by specific QDs just by moving the light pointer

6 *List of publications and Author's contribution:*

- A **Waqas Khalid**, Gero Göbel, Dominik Hühn, Jose Maria Montenegro, Pialr Rivera Gil, Fred Lisdat, Wolfgang J. Parak. "Light triggered detection of aminophenyl phosphate with a quantum dot based enzyme electrode". (Submitted in SMALL)

Electrode preparation, synthesis of p-aminophenyl phosphate, optical characterization of QDs by UV-Vis and fluorescence spectrometer, attachment of enzyme via layer by layer assembly on QD modified gold electrode and data evaluation.

- B Zhao Yue, **Waqas Khalid**, Marco Zanella, Azhar Zahoor Abbasi, Andrea Pfreundt, Pilar Rivera Gil, Kirsten Schubert, Fred Lisdat, Wolfgang J. Parak. "Evaluation of quantum dots applied as switchable layerin a light-controlled electrochemical sensor." Anal. Bioanal. Chem. 2010, **396**, 1095-1103.

Electrode preparation, optical characterization of QDs by UV-Vis and fluorescence spectrometer and data evaluation.

- C Kirsten Schubert, **Waqas Khalid**, Zhao Yue, Wolfgang J. Parak, Fred Lisdat. "Quantum Dot-Modified Electrode for the Detection of NAD-Dependent Dehydrogenase Reactions". Langmuir, 2010, **26**, 1395-1400.

Synthesis of QDs and optical characterization by UV-Vis and fluorescence spectrometer.

- D Gero Göbel, Kirsten Schubert, Ivo W. Schubart, **Waqas Khalid**, Wolfgang J. Parak, Fred Lisdat. "Enhanced photocurrent generation with quantum dots containing multilayers on gold". Electrochimica Acta, 2011, **56**, 6397-6400.

Ligand exchange of QDs resulting in the phase transformation of QDs from toluene to phosphate buffer solution and optical characterization by UV-Vis and fluorescence spectrometer.

- E Johannes Tanne, Daniel Schäfer, **Waqas Khalid**, Wolfgang J. Parak, Fred Lisdat. "Light controlled bioelectrochemical sensor based on CdSe/ZnS quantum dots". (Submitted in Analytical Chemistry)

Synthesis and phase transformation of QDs and optical characterization by UV-Vis and fluorescence spectrometer.

- F **Waqas Khalid**, Mira El Helou, T Murböck, Jose Maria Montenegro, Kirsten Schubert, Gero Göbel, Fred Lisdat, Gregor Witte, Wolfgang J. Parak, "Immobilization of quantum dots via different self assembled monolayers and their application as a light-controlled sensor for the detection of hydrogen peroxide" (in preperation).

Electrode preparation, synthesis of capped dithiol molecules, optical characterization of QDs by UV-Vis and fluorescence spectrometer and data evaluation.

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Appendix

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Light triggered detection of aminophenyl phosphate with a quantum dot based enzyme electrode

Abstract:

An electrochemical sensor for p-aminophenyl phosphate (pAPP) is reported. It is based on the electrochemical conversion of 4-aminophenol (4AP) at a quantum dot (QD) modified electrode under illumination. Without illumination no electron transfer and thus no oxidation of 4AP can occur. pAPP as substrate is converted by the enzyme alkaline phosphatase (ALP) to generate 4AP as a product. The QDs are coupled via 1, 4 benzene dithiol (BDT) linkage to the surface of a gold electrode and thus allow potential-controlled photocurrent generation. The photocurrent is modified by the enzyme reaction providing access to the substrate detection. In order to develop a photobioelectrochemical sensor the enzyme is immobilized on top of the photo-switchable layer of the QDs. Different immobilization strategies of the enzyme ALP are compared. Enzymatic activity and thus the photocurrent can be described by Michaelis Menten kinetics. pAPP is detected within the range of 25 μ M – 1 mM.

Introduction:

Colloidal quantum dots (QDs), which are fluorescent semiconductor nanoparticles, have recently brought impact to various disciplines, as has been highlighted in various review articles ^[1]. QDs have been recently discussed also as new building block for the construction of electrochemical sensors ^[2-4]. Upon optical illumination (below the wavelength of the first exciton peak QDs have a continuous absorption spectrum, with a local maximum at the exciton peak ^[5]) electron hole pairs are generated inside QDs. Due to these charge carriers electrons can be transferred to or from the QDs. QDs thus can be oxidized / reduced and can serve as light-controlled redox active element and can be integrated in electrochemical signal chains ^[6]. The key advantage hereby is that the redox reaction of the QD surface can be virtually switched on and off by light. QD have been also used as elements of signal transduction of enzymatic reactions ^[7].

In the present work we wanted to apply QDs as light-controlled redox active element for the enzymatic detection of p-aminophenyl phosphate (pAPP) with alkaline phosphatase (ALP). ALP is a widely used enzyme in bioanalysis as it has a high turnover rate and broad substrate specificity ^[8]. The enzyme is particularly interesting as label for immunoassays ^[9]. Very sensitive substrate recycling schemes have been also reported ^[10]. Four different groups of substrates are known for ALP: i) β -glycerophosphate and hexose phosphate ^[11], phenyl phosphate ^[12] and β -naphthyl phosphate ^[13], iii) p-nitrophenyl phosphate ^[14] and phenolphthalein diphosphate ^[15], 4-methyl-umbellipheryl phosphate ^[16] and p-aminophenyl phosphate (pAPP) ^[17], and iv) phosphoenol pyruvate ^[18]. Electrochemical detection has been reported for a number of ALP substrates ^[19], in particular for phenyl phosphate. However, pAPP is claimed to be a better substrate for ALP than phenyl phosphate, as its product 4-aminophenol (4AP) is more easily oxidizable than phenol, which is the product of phenyl phosphate, as it does not foul the electrode even at higher concentrations, and as it has a rather reversible electrochemical behavior ^[17]. For this reason we chose pAPP as substrate in the present study. Readout of the enzymatic reaction was performed with the QD-modified electrode ^[2]. We hereby put particular interest in the way of immobilization of ALP on the electrode. In previous work the enzymes were suspended in the solution above the sensor electrode ^[2, 4]. Here we go a step further and directly immobilize the enzyme on the QD-modified electrode. This was done in order to investigate whether a specific enzymatic reaction can be coupled with a photoinitiated reaction at a QD modified electrode in a way that the recognition element is integrated with the transducer. The potential advantage of light-triggered detection would be the possibility of spatially resolved detection ^[20, 21].

Materials and Methods:

Materials: CdS QDs were grown via thermal decomposition of precursors under the presence of organic surfactant molecules following published procedures^[22]. 1,4 benzene dithiol (BDT) was purchased from TCI Europe, Belgium. Chloroform, toluene, methanol, acetone, ethanol, sodium sulfide (nanohydrate), alkaline phosphatase (from bovine intestinal mucosa type VII S), 4-nitrophenyl phosphate disodium hexahydrate, 4-aminophenol (4AP), phosphate buffer, sodium poly(styrene sulfonate) (PSS, $M_w = 56,000$), poly(allylamine hydrochloride) (PAH, $M_w = 70,000$), and potassium ferri/ferro cyanide were purchased from Sigma Aldrich and used without further purification. All aqueous solutions were prepared using 18 M Ω ultra purified water. The electrochemical measurement cells and electronics have been described in a previous publication^[23] and comprised a home built potentiostat, an Ag/AgCl reference electrode (#MF 2078 RE-6 from BASi, UK), and a lock-in amplifier (EG&G Princeton Applied Research model # 5210). Illumination was done with a xenon lamp (PTI model A-1010 arc lamp housing, UXL-75XE Xenon Lamp from USHIO, powered by PTI LPS-220) modulated by an optical chopper (Scitec instruments).

Immobilization of QDs: CdS QDs were immobilized on top of gold electrodes following a previously published protocol^[23], c.fg. Figure 1. First the gold electrodes (Au film evaporated on glass chips) were cleaned by sonication toluene for five minutes. For cleaning the cyclic voltammetry (CV) of the gold electrode was performed in 1 M NaOH for 20 minutes within the potential limits of $-0.8 \text{ V} < U < +0.2 \text{ V}$, and later in 0.5 M H₂SO₄ for 30 minutes within the potential limits of $-0.2 \text{ V} < U < 1.6 \text{ V}$ (the CV curves are shown in the SI). After cleaning the gold electrodes were placed in a solution of 50 mM BDT dissolved in toluene for 24 hours. This resulted in a self assembled monolayer of BDT on the gold surface due to formation of thiol-gold bonds. In the next step CdS QDs dissolved in toluene (typically with a first exciton peak around 380 nm, concentration around 140 μM) were spin coated at a speed of 6000 rpm on top of the BDT coated gold electrodes. After spin coating the gold electrodes were rinsed twice with toluene to remove the excess of QDs.

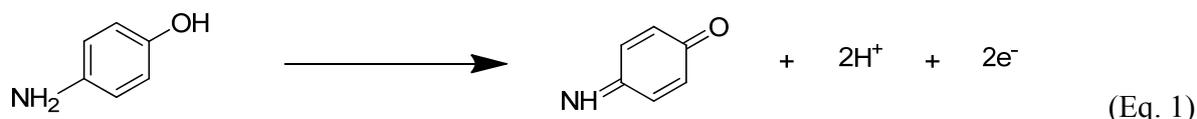
Confirmation of QD immobilization: Immobilization of CdS QDs on top of the Au electrodes was performed with current measurements. CVs were recorded before and after immobilization of BDT and QDs on top of gold electrodes with Fe³⁺/Fe²⁺ as redox couple in solution^[23]. While on bare gold electrodes the typical oxidation and reduction currents could be observed these were not visible in the case of gold electrodes coated with BDT and QDs (see the Supporting Information for data). Alternatively current at fixed bias voltage was recorded for gold electrodes before and after immobilization of BDT and QDs, while illumination was switched on and off. In the case of QDs present on top of the Au electrode a photocurrent could be measured under illumination (data are shown in the Supporting Information)

Five different geometries for enzyme immobilization: In order to observe the enzymatic reaction of ALP and pAPP the enzyme ALP was either directly added to the bath solution (S) or immobilized on top of the QD layer (I). All geometries are depicted in Figure 2. In the simplest case (S_0) the Au electrodes with spin coated QD layer were directly without further modification. For the next geometry (S_1) a polyelectrolyte layer of PAH was coated on top of the CdS QD layer mediated by electrostatic attraction by immersing the QD coated Au electrode in a solution of PAH for 5 minutes (0.02 M monomer concentration, pH = 6.5, 0.5 M NaCl) ^[23, 24]. Unbound excess PAH was removed by rinsing. PAH is positively charged. We speculate that the QD layer is not tight so that PAH is attracted by the negatively charged underlying BDT monolayer. Stability after rinsing confirmed stable deposition of PAH. To this configuration a second polyelectrolyte layer (S_2) of PSS could be added by immersing the PAH coated QD-Au electrode (S_1) for 5 minutes in a solution of PSS (0.02 M monomer concentration, pH = 6.5, 0.5 M NaCl), followed by a rinsing step to remove unbound PSS. PSS is negatively charged and thus electrostatically attracted by the PAH layer ^[24]. In all three geometries (S_0 , S_1 , S_2) ALP was added directly to the solution on top of the electrode without any direct attachment. We also tried to directly immobilize ALP on the electrodes. For this purpose QD coated Au electrodes were first modified with a PAH layer, leading to a positively charged surface (S_1). To this negatively charged ALP ^[25] was added by 5 minutes immersion in a solution of ALP (120 units / ml, pH = 7.8, 10 mM phosphate buffer). Attachment of ALP to PAH was mediated by electrostatic interaction (I_1). In order to increase the amount of immobilized ALP the coating procedure was repeated (I_2). The electrodes with one layer of ALP were immersed again for 5 minutes in a solution of PAH, followed by rinsing, and then for 5 minutes in a solution of ALP followed by rinsing. This step-wise multilayer assembly mediated by electrostatic interaction ^[24] lead to two layers of ALP on top of the QD coated Au electrodes. Layer-by-layer assembly was confirmed with fluorescence labeled polyelectrolytes (data see Supporting Information).

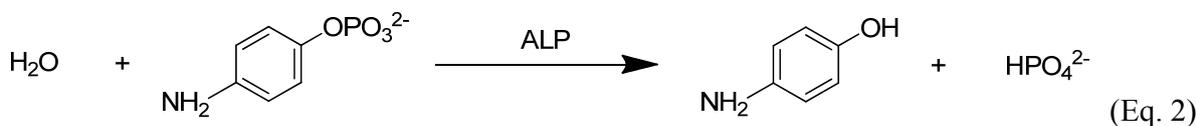
Electrochemical measurements of dose-response curves: A constant bias voltage U was applied and the base line photocurrent I_0 was measured in phosphate buffer solution (pH 7.8) by switching illumination on and off with mechanical shutter, see Figure 3. Then the electrochemical cell was rinsed twice and a known amount of 4AP (product of ALP) or pAPP (substrate for ALP) was added and the photocurrent I was measured again. Also hereby illumination was switched on and off several times with a mechanical shutter. For the next measurement the cell was again rinsed twice, an increasing amount of 4AP or pAPP was added, and the photocurrent I was measured while switching on and off the illumination. With this procedure the response in photocurrent $\Delta I(c) = I(c) - I_0$ to different concentrations of 4AP or pAPP was determined, see Figure 3. The resulting dose-response curves are plotted in Figures 4-5.

Results and Discussion:

Detection of 4AP and sensor principle: First we have investigated whether the CdS modified gold electrode can be used as transducer to the analysis of 4AP – the reaction product of ALP reaction. For this purpose the electrode potential U was varied and the current I was measured under pulsed illumination. A clear response of the photocurrent to the presence of 4AP was found indicating that the QD electrode provides a suitable surface for 4AP oxidation (cf. Figure 3). Since the electrochemical behavior of 4AP is well known the reaction can be given:



A maximum of photocurrent was detected for an applied bias potential of +200 mV against Ag/AgCl, 3M KCL (data are shown in the Supporting Information). For this reason all following measurements were performed at fixed bias $U = +200$ mV. On the basis of the sensitivity of the QD electrode for 4AP we wanted to construct a photoelectrochemical sensor. A sketch of our sensor concept is depicted in Figure 1. In presence of ALP pAPP is hydrolyzed to 4AP and HPO_4^{2-} (cf. Eq. 2) which is subsequently converted at the electrode under illumination.



The actual sensor electrode was composed out of QDs which were coupled via a 1,4 benzene dithiol (BDT) layer on top of a gold film electrode. A bias voltage $U = +200$ mV was applied and the corresponding current I was recorded. Upon illumination of the QDs electron-hole pairs were generated. Electron transfer could take place in between CdS QDs and the 4AP/QI - redox couple in solution and in between the QDs and the electrode. Thus the QDs could be used as a light-triggered interlayer to transfer electrons from the redox couple, present in solution to the electrode. The energetical situation of the electron transfer pathway is depicted in Figure 1b/c. 4AP could be only oxidized to 4QI if the two released electrons could be transferred to an energetically lower level. In case the bias U applied to a gold electrode was not positive enough (i.e. its Fermi level was above the energy of the 4AP/4QI redox couple), no oxidation of 4AP could occur (cf. Figure 1b). However, if at the same bias illuminated QDs were used oxidation of 4AP was possible (cf. Figure 1c). Upon illumination electrons in the QDs were excited from the valence band (VB) to the conduction band (CB), resulting in electrons (e^-) and holes (h^+). The holes were trapped in defect states (DS) ^[26] at the surface of the QDs. 4AP could now be oxidized to 4QI upon transferring the electrons to the QDs where they recombined with the holes. In turn electrons were transferred from the CB of the QDs to the gold electrode, thus creating an oxidation current I .

In order to realize this signal chain in a sensor format the enzyme needed to be immobilized on the photosensitive electrode. The layer by layer approach in depositing protein molecules is a very favorable technique since it allows control on the deposited amount in one layer but also in the whole assembly by the number of deposition steps^[27]. In order to deposit ALP the positively charged polyelectrolyte PAH was used here. We have investigated ALP as a monolayer but also as bilayer. In order to mimic the influence of the charge situation we have studied the effect of the polyelectrolyte alone on the sensing behavior. Figure 2 summarizes the different systems which have been analyzed on the way to a sensing electrode. To ensure high sensitivity for 4AP detection the influence of protein and polyelectrolyte interlayers on the photocatalytic oxidation of 4AP were investigated. The oxidation current for different 4AP concentrations was determined for all 5 geometries shown in Figure 2. For each geometry a dose response curve was generated, see Figure 4. Data demonstrate that the concentration of 4AP can be reasonably detected within the ranges of 25 μM to around 1.5 mM. For 4AP concentrations larger than 1.5 mM the photocurrent response is saturated for all geometries. However, there was a significant difference in the maximum response of the oxidation current. The maximum photocurrents ΔI_{max} at saturation are displayed in Table 1. For geometry S₂ the higher current probably might be due to electrostatic attraction of negatively charged PSS and 4AP. For geometry I₂ the photocurrent response is smaller than for the other geometries (Figure 4a). This might be ascribed to a rather dense assembly of ALP with PAH hindering 4AP to reach the QD modified electrode. At any rate the data show that the polyelectrolyte used and the immobilized protein still allow the conversion of the reaction product of ALP. Thus another important precondition for the sensor construction seems to be fulfilled.

Detection of p-aminophenyl phosphate: As an experimental complication it has to be pointed out that pAPP has limited stability, since pAPP decomposes slowly in alkaline solution^[28]. In order to be sure to test the enzyme activity on the CdS electrode pAPP has also been investigated with the 3 different geometries given in Figure 2 (without the enzyme). Only a very small response of about 1-2 nA was obtained (cf. Table 1 and Supporting Information). This is an order of magnitude lower than the response to 4AP and ensured specific detection of the substrate pAPP by the enzymatic conversion as will be shown in the following. In a first step the enzymatic reaction of ALP with pAPP causing the production of 4AP was investigated with the enzyme in solution. As has been shown above this is possible, as there is response of the photocurrent to the product 4AP, but barely to the substrate pAPP. As shown in Figure 5 a-c the enzymatic reaction could be detected for all the 3 geometries in which the enzyme was free in solution, as indicated in Figure 2. However, there were significant differences in the response curves. In contrast to the detection of 4AP alone (geometry S₀) the response in geometry S₂ for pAPP in the conversion with ALP is small, probably due to a depletion of the substrate near the electrode because of electrostatic repulsion.

In a final step the enzyme has been immobilized in a single and double layer as depicted in Fig. 2d and e. By this method the biospecific recognition element is part of the device and no substances have to be added to the solution despite the molecule to be detected here pAPP. In the case of geometry I₂ the maximum photocurrent response is relatively low (Figure 5e). This corresponds directly to the control experiments in which 4AP has been detected directly (Figure 4e). The ALP / polyelectrolyte layers seem to hinder diffusion of 4AP to the QD surface. However, for electrodes with a single layer of ALP fixed with the polyelectrolyte PAH a very well defined response to the enzyme substrate is obtained. This shows that the concept of a photobioelectrochemical sensor can be realized with the example of ALP. Sensitivity for pAPP detection could be provided in the range from 25 μM to 1.5 mM. We want to point out that the aim of this paper was not the development for a practical sensor for direct pAPP detection in real samples, but rather to demonstrate the proof of concept for a photo-triggered enzyme sensor (of the first generation). In order to further analyze the response behavior quantitatively the dose response curves were fitted with the Michaelis–Menten equation, cfr. Eq. 3 [29]. Hereby we assumed that the rate of the enzymatic reaction *v* was proportional to the oxidation current *I*, and thus $v/v_{\max} = \Delta I/\Delta I_{\max}$, whereby *v*_{max} is the maximum reaction rate. *K*_M is the (effective) Michaelis-Menten constant. This constant is a measure for the affinity of the enzyme to the substrate based on the rate constants within the reaction. Values are given in Table 1.

$$\Delta I / \Delta I_{\max} = c(\text{pAPP}) / (K_M + c(\text{pAPP})) \quad (\text{Eq. 3})$$

In literature *K*_M values of 0.48 mV [30] and 0.056 mV [31] have been reported, which are in the same order of magnitude as the values detected in our work. It has to be pointed out that in case of the presence of polyelectrolyte layers and enzyme immobilization the *K*_M values as reported in our study have to be considered as apparent *K*_M values. It has been reported that apparent *K*_M values increase due to increased diffusion barrier for the substrate [32]. Comparison of the ΔI_{\max} values as obtained for direct detection of 4AP (Figure 4) and detection of 4AP after enzymatic degradation of pAPP to 4AP shows that both oxidation signals (detected at the same geometry and provided abundance of enzyme) are quite similar. This is in good agreement with the detection principle proposed.

In summary the developed sensor as illustrated in Fig. 2 with the design d) by immobilizing the ALP via a the polyelectrolyte PAH provides a detection system for the enzyme substrate in the concentration range from 0.025 to 1 mM.

Conclusions:

A light controlled bioelectrochemical sensor for pAPP has been demonstrated. By using QDs as interlayer on gold 4AP could be oxidized and thus detected via a corresponding photocurrent in case the QDs were illuminated. This provides the basis for spatially resolved measurements [21]

by illuminating and reading only the area of interest of an electrode which is non-structured, but modified with different enzyme systems. The approach presented here allows for observing enzymatic reactions which yield 4AP as product. We have demonstrated this for the substrate pAPP and the enzyme ALP. A crucial point for such measurements is to ensure high local enzyme concentration and specificity for the detection of the enzymatic product. By using a polyelectrolyte layer of PAH the enzyme ALP could be immobilized on the electrode surface, retaining enzymatic activity. However, polyelectrolyte layers can also hinder diffusion of the molecule to be detected 4AP to the QD surface, thus hindering detection. For this reason permeability of the polyelectrolyte layers has been studied here for the respective molecule.

Acknowledgements:

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Tables

Geometry	ΔI_{\max} [nA] direct detection of 4AP	ΔI_{\max} [nA] direct detection of pAPP	ΔI_{\max} [nA] enzymatic reaction	K_M [mM] enzymatic reaction
S ₀	18.4	2.1	16.3	0.16
S ₁	14.4	2.1	14.0	0.12
S ₂	21.8	-	-	-
I ₁	14.8	-	9.8	0.29
I ₂	4.1	-	3.5	0.15

Table 1: Maximum oxidation currents are recorded for different geometries S₀, S₁, S₂, I₁, I₂ as recorded in phosphate buffer with pH = 7.8. Data are shown for detection of 4AP (cfg. Figure 4), pAPP (cfg. Supporting Information), and detection of 4AP after enzymatic degradation of pAPP with ALP (cfg. Figure 5). In the case of the enzymatic reaction also the Michaelis-Menten constant K_M is given.

Figures

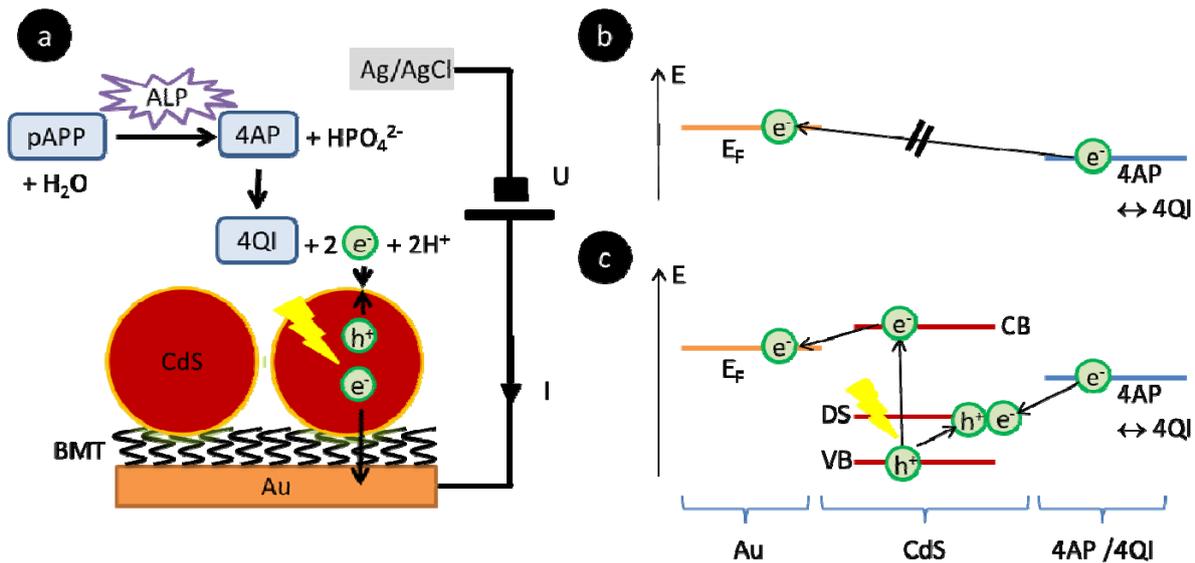


Figure 1: a) Sketch of the detection scheme. A bias voltage U is applied to a Au electrode versus an Ag/AgCl reference electrode in the bath solution. The Au electrode is coated with CdS QDs which are attached via a BDT layer. pAPP is in solution degraded by ALP to 4AP. Upon illumination of the QDs electron hole pairs are generated. This leads to oxidation of 4AP to 4QI on the QD surface, whereby electrons are transferred to the QD. Electrons are passed to the Au electrode and can be detected as oxidation current I . b) Without QDs as redox mediator oxidation of 4AP can't happen in case the bias potential U is not positive enough. Energy levels E are shown. For oxidation the Fermi level E_F of the Au electrode would need to be lower than the energy level at which electrons upon oxidation of 4AP are released. c) Illuminated QDs can act as redox mediator. Defect states (DS) at the QD surface (which are energetically above the valence band VB) prevent light generated electron hole pairs from immediate recombination. In this way electrons resulting from the oxidation of 4AP to 4QI can be transferred to the DS of the QD. In turn electrons from the conduction band (CB) can be drained via the BDT layer to the gold electrode, which is detected as oxidation / photocurrent.

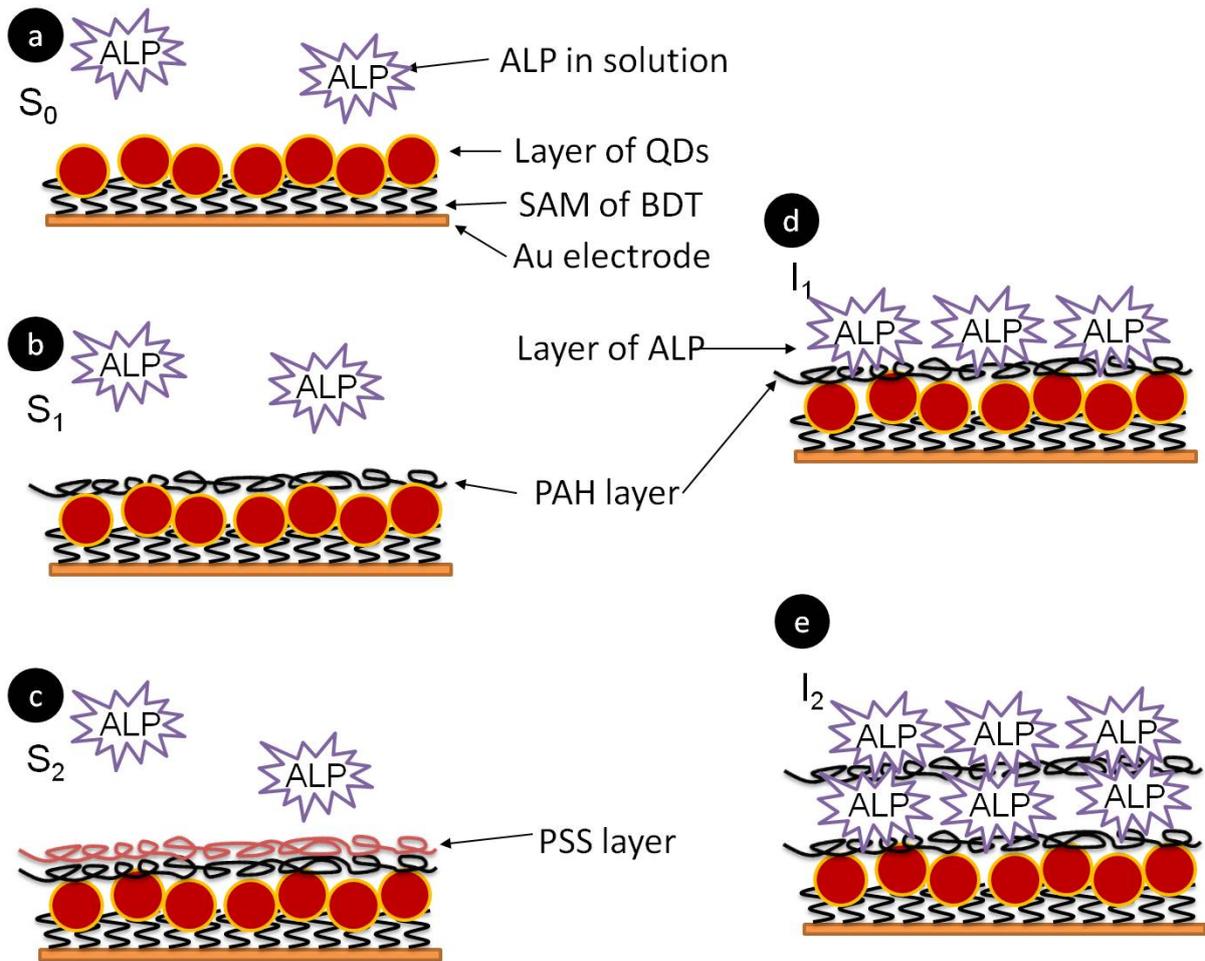


Figure 2: Different geometries for the immobilization of ALP. ALP can be either suspended in solution (S) or immobilized at the electrode surface (I). CdS QDs have been attached to the electrode surface via a BDT layer and spin coating. On top of the QD layer optionally polyelectrolyte layers out of PAH and PSS are added. Hereby i is the number of polyelectrolyte layers: S_0 , S_1 , S_2 , I_0 , I_1 . a) S_0 : immobilization of QDs via spin coating with ALP in solution. b) S_1 : a single layer of PAH is added on top of S_0 . c) S_2 : a layer of PSS is added on top of S_1 . d) I_1 : ALP is immobilized on top of S_1 . e) I_2 : A second double layer of PAH and ALP is immobilized on top of I_1 .

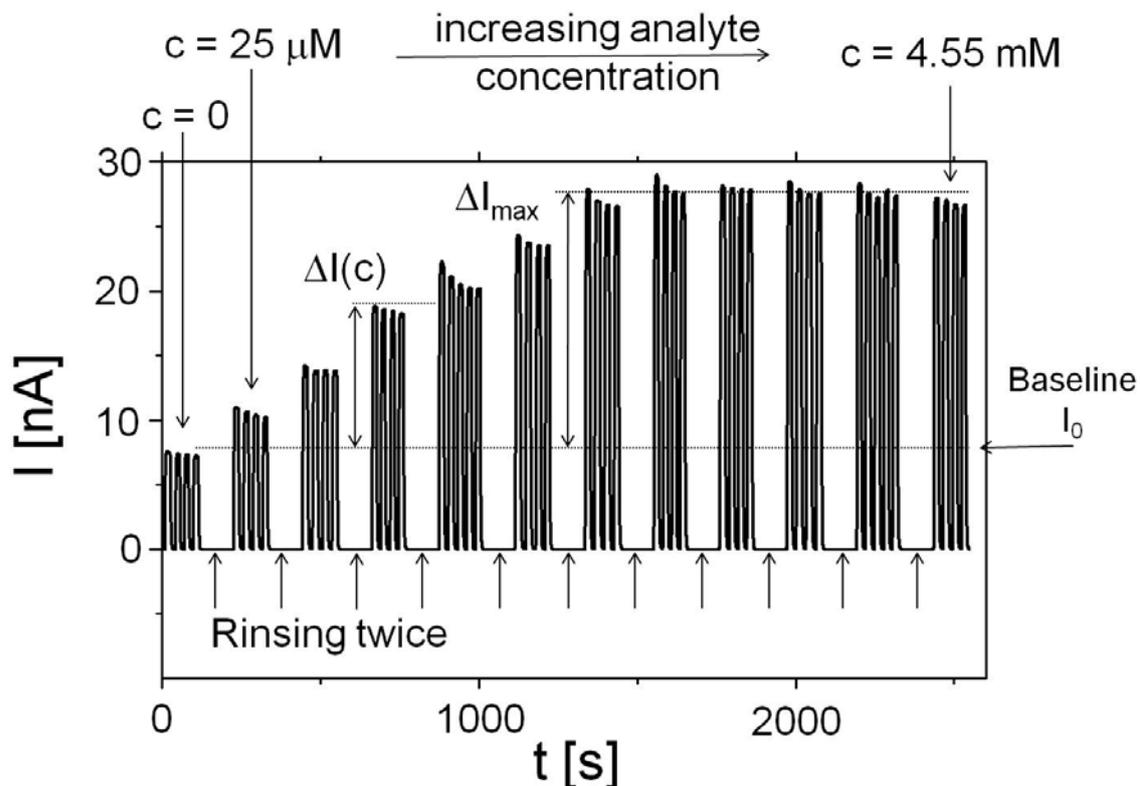


Figure 3: Detection principle of dose response curves. A constant bias $U = +200$ mV is applied and current I is detected. Hereby illumination is switched on and off with a shutter. During the periods without illumination no current can flow. The base line current I_0 is detected. After 2 rinsing steps analyte is added (in this case 4AP dissolved in 25% methanol and 75% phosphate buffer at pH 5, geometry S_0) and the respective photocurrent I is recorded in phosphate buffer with final pH = 7.8. This process is repeated while successively adding more analyte (in the present example 4AP concentration was increased from 25 μ M to 4.55 mM). The respective oxidation current response $\Delta I(c)$ for each analyte concentration c is derived by subtracting the base line I_0 from the detected photocurrent $I(c)$. The dose response curve for the present example is displayed in Figure 4a.

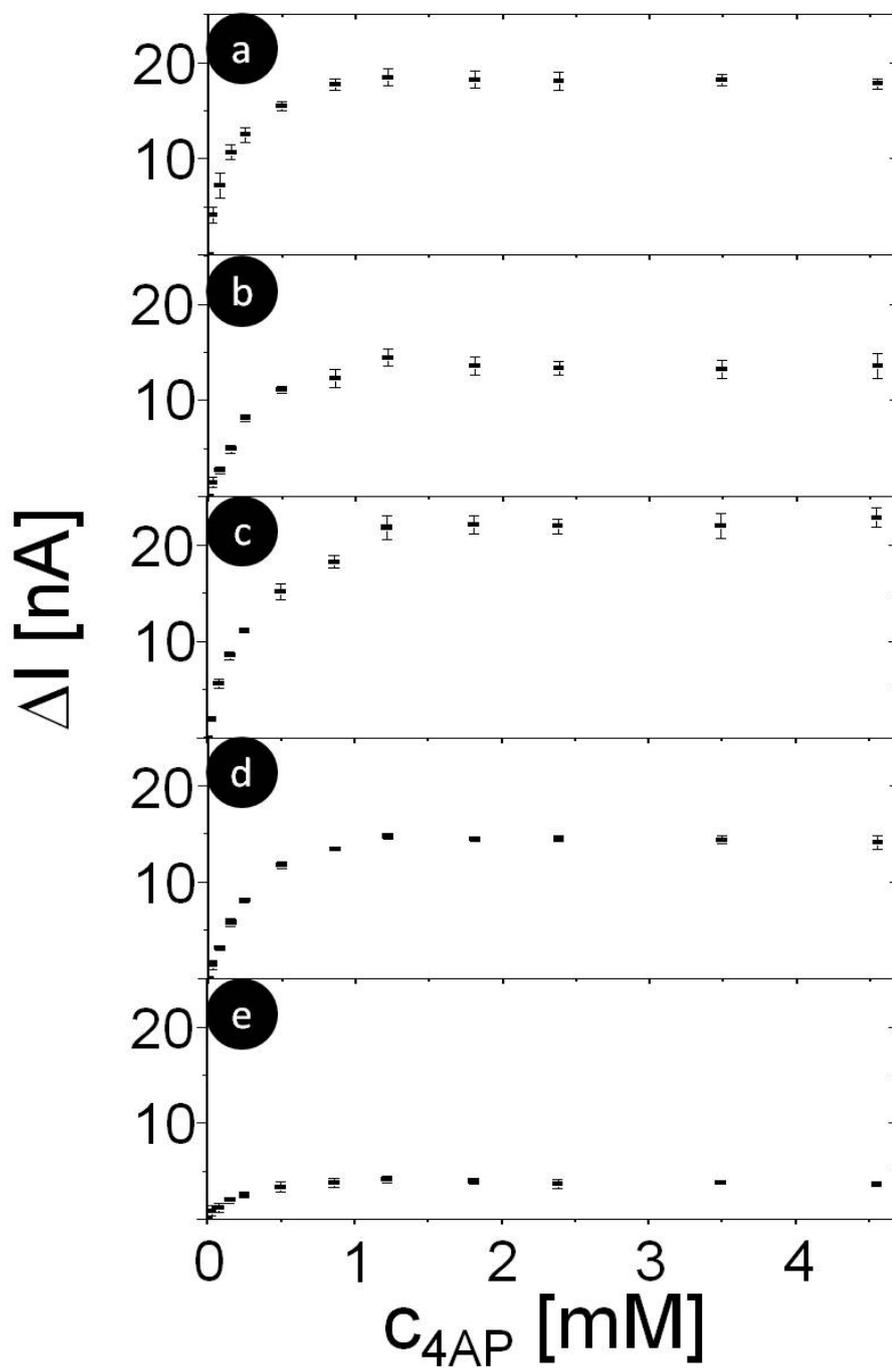


Figure 4: Dose response curve for detection of 4AP (originally dissolved in 25% methanol and 75% phosphate buffer pH 5) as recorded in phosphate buffer pH 7.8 at bias potential of +200 mV for geometries a) S_0 , b) S_1 , c) S_2 , d) I_1 , e) I_2 . The resulting photocurrent I is plotted versus the concentration c of 4AP.

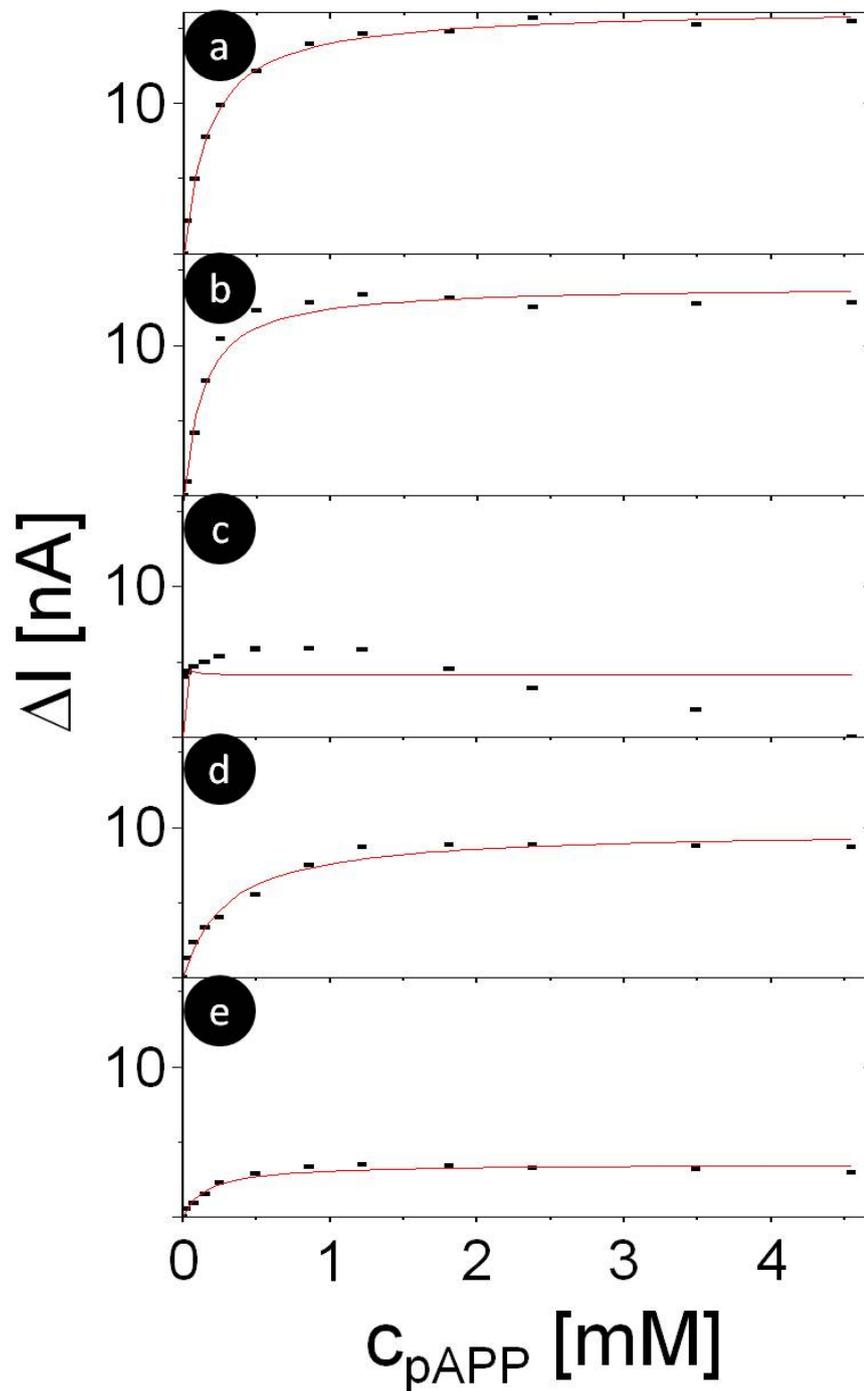


Figure 5: Dose response curve for detection of pAPP as recorded in phosphate buffer pH 7.8 at bias potential of +200 mV under the presence of ALP (120 units per 2 ml in case of geometry S) for geometries a) S_0 , b) S_1 , c) S_2 , d) I_1 , e) I_2 . The resulting photocurrent I is plotted versus the concentration c of pAPP. The solid line in each of the curves indicates a fit with the Michaelis-Menten equation. Values are displayed in Table 1

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Light triggered detection of aminophenyl phosphate with a quantum dot based enzyme electrode

SUPPORTING INFORMATION

- (1) Cleaning of Gold Electrodes
- (2) Immobilization of quantum dots (QDs) on the electrode surface
- (3) Confirmation of QD immobilization
- (4) Detection of 4-aminophenol (4AP) and p-aminophenyl phosphate (pAPP)
- (5) Immobilization of ALP in polyelectrolyte layers on top of the QD layer
- (6) Set-up for the detection of photocurrents

(1) Cleaning of Gold Electrodes.

Gold electrodes were prepared by sputtering first 20 nm film of TiO_2 followed by a 100 nm film of Au on glass slides. After sonication in toluene for five minutes eventual oxide layers on top of the gold surface were removed by cyclic voltammetry (CV) in 0.1 M NaOH from -0.8 to +0.2 V, following by CV in 0.5 M H_2SO_4 from -0.2 to +1.6 V. After CV the gold electrodes were rinsed vigorously with water and then dried with the nitrogen flow. CV traces are shown in Figure SI-1.

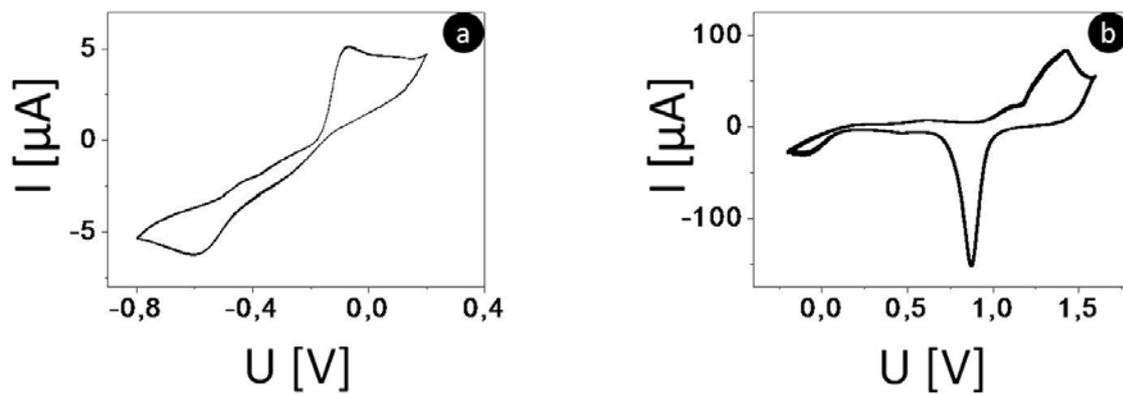


Figure SI-1: Cyclic voltammetry of bare gold electrodes in (a) NaOH and (b) H_2SO_4 . The current I is plotted versus the applied bias voltage U (versus an Ag/AgCl reference electrode). No illumination / lock-in detection was used.

(2) Immobilization of quantum dots (QDs) on the electrode surface

In a first step 1, 4 benzene dithiol (BDT) molecules were used as the anchoring support for QDs on the gold surface. Self assembled monolayers (SAMs) of BDT were attained by immersing the gold electrodes in 50 mM solution of BDT in toluene for 24 hours¹, see Figure SI-2. BDT contains two SH groups within the benzene ring at position 1 and 4. It is intended that one of the SH groups sticks to the gold surface, while the other one assists the attachment of QDs.

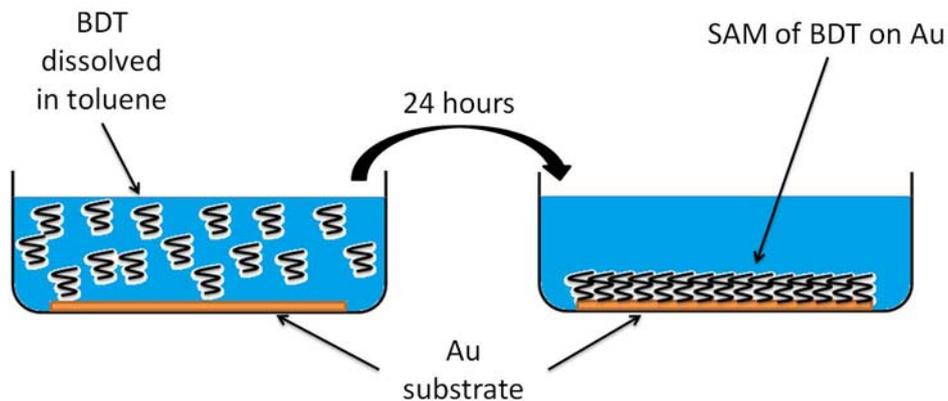


Figure SI-2: Coating of the Au surface with a SAM of BDT.

CdS QDs were synthesized using the protocol established by Kudera et al.^[1] using an organic solvent based synthesis, leaving their surface coated with trioctyl phosphine oxide (TOPO). The average diameter of these QDs was around 3 nm with $\lambda_{\text{abs}} = 383$ nm. During attachment of the QDs to the BDT layer a local ligand exchange of TOPO to the thiols (SH) of the BDT is expected to take place. For attachment of QDs to the surface of BDT coated Au electrodes, 3 methods were explored. For the first method QDs were immobilized via immersion of the BDT immobilized gold electrode in a QD solution in toluene for 48 hours, see Figure SI-3.

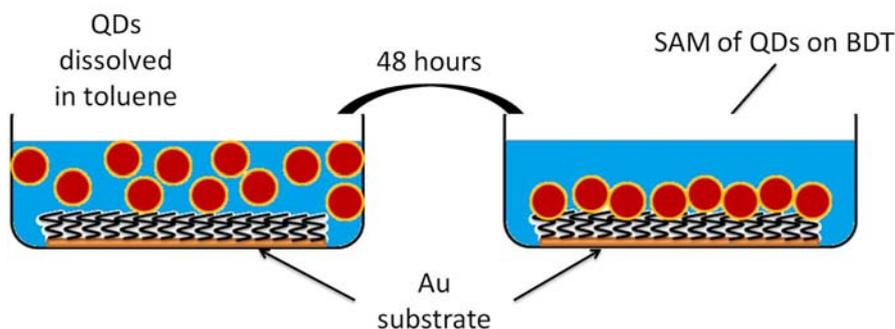


Figure SI-3: BDT-coated Au electrodes are immersed for 48 hours in a QDs / toluene solution.

¹ We also tried to immobilize BDT via spin coating, which however did not yield good results.

However during the experiments with QD layers prepared in this way we observed a significant loss of photocurrent, which we assumed to be due to a loss of QDs from the surface of the homogeneous layers. The second method of immobilization of QDs spin coating was employed, see Figure SI-4. The BDT coated gold electrodes were mounted on the vacuum holder of a spin coated and a spin coated with QDs (dissolved in toluene, $c \approx 136 \mu\text{M}$) at 6000 rpm for 3 minutes^[2]. For all following measurements this second QD immobilization method was used. We had also tried to further stabilize the spin coated QD layer by an additional very thin spin-coated layer of photo-resist on top of the QDs. However, while this layer enhanced the stability of the photocurrent, the sensitive to analytes such as 4-aminophenol (4AP) or hydrogen peroxide (H_2O_2) was lost. So this method was not used.

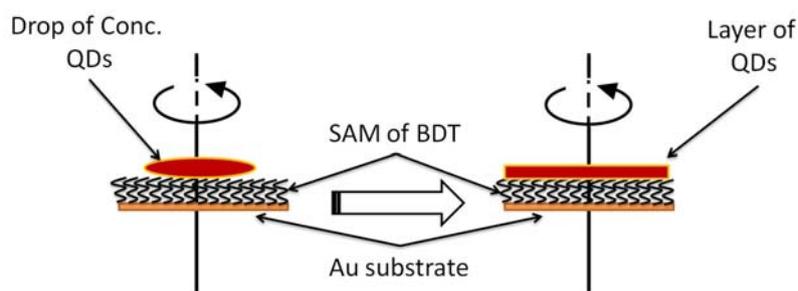


Figure SI-4: Spin-coating of QDs on top of BDT-coated Au electrodes.

(3) Confirmation of QD immobilization

Immobilization of QDs on BDT coated Au electrodes was confirmed with i) CV in hexacyanoferrate solution and ii) via photocurrent detection. In Figure SI-5 voltammograms recorded in 0.1 M phosphate buffer and with ferri / ferrocyanide (50 mM $\text{Fe}(\text{CN})_6^{3-}$ and 50 mM $\text{Fe}(\text{CN})_6^{4-}$) are shown. On the bare Au electrode the characteristic oxidation and reduction peaks are visible ($E^\circ = 0.361$ V vs. NHE at 25 °C). At positive bias U around 0.4 V Fe(II) is oxidized to Fe(III). In contrast, virtually no oxidation or reduction peaks were recorded for the Au electrodes with BTD / QD layer. In addition there was a decrease of the charging current of about 30 times compared to bare Au electrodes, indicating the presence of the BDT / QD layer.

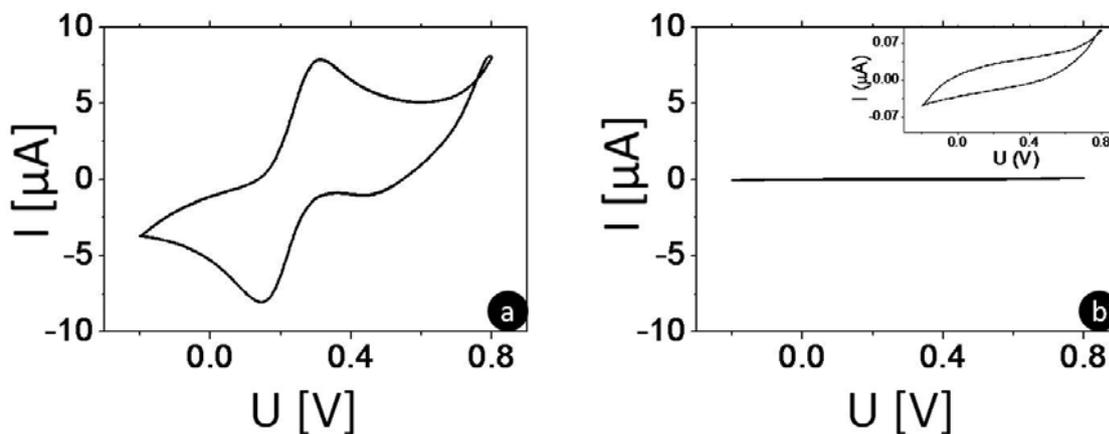


Figure SI-5: Cyclic voltammograms in phosphate buffer and hexacyanoferrate (a) with bare Au electrodes and (b) with QDs immobilized on BDT-coated Au electrodes. No illumination / lock-in detection was used.

Alternatively we confirmed QD immobilization via photocurrent detection. In Figure SI-6 the currents upon illumination are shown at an applied bias potential of $U = +200$ mV. In Figure SI-6 (a) pulses of light are illuminated on bare Au electrode but no photocurrent can be observed, however upon illuminating the light pulses on QDs immobilized Au electrodes photocurrent can be observed as shown in Figure SI-6 (b). This goes on to show that a layer of QDs is located on Au surface.

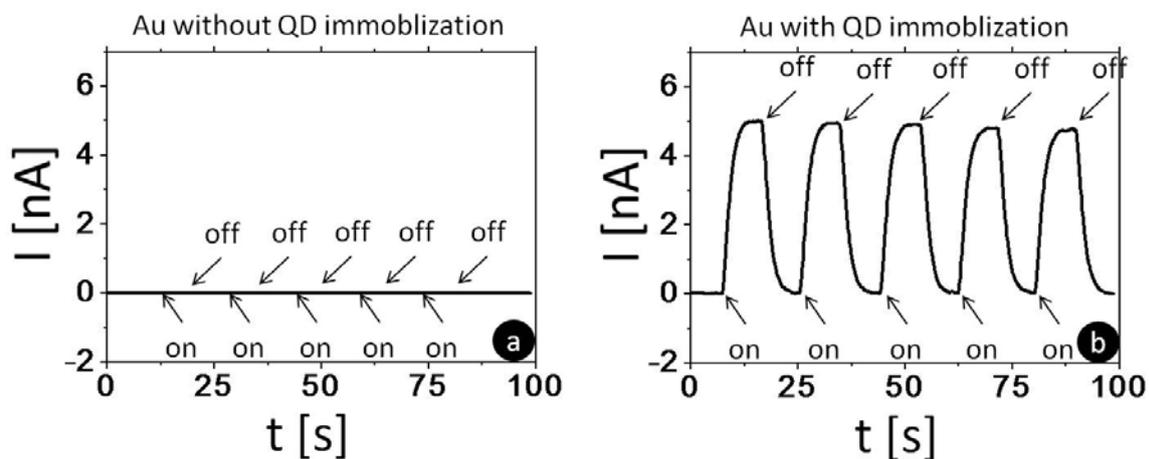


Figure SI-6: Current I recorded at fixed bias $U = +200$ mV of BTD-coated Au electrodes without (a) and with (b) immobilized QDs. Illumination was switched on and off. Currents were detected with the help of a lock-in amplifier ^[2] (modulation of light source $f = 23.8$ Hz, time constant of lock-in $\tau = 1$ s).

(4) Detection of 4-aminophenol (4AP) and p-aminophenyl phosphate (pAPP)

4-aminophenol (4AP) is the product of the enzymatic reaction of the enzyme alkaline phosphatase (ALP) and its substrate p-aminophenyl phosphate (pAPP). 4AP is not very stable^[3]. It oxidizes quickly under light and regular atmosphere conditions.

For this reason 4AP was dissolved in 25% methanol and 75% buffer at pH = 5 at room temperature, and also measurements were carried out at room temperature. As oxidation of 4AP was significantly reduced under these conditions all following experiments were carried out with this way of 4AP preparation. Only under these conditions preventing self oxidation of 4AP the 4AP signal was significantly higher than the one for pAPP².

4AP was detected upon oxidation on the surface of illuminated QDs. For this purpose a bias favoring oxidation have to be chosen. Thus the bias at which the maximum oxidation current could be generated under illumination had to be determined. As a result for all following measurements a bias $U = +200$ mV was chosen, as oxidation of 4AP was found to be maximum at this voltage.

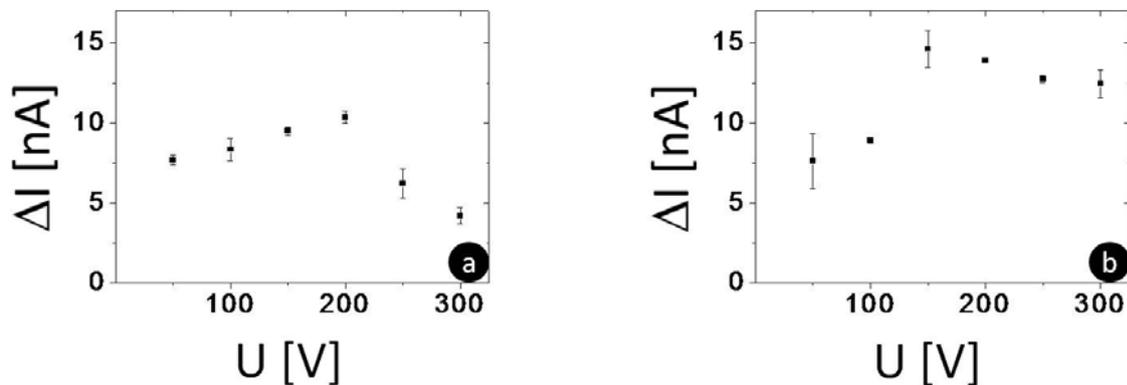


Figure SI-7: Oxidation current ΔI in dependence of the applied bias U (versus an Ag/AgCl reference electrode). As bath solution 1.22 mM 4AP in 0.1 M phosphate buffer (pH = 7.8) was used. Measurements were performed for geometry S_0 (a) and S_1 (b). The data points correspond to averages of currents as recorded from two different electrodes. Currents were detected with the help of a lock-in amplifier^[2] (modulation of light source $f = 23.8$ Hz, time constant of lock-in $\tau = 1$ s).

pAPP was synthesized following the protocol from Frew et al.^[4]. For this purpose p-nitrophenyl phosphate (pNPP) was used, which is another substrate for enzyme ALP^[5]. 10 g of pNPP was dissolved in 23.81 ml of distilled water and the pH was adjusted to 9 by addition of 10% NaOH. Then 21.71 g of $\text{Na}_2\text{S}\cdot 9\text{H}_2\text{O}$ was added and the solution was heated to 90-95 °C for 1 hour. The solution was then allowed to cool down. After cooling concentrated HCl was added to obtain a

very low pH ~ 0 . The solution was allowed to cool down and the pH was then adjusted to 4-5 with 25% NaOH. Then, the solution was filtered and the filtrate was washed with boiling methanol. The product was finally obtained in the form of crystals. pAPP is a much better substrate for ALP than pNPP^[6], and it can be detected at much lower potential than pNPP, which allows for reducing noise caused by high bias potentials.

Dose response curves for 4AP and pAPP for different geometries are shown in Figure SI-8 and Figure SI-9. In geometry S_2 the dose response curve for pAPP differed from the expected shape. Since the polyelectrolyte layers are charged there might be the possibility of some impurity molecules accumulating at the charged PSS layer. It was noteworthy however that only the polyelectrolyte PSS was causing this, as PAH immobilized in geometry S_1 did not have any effect. As control for impurities besides pAPP as synthesized in our group also pAPP from Diagno Swiss was used, which yielded the same results.

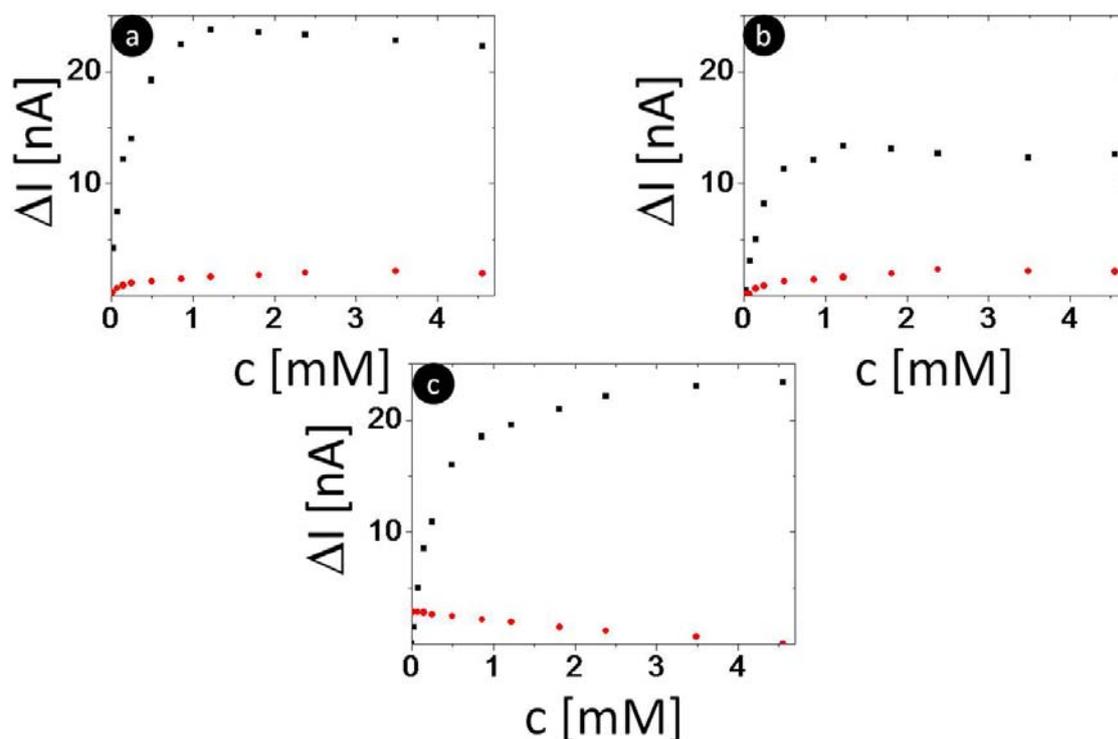


Figure SI-8: Dose response curves for 4AP (black) and pAPP (red) measured in 0.1 M phosphate buffer with pH 7.8 for geometries S_0 (a), S_1 (b), S_2 (c). Currents were detected with the help of a lock-in amplifier^[2] (modulation of light source $f = 23.8$ Hz, time constant of lock-in $\tau = 1$ s).

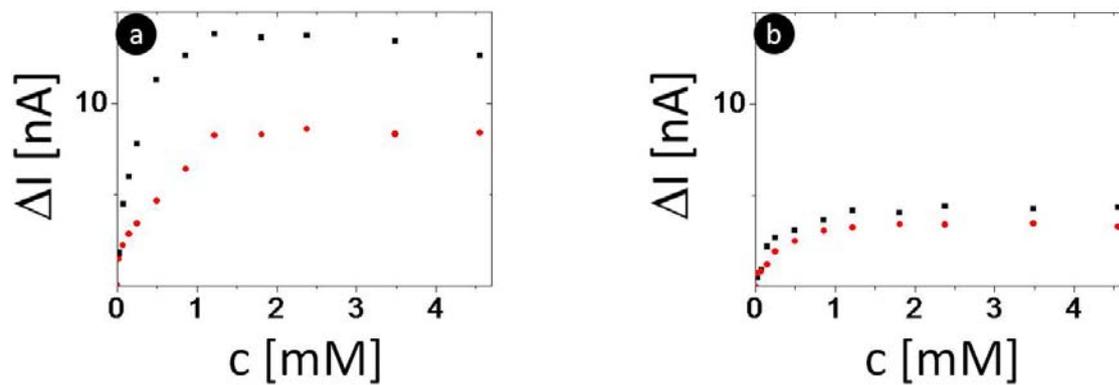


Figure SI-9: Dose response curves for 4AP (black) and pAPP (red) measured in 0.1 M phosphate buffer with pH 7.8 for geometries I_1 (a), and I_2 (b). Currents were detected with the help of a lock-in amplifier^[2] (modulation of light source $f = 23.8$ Hz, time constant of lock-in $\tau = 1$ s).

(5) Immobilization of ALP in polyelectrolyte layers on top of the QD layer

In order to observe attachment of the enzyme ALP to the PAH layers we conjugated ALP with FITC dye. As shown in Figure SI-11 we observed that ALP conjugated with FITC caused an increasing fluorescence signal with increasing number of PAH + ALP double layers (geometries I_i , with the number of PAH + ALP double layers i).

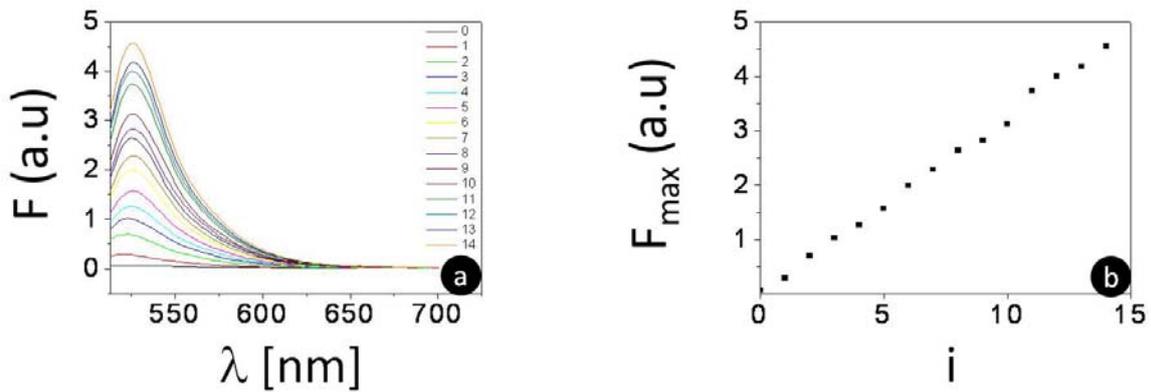


Figure SI-10: a) Fluorescence spectra $F(\lambda)$ of electrodes with i PAH + ALP double layers. The fluorescence is due to FITC labeling of ALP. b) Maximum of fluorescence plotted versus the number of PAH + ALP double layers i .

(6) Set-up for the detection of photocurrents

Au Electrodes: Gold chips were prepared by sputtering first 20 nm film of TiO_2 on glass slides followed by a 100 nm film of Au. Chips were then cut to $7 \text{ mm} \times 7 \text{ mm}$ chip. For electrical connection a wire was soldered on one edge of the chip, c.fg. Figure SI-13.

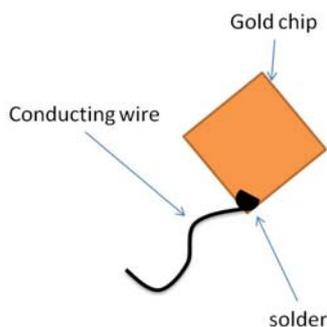


Figure SI-13: Sketch of Au chips

Electrochemical measurement cell: A sketch of our electrochemical measurement cell which is placed on top of the Au chips is shown in Figure SI-14. It comprises a hollow cylinder, which contains the bath solution and builds a support for both, the reference and the counter electrode. The bath solution can be exchanged via an outlet. Light is entering the chamber from the top to hit the gold chip on the bottom. At the bottom the cylinder is closed besides a small hole with a radius of 2.5 mm. The cylinder is tightly sealed on top of the gold chip with an O-ring.

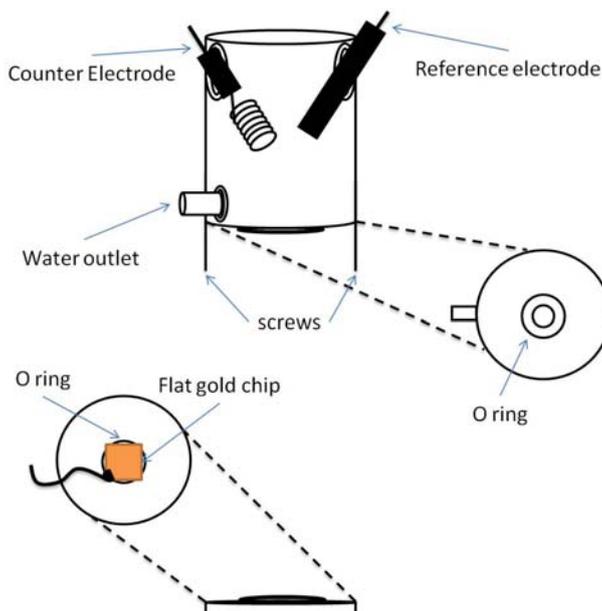


Figure SI-14: Schematics of the home made electrochemical measurement cell.

Light Source: As light source a Xe arc lamp with $\lambda_{em} = 300 - 700 \text{ nm}$, controlled by a lamp power supply LPS 220 by Photon Technology International was used.

Lenses for focusing the light pointer: To focus the light from the arc lamp to the electrochemical cell a convex and a plano-convex lens along with a 45° mirror were used as shown in Figure SI-16. All three components were purchased from Linos Germany.

Optical chopper: An optical chopper (Scitec instruments) was introduced in the light path before the lens to modulate the incident light at a desired frequency.

Lock-in Amplifier: An EG&G lock-in amplifier (Model # 5210) was used to improve the signal to the noise ratio. The reference frequency for the lock in was the modulation frequency used for the chopper. The lock-in was connected to the current output I of the three electrode system. The output of the lock in was interfaced with a PC and read by a serial port reader, c.fg. Figure SI-16.

Three electrode system: In the three electrode system the operational amplifier provides a constant potential at which working electrode (WE, in our case the Au chip) is set to ground. A fixed potential is applied to the reference electrode (RE, in our case the Ag/AgCl electrode). The counter electrode (CE, a platinum wire) lies at a higher or lower potential in comparison to the WE. The CE generates the current by oxidizing or reducing the redox pairs in solution.

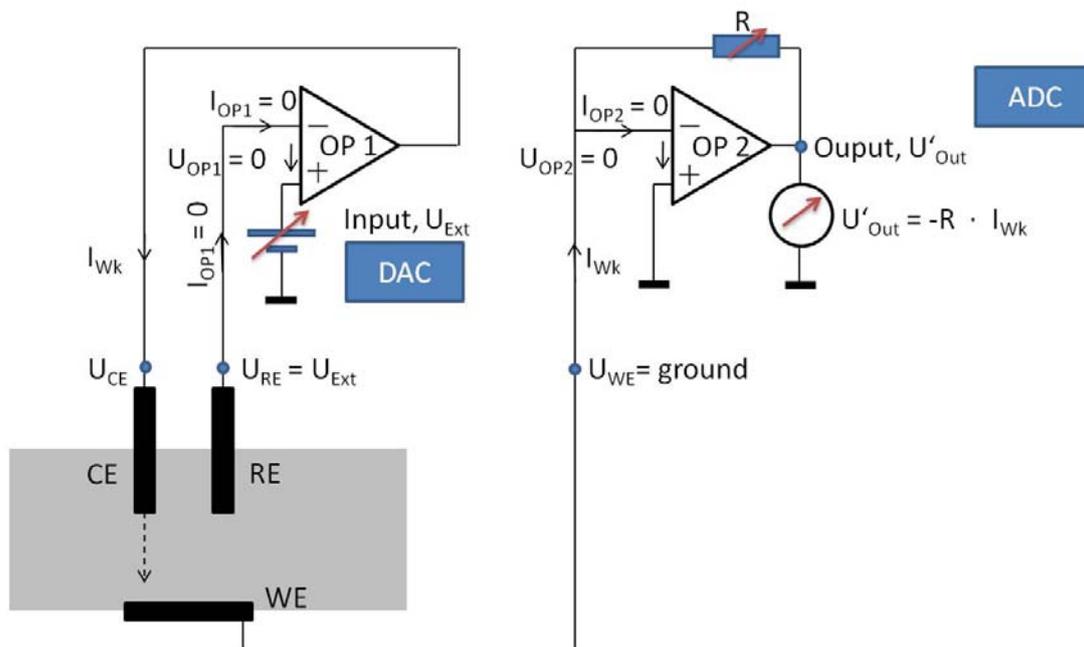


Figure SI-15: Schematics of a three electrode system

The essential components of our system were three electrodes and a microcomputer with an interface card for digital to analog (DAC) and analog to digital (ADC) conversion. A Ag/AgCl saturated RE, a spiral like platinum CE, and a gold chip WE were assembled within the electrochemical cell as shown in Figure SI-14. Two operational amplifiers OP1 and OP2 were

mounted within the three electrode system. The voltage U_{Ext} (= the bias voltage U in the cyclic voltammograms) was applied by the DAC at the + input of OP1. The RE was directly connected with the - input of OP1. No current flows through the RE and I_{OP1} is zero. The purpose is to ensure that the voltage difference between the RE and WE always remains constant (at bias voltage $U = U_{ext}$). OP2 has a variable resistor mounted between the - input and output of OP2 to increase the sensitivity of the instrument. This arrangement is called current to the voltage converter. The output of OP2 is measured with the ADC or the lock-in amplifier. The output is proportional to the current $I = I_{Wk}$ through the WE and CE. A ± 2 V input and output range is set for the DAC and ADC lab board. Input and output resolutions was 16 bit.

Block Diagram: The electrochemical cell and the three electrode system were placed into a Faraday's cage to shield against external static electric fields. The light from the light source was focused with a convex lens. In between the convex lens and the light source a chopper was installed to modulate the light at desired frequency. The incident light was aligned after passing through the lens. The resulting light beam was focused with the help of a plano-convex lens on the surface of the QD coated Au chip inside the electrochemical measurement cell

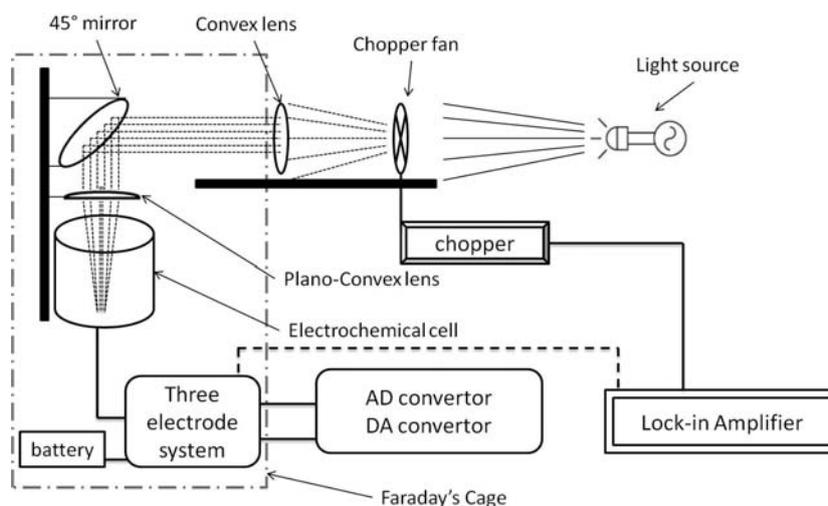


Figure SI-16: Block diagram of the setup

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Evaluation of quantum dots applied as switchable layer in a light-controlled electrochemical sensor

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Abstract Gold electrodes with switchable conductance are created by coating the gold surface with different colloidal quantum dots. For the quantum dot immobilization, a dithiol compound was used. By polarizing the electrode and applying a light pointer, local photocurrents were generated. The performance of this setup was characterized for a variety of different nanoparticle materials regarding drift and signal-to-noise ratio. We varied the following parameters: quantum dot materials and immobilization protocol. The results indicate that the performance of the sensor strongly depends on how the quantum dots are bound to the gold electrode. The best results were obtained by inclusion of an additional polyelectrolyte film, which had been fabricated using layer-by-layer assembly.

Keywords Light-addressable potentiometric sensor · Quantum dots · Photocurrent

Introduction

In standard sensor arrays, each active spot typically needs to be connected with one individual wire. As the number of required wires of an $n \times n$ sensor matrix scales with n^2 , sensor arrays with a large number of active spots are technologically challenging [1]. For this reason, several research groups have worked toward sensors in which the active spot where the measurement takes place is selected via optical illumination instead of hardware wiring. The basic idea of such a light-addressable potentiometric sensor (LAPS) is rather simple [2, 3]. The original surface of a LAPS electrode comprises a semiconductor and insulator layer. Due to the bad conductivity of the insulator, no DC current flow is possible. However, if parts of the device are optically illuminated with a modulated light source, the local AC conductivity goes up due to the creation of electron-hole pairs. This creates a local short circuit which and thus defines the active spot, where an AC photocurrent can flow [1, 3]. By scanning a light pointer along the sensor surface, any site can be selectively defined as active spot at which the measurement is supposed to take place [4–7].

By immobilizing different enzymes at distinct locations of the sensor surface, different enzymatic reactions could be detected by subsequently switching the light pointer to the different locations to read out the respective electrochemical signal via measurement of the local photocurrent [8–17]. The lateral resolution, i.e., the size of the smallest possible active spot which can be achieved, is on the order of a few micrometers to a few tens of micrometers. This is

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due to that fact that light-generated electron-hole pairs can laterally diffuse within the semiconductor layer [18] and that photocurrent can flow wherever free charge carriers are available [19–26]. Better spatial resolution should be obtained by preventing lateral movement of free charge carriers within the semiconductor layer. This might be achieved by using a semiconductor layer, which is composed out of a lot of small semiconducting islands distributed along the sensor surface, which are not electrically connected. We have recently created a prototype of such a device by coating the surface of a gold electrode with a film of discrete colloidal semiconductor nanoparticles (quantum dots (QDs)) [27–29]. We were able to demonstrate the principle of obtaining a light-generated and concentration-dependent photocurrent, but we have not investigated the spatial resolution of the device yet.

Besides being a light-modulated switch for conductance, the colloidal semiconductor nanoparticles can uptake or deliver electrons from the environment [30–33]. We have demonstrated this by using the redox protein cytochrome *c* and a low molecular weight substrate (superoxide) in solution above a nanoparticle-covered gold electrode [28]. Although the protein was not directly linked to the nanoparticle layer, we were able to record changes in the photocurrent due to electron transfer from the substrate via the protein to the nanoparticles and then to the gold electrode. This could be also shown for high molecular weight, enzymatic reaction partners of cytochrome *c* such as nitrate reductase [34]. Since a direct tunnelling of electrons between the active centers of enzymes to colloidal nanoparticles has also been shown for first examples [34–36], this may open a new field of research where direct protein electrochemistry can be combined with light-triggered readout of electrodes for analytical purpose.

However, here we want to address a different aspect. In our prototype, light-generated DC photocurrent is achieved by a semiconductor layer only (see Fig. 1), in contrast to the AC photocurrent of the original LAPS, which also involves an insulator layer. Such spatially resolved DC photocurrent by selective illumination of different parts of the sensor surface has been already demonstrated before [37]. DC current can flow, as in case of illumination, and the semiconductor layer has enhanced conductivity compared to the situation without illumination. As in the literature, a huge variety of different colloidal semiconductor nanoparticles are reported [38, 39], and the major aim of the present report was to investigate the dependence of the material of the nanoparticle on some characteristic parameters of the device. We have thus to point out that in this report, the device is not used for the actual sensing of analytes, as we did not integrate any sensitive layer. This report is about the characterization of the construction of the device with the

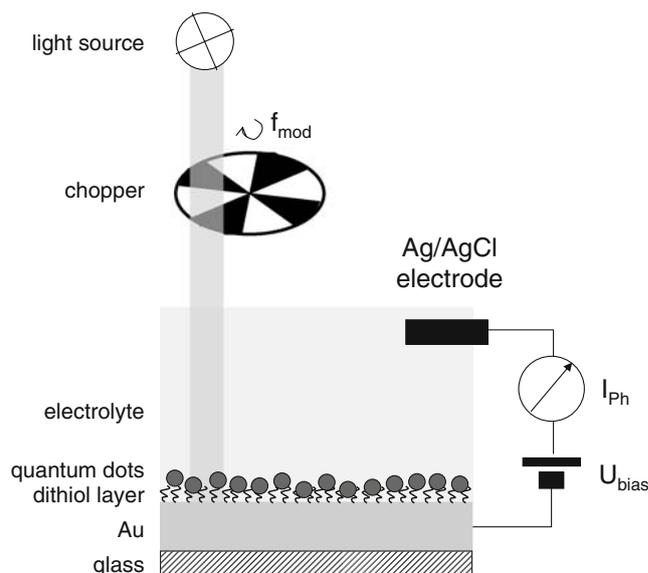


Fig. 1 A gold chip (glass coverslip with film of evaporated Au; the Ti layer between Au and glass which solely promotes adhesion of Au on glass is not drawn) is coated with a layer of dithiols onto which colloidal QDs have been adsorbed. A bias voltage U_{bias} is applied between the gold chips and a reference electrode in the electrolyte above the gold chip. The gold chip is illuminated with a light source with power P_{illum} , and the corresponding DC current $I_{\text{Ph(DC)}}$ is measured in dependence of the bias voltage. The illumination can be optionally modulated with a chopper of frequency f_{mod} . In this case, the amplitude $\langle I_{\text{Ph(AC)}} \rangle$ of the modulated photocurrent is measured with a lock-in amplifier. The angle brackets indicate that the lock-in converts the modulated AC current $I_{\text{Ph(AC)}}$ in a DC current $\langle I_{\text{Ph(AC)}} \rangle$, which corresponds to the amplitude of the modulated AC current

goal of improving stability and signal-to-noise ratio of the readout.

Materials and methods

Semiconductor nanoparticles (QDs) were grown via thermal decomposition of precursors under the presence of organic surfactant molecules following published procedures. In particular, we synthesized spherical CdSe [40], CdSe/ZnS [41], CdS [42], and CdTe particles and rod-shaped CdSe [42] and stored them in toluene.

Particles were immobilized on glass chips, which had been covered with a 100-nm-thick evaporated Au film on top of a 20 nm Ti layer (which was used as adhesion mediator of the Au film) in the following way: Initially, Au electrodes were sonicated in ethanol and acetone for 5 min each, and then they were cleaned by using cyclic voltammetry in 1 M NaOH for 15–20 min and later in 500 mM H_2SO_4 for 25–30 min. After cleaning the electrodes, the following method was adopted for immobilizing the nanoparticles. The cleaned Au electrodes were incubated in 50 mM 1,4 benzene-dithiol for 40 h. Then the electrodes were

rinsed with toluene to remove excess of 1,4 benzene-dithiol. We now applied three different methods of immobilization. In the first case, the Au electrodes were simply incubated in QD solutions for 48 h. Again the electrodes were rinsed with toluene to remove excess of unbound QDs. In the second case, the Au electrodes were spin-coated with QD solution, and a QD film formed by evaporation of the toluene. In the third case, QDs were brought to the Au electrodes by spin coating, as described in the second case. After this, 10 bilayers of PSS/PAH (sodium poly(styrene sulfonate)/poly(allylamine hydrochloride)) were placed on top of the QD layer by layer-by-layer assembly [43].

The prepared chips were put into a home-built measurement cell. A bias voltage U_{bias} was applied using a home-built potentiostat between the gold film as working electrode and an Ag/AgCl reference electrode (#MF-2078, RE-6 Reference Electrode, BASi, Warwickshire, UK) the bath solution on top of the sensor surface. As bath solution of 0.1 M phosphate buffer pH 7.5 at 25 °C with 50 mM of a Fe(III)/Fe(II) redox couple (potassium ferri-/ferrocyanide) were used. Light of a Xenon lamp (PTI Model A-1010 Arc Lamp Housing, UXL-75Xe Xenon lamp from USHIO, Japan, powered by PTI LPS-220) was focused to the surface of the particle covered gold film with a lens to a spot with around 3 mm diameter (23 mW; local illumination power P_{illum} , as detected with a photometer (Fieldmaster photometer coherent)), and the corresponding photocurrent I_{ph} was recorded. In order to achieve better signal-to-noise ratio, the light pointer was modulated with a mechanical chopper (modulation frequency f_{mod}), leading to an AC photocurrent of the same frequency. By using a lock-in amplifier, the amplitude of this photocurrent $I_{\text{ph,mod}}$ was determined [44].

Results and discussion

The schematic diagram of the electrode arrangement is given in Fig. 1. QDs were first attached to the Au electrode by chemisorption to the dithiol layer present on the Au surface. Binding is thought to happen by a local ligand exchange in which the TOPO ligand on the nanoparticle surface (from the particle synthesis) is partly replaced by binding to the dithiol layer [45, 46]. We do not have evidence that the nanoparticle layer on top of the dithiol layer is nicely ordered. However quartz crystal microbalance measurements with CdSe/ZnS nanoparticles immobilized by a similar incubation protocol indicate coverage in the range of a monolayer. In order to get more homogeneous QD layers, the QDs were alternatively immobilized by spin coating instead of mere incubation in QD solution. As a third variation, we added a polymer film formed by layer-by-layer adsorption of oppositely charged polyelectrolytes on top of the QD layer as formed by spin coating. This permeable polymer film is thought to stabilize the QD layer.

Illumination of QDs generates electron-hole pairs as free charge carriers [47]. In Fig. 2, cyclic voltammograms of QD-coated Au chips without and under constant illumination are shown. No redox peaks of the Fe(III)/Fe(II) couple are visible. This indicates sufficient passivation of the surface of the Au electrode with the dithiol layer. Under illumination, the conductivity of the QDs is increased, and thus, the current $I_{\text{ph,DC}}$ in the voltammograms is higher under illumination than in the case without illumination. In other words, upon turning the light on and off, the current $I_{\text{ph,DC}}$ changes accordingly. This is the principle which potentially allows for spatially resolved measurements. At any selectively illuminated position, the current $I_{\text{ph,DC}}$

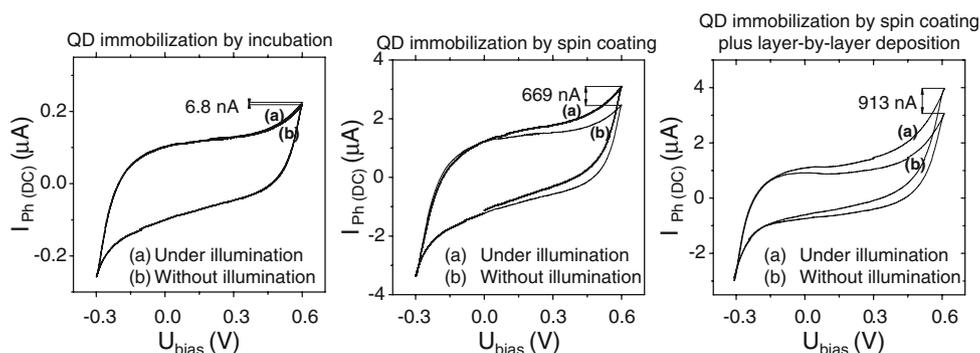


Fig. 2 A variable bias voltage U_{bias} was applied with triangular shape in cyclic voltammetry with a sweep rate of 50 mV/s for the $I_{\text{ph(DC)}}$ measurements. The current I_{ph} was recorded depending on the cyclically applied bias voltage. Three different surfaces were examined: (1) gold chips coated with CdS ($\lambda_{\text{green}}=342$ nm, whereby λ is the wavelength of the first exciton peak of CdS the QDs) immobilized by incubation, (2) gold chips coated with QDs

immobilized by spin coating, and (3) gold chips which with QDs immobilized by spin coating and layer-by-layer deposition. As electrolyte of 0.1 M phosphate buffer with 50 mM ferri-/ferrocyanide was used. The first voltammogram was recorded with constant illumination ($P_{\text{illum}}=23$ mW, $f_{\text{mod}}=0$), the second one without illumination ($P_{\text{illum}}=0$). The resulting DC current $I_{\text{ph(DC)}}$ is plotted versus the applied bias voltage U_{bias}

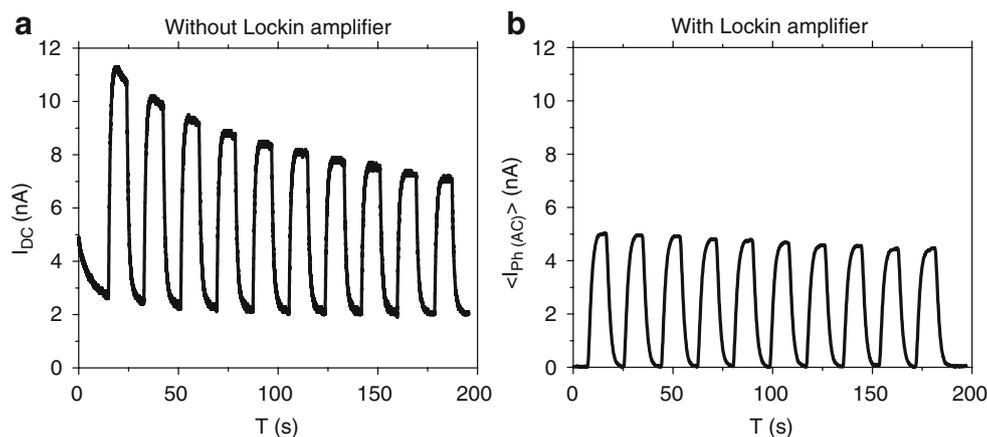


Fig. 3 A constant bias voltage $U_{\text{bias}}=200$ mV was applied to gold chips with CdS QDs ($\lambda_{\text{green}}=342$ nm, whereby λ is the wavelength of the first exciton peak of CdS QDs) immobilized via spin coating. The chips were in contact with 0.1 M phosphate buffer, pH 7.5. **a** The light was switched on ($P_{\text{illum}}=23$ mW) and off ($P_{\text{illum}}=0$) in intervals of 10 s each, and the photocurrent $I_{\text{Ph(DC)}}$ was recorded against time.

Note that the change in current upon switching on and off the light pointer is significantly higher than the constant background current, which is due to bypassing the QD switch. **b** The light was modulated ($f_{\text{mod}}=23.8$ Hz) and switched on ($P_{\text{illum}}=23$ mW) and off ($P_{\text{illum}}=0$) in intervals of 10 s each, and the amplitude of the resulting photocurrent $\langle I_{\text{Ph(AC)}} \rangle$ was recorded against time with a lock-in amplifier

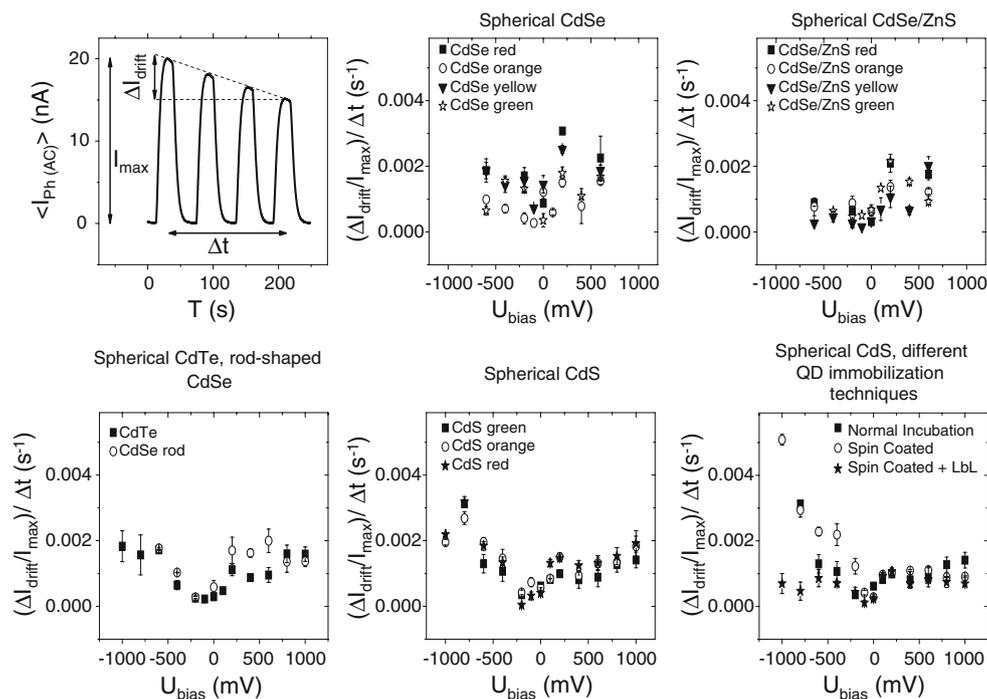


Fig. 4 A constant bias voltage U_{bias} was applied to gold chips with immobilized QDs. The chips were in contact with 0.1 M phosphate buffer, pH 7.5. The chips were illuminated with modulated light ($f_{\text{mod}}=23.8$ Hz), and the amplitude of the resulting photocurrent $\langle I_{\text{Ph(AC)}} \rangle$ was determined with a lock-in amplifier. Within the time interval Δt of a few minutes, the light source was four times switched on ($P_{\text{illum}}=23$ mW) and off ($P_{\text{illum}}=0$), whereby time traces of $\langle I_{\text{Ph(AC)}} \rangle$ were recorded. From these data, the influence of drift on the photocurrent was derived as $(\Delta I_{\text{drift}}/I_{\text{max}})/\Delta t$. Here I_{max} is the initial amplitude of the photocurrent $\langle I_{\text{Ph(AC)}} \rangle$, and ΔI_{drift} is the loss in current during the time interval Δt . $(\Delta I_{\text{drift}}/I_{\text{max}})/\Delta t$ values at different applied bias voltages U_{bias} obtained on chips with nanoparticles made out of different materials are displayed: spherical CdSe $\lambda_{\text{red}}=588$ nm,

$\lambda_{\text{orange}}=560$ nm, $\lambda_{\text{yellow}}=532$ nm, and $\lambda_{\text{green}}=515$ nm; spherical CdSe/ZnS $\lambda_{\text{red}}=596$ nm, $\lambda_{\text{orange}}=570$ nm, $\lambda_{\text{yellow}}=540$ nm, and $\lambda_{\text{green}}=521$ nm, rod-shaped CdSe $\lambda_{\text{rods}}=665$ nm; spherical CdTe $\lambda=441$ nm; spherical CdS $\lambda_{\text{red}}=426$ nm, $\lambda_{\text{orange}}=369$ nm, and $\lambda_{\text{green}}=342$ nm; whereby λ is the wavelength of the first exciton peak of the respective QDs. The QDs were immobilized to the Au electrode by incubation. Only in the last image was a comparison of different immobilization methods given. Spherical CdS QDs ($\lambda_{\text{green}}=342$ nm) were immobilized by incubation, immobilized by spin coating, and immobilized by spin coating and layer-by-layer deposition, respectively. The values are the average of measurements performed on different devices at different days and their standard deviation as *error bar*

would increase in dependence on the electrode potential applied. To directly measure the difference in current $\Delta I_{Ph,DC} = I_{Ph,DC}^{(illuminated)} - I_{Ph,DC}^{(dark)}$ without the background DC current, the lock-in technique can be advantageously employed. For this purpose, the light is periodically turned on and off with the modulation frequency f_{mod} , and the amplitude of the thus modulated photocurrent $\langle I_{Ph,AC} \rangle \propto \Delta I_{Ph,DC}$ is detected. In Fig. 3, photocurrent measurements under modulated illumination are shown. As expected, the amplitude of the modulated photocurrent roughly corresponds to the difference in DC current with and without illumination. The lock-in technique has the advantage to remove the DC background current (i.e., $\langle I_{Ph,AC}^{(dark)} \rangle = 0$) and thus part of the initial drift, and it reduces the noise level. Thus the lock-in technique should be very useful for spatially resolved measurements.

The aim of this study was now to investigate the influence of the material of the QDs and the way of their immobilization on the photocurrent behavior. As can be seen in Fig. 2, QD layers made by spin coating (with or

without additional polymer film) lead to significantly higher currents. In the experiments with QD layers made by incubation and spin coating (without the additional polymer film), a decrease of the photocurrent can be seen in the first subsequent measurements. One explanation might be a loss of QDs upon measurements. No significant loss in photocurrent upon repetitive measurements was seen in the case of spin-coated QDs with additional layer-by-layer assembly of a polymer film on top of them. These data already indicate that incubation of the QDs on the gold electrode plays a crucial role in the performance of the device. The data shown in Fig. 2 suggest that immobilization of QDs with spin coating increases the amount of detectable photocurrent and that the additional polymer film above the QD layer shows some stabilizing effect.

For more detailed analysis, three parameters were tested for chips prepared with different nanoparticles and with different immobilization methods: drift of the photocurrent, noise level of the photocurrent, and influence of the illumination intensity onto the photocurrent. We used

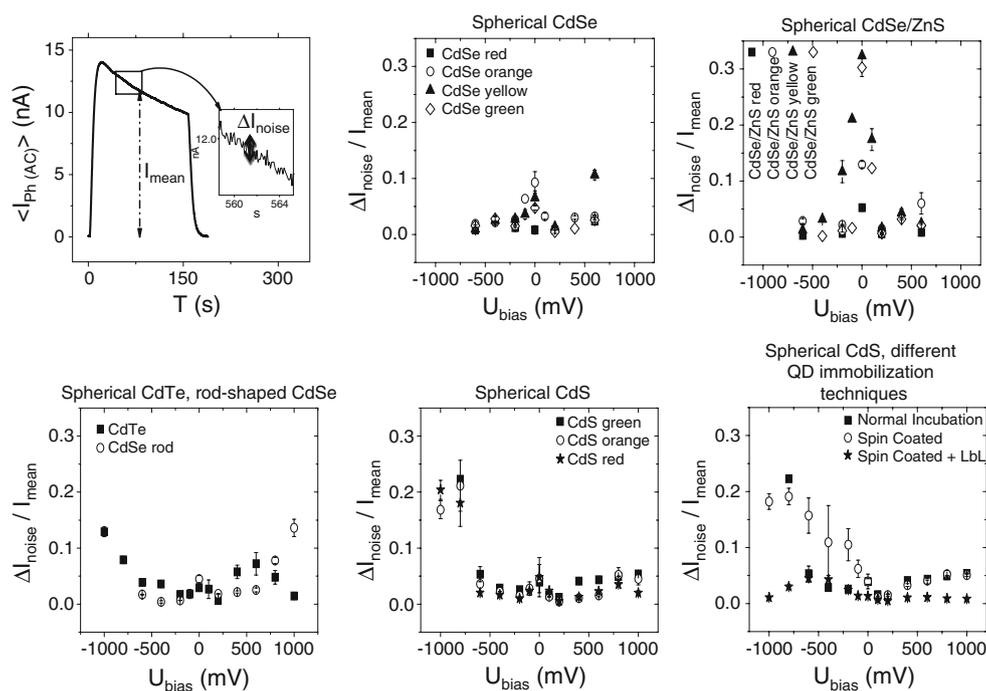


Fig. 5 A constant bias voltage U_{bias} was applied to gold chips with immobilized QDs. The chips were in contact with 0.1 M phosphate buffer, pH 7.5. The chips were illuminated with modulated light ($f_{mod}=23.8$ Hz), and the amplitude of the resulting photocurrent $\langle I_{Ph(AC)} \rangle$ was determined with a lock-in amplifier. Following the determination of drift, the signal-to-noise ratio was determined for all different chips and bias voltages. The light source was switched on ($P_{illum}=23$ mW), and the mean amplitude of the photocurrent I_{mean} and the height of fluctuations ΔI_{noise} was determined. Using these data, we derived the relative noise level as $\Delta I_{noise}/I_{mean}$. The graphs show the $\Delta I_{noise}/I_{mean}$ values as obtained for several bias voltages U_{bias} on chips with nanoparticles made out of different materials: spherical CdSe $\lambda_{red}=588$ nm, $\lambda_{orange}=560$ nm, $\lambda_{yellow}=532$ nm, and

$\lambda_{green}=515$ nm; spherical CdSe/ZnS $\lambda_{red}=596$ nm, $\lambda_{orange}=570$ nm, $\lambda_{yellow}=540$ nm, and $\lambda_{green}=521$ nm; rod-shaped CdSe $\lambda_{rods}=665$ nm; spherical CdTe $\lambda=441$ nm; spherical CdS $\lambda_{red}=426$ nm, $\lambda_{orange}=369$ nm, and $\lambda_{green}=342$ nm; whereby λ is the wavelength of the first exciton peak of the respective QDs. The QDs were immobilized to the Au electrode by incubation. Only in the last image was comparison of different immobilization methods given. Spherical CdS QDs ($\lambda_{green}=342$ nm) were immobilized by incubation, immobilized by spin coating, and immobilized by spin coating and layer-by-layer deposition, respectively. The values are the average of measurements performed on different devices at different days and their measurement deviation as error bar

spherical CdSe, CdS, CdTe, and CdSe/ZnS particles and rod-shaped CdS particles. For probing the mode of immobilization, spherical CdS particles were immobilized with QDs by mere incubation, with spin coating, and spin coating with additional adsorption of a layer-by-layer assembled polymer film. As the photocurrent is dependent on the applied electrode polarization, the experiments were done at different bias voltages U_{bias} . All measurements were performed in triplicate for the different chips. The measurement cycle and the results for the different QD materials and incubation methods are summarized in Figs. 4, 5, and 6.

We first characterized drift, as it was found that during the first light pulses, the photocurrent shows a running in behavior. In order to characterize this drift, the chips were investigated with the following cycle: Firstly, the light source which was modulated with a chopper was four times switched on and off, while the amplitude of the photocurrent was measured. The change in the amplitude of the photocurrent I_{drift} during this cycle was determined and normalized to the maximum amplitude I_{max} , and the time interval Δt in which the light was switched on and off four times. In this way, we got $(\Delta I_{\text{drift}}/I_{\text{max}})/\Delta t$ as parameter for

the temporal stability of the sensor readout. As can be seen from the results presented in Fig. 4 for all investigated nanoparticles, there was drift in the amplitude of the photocurrent over time. The effect is particularly pronounced when rather large photocurrents are generated, i.e., under highly negative and highly positive polarization ($U_{\text{bias}} \ll 0$ and $U_{\text{bias}} \gg 0$; see Fig. 4). The initial drift behavior is obviously a general property of this system and not dependent on the nature of the QDs. As all QDs have their first exciton peak between 300 and 600 nm, illumination with a Xenon lamp with continuous spectrum facilitates excitation of electron-hole pairs in all cases. In contrast, we found an influence of the immobilization method on the stability of the photocurrent. Coating of the QD layer with a layer-by-layer assembled polymer film drastically reduced drift compared to the other modes of QD immobilization, in particular for negative polarization ($U_{\text{bias}} \ll 0$). We speculate that the creation or saturation of surface states at the QD surface may appear as one reason for this time-dependent behavior. Another reason might be the loss of QDs from the electrode surface. The fact that the photocurrent is more stable upon the presence of a polymer film on top of the QDs

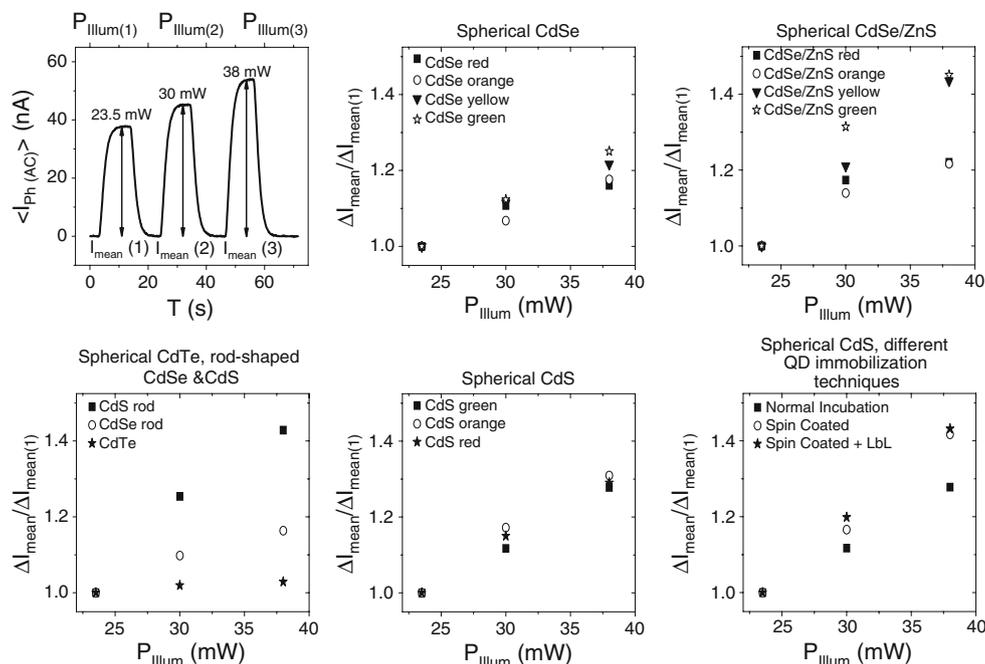


Fig. 6 A constant bias voltage $U_{\text{bias}}=200$ mV was applied to gold chips with immobilized QDs. The chips were in contact with 0.1 M phosphate buffer, pH 7.5. The chips were illuminated with modulated light ($f_{\text{mod}}=23.8$ Hz), and the amplitude of the resulting photocurrent $\langle I_{\text{Ph(AC)}} \rangle$ was determined with a lock-in amplifier. The light source was turned on three times for several tens of seconds with different illumination power ($P_{\text{illum}}=23, 30, \text{ and } 38$ mW), and the corresponding mean value I_{mean} of the amplitude of the photocurrent $\langle I_{\text{Ph(AC)}} \rangle$ was determined. From the three data points, $\Delta I_{\text{mean}(i)}/\Delta I_{\text{mean}(1)}$ ($i=1, 2, 3$) was determined. These values are plotted for chips made with different QDs: spherical CdSe $\lambda_{\text{red}}=588$ nm, $\lambda_{\text{orange}}=$

560 nm, $\lambda_{\text{yellow}}=532$ nm, and $\lambda_{\text{green}}=515$ nm; spherical CdSe/ZnS $\lambda_{\text{red}}=596$ nm, $\lambda_{\text{orange}}=570$ nm, $\lambda_{\text{yellow}}=540$ nm, and $\lambda_{\text{green}}=521$ nm; rod-shaped CdSe $\lambda_{\text{rods}}=665$ nm; spherical CdTe $\lambda=441$ nm; spherical CdS $\lambda_{\text{red}}=426$ nm, $\lambda_{\text{orange}}=369$ nm, and $\lambda_{\text{green}}=342$ nm; whereby λ is the wavelength of the first exciton peak of the respective QDs. The QDs were immobilized to the Au electrode by incubation. Only in the last image was a comparison of different immobilization methods given. Spherical CdS QDs ($\lambda_{\text{green}}=342$ nm) were immobilized by incubation, immobilized by spin coating, and immobilized by spin coating and layer-by-layer deposition, respectively

suggests that this polymer film reduces loss of QDs from the electrode. In all cases, drift was strongest in the beginning of measurements. This offers the possibility to perform a pre-conditioning with several light pulses before a stable photocurrent is generated. After equilibration, stable photocurrents can be generated rather for a long time. For example, permanent illumination of a QD electrode with CdSe/ZnS nanoparticles for 1 h resulted only in a current decrease of about 10%.

Second, in order to characterize the noise behavior, the modulated light source was switched on, and fluctuations in the recorded amplitude of the photocurrent ΔI_{noise} were recorded. Normalization of the noise to the average amplitude (i.e., the mean value) of the photocurrent I_{mean} led to the noise level $\Delta I_{\text{noise}}/I_{\text{mean}}$. Results are presented in Fig. 5. Noise is highest for very negative bias voltages, where also high photocurrents are flowing. $\Delta I_{\text{noise}}/I_{\text{mean}}$ also peaks around $U_{\text{bias}} \approx 0$. This is due to the fact that the mean photocurrent I_{mean} is very low at this point. Again, the biggest influence is the mode of QD immobilization. QDs immobilized by spin coating with addition polymer film on top of them showed the lowest noise profile, in particular at very low and very high bias.

Third, for the investigation of the light power influence, the modulated light was turned on and off three times with different illumination intensities P_{illum} , and the respective mean amplitudes of the photocurrent I_{mean} were determined. In all cases, the photocurrent rose linearly with the illumination power; see Fig. 6. This indicates that the variation in charge carrier generation inside the QDs can be followed by the electron transfer reaction to the electrode in all cases. Thus, this step seems to be not the limiting one at least for the light intensities tested.

Comparison of all three parameters for the chips with QDs of different materials does not lead to highly significant different values. This can be understood by the fact that in this study we were using white-light illumination in a spectral range at which all QDs sufficiently absorb light. On the other hand, we found an improvement upon addition of a polymer film on top of the QD layer. This film reduced initial drift and noise, in particular at very negative bias voltages. In all cases, the stability and the signal-to-noise ratio of the photocurrent were best for small positive and negative bias voltages of only a few hundred millivolts. This suggests the operation range for future measurements.

Conclusions

Using QDs as light-controlled switch for spatially resolved measurements has been demonstrated to be a feasible concept. In this work, we have investigated drift, i.e., missing stability in the sensor readout after preparation, to

be one important shortcoming of the sensor. This effect is likely to be caused intrinsically by the sensor readout. Photocurrents are thought to oxidize or reduce the QDs and respective surface states as well the interconnecting dithiol layer. Also a loss of QDs from the sensor surface cannot be ruled out. We have demonstrated that the addition of a polymer film on top of the QDs can significantly reduce as well initial current drift as noise. This might be due to the fact that the polymer film prevents loss of the underlying QDs from the Au electrode. Also the changed chemical environment by the polymer might change the filling up of surface states or might reduce particle–particle communication. In terms of using the device for detection of analytes, the presence of the polymer film will impose certain restrictions. While similar polymer films have been demonstrated to be permeable for small analytes [48, 49], certainly bigger molecules would not be able to reach the QDs as they would not be able to penetrate the polymer film. However, this also could be turned to an advantage. Enzymes can be conveniently integrated in the polymer film in between the different layers [50, 51]. This would offer a convenient way to immobilize enzymes directly on top of the QD layer. Small analytes as substrate could permeate the network of the polymer film, reach the enzymes, and get processed to the product, which would be finally detected as local photocurrent. By immobilization of different enzymes at different regions of the sensor surface, the advantage of the possibility of spatially resolved measurements could be finally put into practice.

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Quantum-Dot-Modified Electrode in Combination with NADH-Dependent Dehydrogenase Reactions for Substrate Analysis

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A quantum dot–electrode system was developed which allows the sensitive detection of NADH (nicotinamide adenine dinucleotide). The colloidal semiconductive CdSe/ZnS nanocrystals (quantum dots) are attached to gold by chemisorption via a dithiol compound. The current signal can be triggered by illumination of the quantum-dot-modified electrode surface. Because of photoexcitation, electron–hole pairs are generated in the quantum dots, which can be detected as anodic or cathodic photocurrent. The immobilization of the nanocrystals is verified by amperometric photocurrent and quartz crystal microbalance (QCM) measurements. This study shows that CdSe/ZnS quantum dot-modified electrodes allow concentration dependent NADH detection in the range of 20 μ M to 2 mM already at rather low potentials (around 0 V vs. Ag/AgCl, 1 M KCl). Therefore such electrodes can be used in combination with NADH-producing enzyme reactions for the light-triggered analysis of the respective substrates of the biocatalyst. It can be shown that glucose detection is feasible with such an electrode system and photocurrent measurements.

Introduction

Nanostructuring of surfaces is an intensively investigated area already for several years. Nanostructured devices can exhibit improved properties such as an enhanced catalytic activity or sensitivity.^{1–3} Small and defined structures can be achieved by different approaches including the use of molecular self-assembly, thin polymer layers, and nanoparticles.^{4–8}

Because of their size, nanoparticles can exhibit modified physical properties in contrast to their bulk materials. Therefore, they are increasingly incorporated in analytical detection systems and sensors.^{1,2,6,9–11} Beside metal nanocrystals and carbon nanotubes also semiconductive nanoparticles have attracted considerable interest. Because of unique photophysical properties quantum dots are suitable as optical labels for biosensing. They feature high fluorescence quantum yields, size-dependent luminescence properties, and significant higher stability against photobleaching in contrast to organic fluorophores.^{12–15} Different synthesis ways and chemical surface modifications with different

ligands and capping agents provide the possibility of effective coupling of quantum dots to biomolecules and surfaces and the development of hybrid systems.^{10,12,16–19} These properties and the similar dimensions of semiconductive nanocrystals to biomolecules have led to applications as photonic labels in bioanalytical systems. Thus, quantum dots have been used in numerous biorecognition systems,^{20,21} such as immunoassays^{22,23} or the detection of nucleic acids.^{24–26}

Semiconductive nanocrystals have also unique electronic features. Photogenerated excitons may electrically communicate with electrode surfaces and lead to an anodic or cathodic photocurrent. Excited conduction-band electrons of the quantum dots can be transferred to an electrode or to an electron acceptor in solution. Electrons can also be transferred from an electrode or a solubilized electron donor to valence-band holes in the quantum dots. Therefore, a quantum dot layer between the electrode and a redox system can be used for a light-triggered read-out of the electron transfer reaction with the electrode. However, until now only a few systems have been developed. One example are quantum dot–DNA hybrid systems for DNA sensing and the

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engineering of organized nanostructures.^{1,27–29} The interaction of immobilized semiconductive nanocrystals with proteins has also been demonstrated in a few electrochemical studies. The electrochemical reaction of surface-fixed quantum dots with redox active proteins such as cytochrome *c* can influence the photocurrent as shown in our previous study.³⁰ Additionally, this photocurrent can be controlled by interaction of cytochrome *c* with superoxide radicals³¹ as well as lactate dehydrogenase and nitrate reductase in solution.³² An enzymatic reaction can also be observed by the detection of the catalytic products at the nanoparticle-modified electrode.³³

Since NADH participates in the enzymatic reaction of over 300 dehydrogenases, it is a very interesting candidate for following enzyme reactions amperometrically.³⁴ Thus, it can be used for the development of electrochemical biosensors. The redox potential of NADH/NAD⁺ is -0.32 V vs SHE. However, for the electrochemical oxidation of NADH at untreated electrodes an overpotential of more than 1 V is necessary.³⁴ In this potential range many other redox active species are oxidizable.³⁵ A further major problem is the electrode fouling during the oxidation process of NADH due to the formation of dimers or stable adducts with reaction intermediates.^{36,37} To overcome the mentioned problems, several pretreatments of the electrode surface have been developed.^{38–41} A very convenient way is the modification of the surface with low molecular weight mediators such as mono- or polyaromatic compounds.^{34,42–45} In more recent studies the effective application of nanoparticles as electrocatalyst for the oxidation of NADH was also demonstrated.^{46–48}

In this study we want to demonstrate the applicability of quantum dots for NADH sensing. This is based on the immobilization of CdSe/ZnS nanocrystals on gold. The use of quantum dots on the electrode provides a photoswitchable interlayer allowing the spatial read-out of the sensor surface. This provides the basis for the combination of the CdSe/ZnS electrode with a NADH-producing enzyme reaction for the light-triggered detection of the corresponding substrate. It will be shown that glucose detection is possible with such an electrode system by photocurrent measurements.

Experimental Section

Materials. 1,4-Benzenedithiol (BDT) was purchased from Alfa Aesar (Karlsruhe, Germany). Chloroform, toluene, methanol, all buffer salts, pyrroloquinoline quinone (PQQ), *N*-ethyl-*N'*-(3-(dimethylamino)propyl)carbodiimide hydrochloride (EDC), and glucose dehydrogenase (EC 1.1.1.47, GDH) from *Pseudomonas* sp. were purchased from Sigma-Aldrich (Taufkirchen, Germany) and used without further purification. All aqueous solutions were prepared using 18 M Ω ultrapurified water (Millipore GmbH, Germany).

The CdSe/ZnS nanoparticles were synthesized according to a procedure described previously.^{52,53} After the synthesis, the CdSe/ZnS quantum dots were coated with trioctylphosphine oxide (TOPO) and were diluted in chloroform. According to the table of Yu et al., spherical TOPO-capped CdSe (and thus in approximation also BDT-capped CdSe/ZnS) with an absorption maximum at 518 nm are associated with a molecular extinction coefficient of 70 000 M⁻¹ cm⁻¹ and a diameter of the inorganic CdSe/ZnS part of 2.5 nm.⁵⁴

Electrode Preparation. For cleaning the Au electrodes (from BASi, UK) were polished with Al₂O₃ powder of decreasing grain size (1, 0.05 μ m) for 4 min each. Then they were voltammetrically cycled in 1 M NaOH (-800 to $+200$ mV vs Ag/AgCl, 1 M KCl, scan rate 300 mV s⁻¹) and in 0.5 M H₂SO₄ (-250 mV to $+1.75$ V vs Ag/AgCl, 1 M KCl, scan rate 300 mV s⁻¹). The electrodes were rinsed between with ultrapure water and after the last cleaning step with ultrapure water and ethanol.

If not specified otherwise, the quantum dots were first modified with a dithiol and afterward immobilized on the gold electrodes (Au-[QD-BDT]). For ligand exchange 5 μ M CdSe/ZnS nanocrystals were incubated with 100 mM 1,4-benzenedithiol in chloroform at 40 °C and shaken for 3 h. After adding methanol with a volume ratio of 1:1 the solution was centrifuged at 10 000 rpm for 9 min, resulting in a pellet of CdSe/ZnS nanocrystals and a clear supernatant. The nanocrystals were resuspended in toluene.

Freshly cleaned gold electrodes were incubated with benzenedithiol-capped CdSe/ZnS nanocrystals at room temperature for 24 h. The quantum dot solution was placed in an Eppendorf tube above the electrode. The electrodes were shaken during the incubation. After incubation the gold electrodes were rinsed with toluene to remove weakly attached nanocrystals.

In case of the attachment of TOPO-capped CdSe/ZnS nanoparticles first the gold electrodes were incubated with 1,4-benzenedithiol in chloroform at 40 °C for 3 h and rinsed with chloroform afterward. Then BDT-modified Au electrodes were incubated with TOPO-capped CdSe/ZnS nanocrystal solution (chloroform) at room temperature for 24 h. The quantum dot solution was placed above the electrode. The electrodes were also shaken during incubation. After incubation the gold electrodes were rinsed with chloroform to remove weakly attached nanocrystals.

QCM chips (ICM) with a resonance frequency of 10 MHz (diameter = 5.1 mm) were cleaned in piranha solution (H₂O₂: H₂SO₄ 1:2 v/v) for 10 min and rinsed with water and ethanol. For the modification of the QCM chips the same procedures were used as for the gold disk electrodes. The incubation steps were done in a homemade batch cell.

For the modification of the electrode system (Au-[QD-BDT]) with the mediator pyrroloquinoline quinone (PQQ) it was coupled to the BDT-modified quantum dots. For this the QD-modified gold electrodes (Au-[QD-BDT]) were incubated with

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2.85 mM PQQ/10 mM EDC in 10 mM HEPES (*N*-[2-hydroxyethyl]piperazine-*N'*-[2-ethanesulfonic acid]), pH 7, for 1.5 h at room temperature. Afterward, the electrodes were washed with 100 mM HEPES (pH 7).

Measurements. The size and concentration of the quantum dots were determined by UV–vis spectroscopy (Beckman Coulter, Germany) according to a calibration table.⁵⁴ The extinction coefficient per mole of nanocrystals at the first excitonic absorption peak for high-quality CdTe, CdSe, and CdS nanocrystals was found to be strongly dependent on the size of the nanocrystals, between a square and a cubic dependence.⁵⁴ The measurements were carried out using either nanocrystals purified with monitored purification procedures or nanocrystals prepared through controlled etching methods. The nature of the surface ligands, the refractive index of the solvents, the PL quantum yield of the nanocrystals, the methods used for the synthesis of the nanocrystals, and the temperature for the measurements all did not show detectable influence on the extinction coefficient for a given sized nanocrystal within experimental error.

QCM experiments were performed with a Multilab 3900 (J. Kitlička, Czech Republic) under dry conditions.

Electrochemical experiments were performed with a potentiostat CHI 1205 (CH Instruments) in a homemade electrochemical cell with a three-electrode arrangement. An Ag/AgCl, 1 M KCl electrode (Microelectrodes Inc.) was used as reference electrode and a platinum wire as the counter electrode. Modified gold disk electrodes (BASi) with a diameter of 1.6 mm were used as working electrodes. The volume of the measuring cell was 2 mL. Opposite to the working electrode a waveguide was fixed, allowing the illumination of the full working electrode area from a defined distance of 1 cm. A 150 W Xe arc lamp from LOT ORIEL (Darmstadt, Germany) was used as light source. Light pulses were generated by manually opening and closing of an aperture.

For the electrochemical measurements stock solutions with 200 mM NADH, 200 mM NAD⁺, and 50 U of glucose dehydrogenase in 100 mM HEPES buffer (pH 8) were prepared freshly. A stock solution with 200 mM glucose in 100 mM HEPES buffer (pH 8) was prepared 1 day before the experiment and stored at 5 °C to get a steady state of the mutarotation. Small amounts of

the stock solutions were added to the measuring cell to result in the appropriate end concentration. The measurements were performed in 100 mM HEPES buffer (pH 8) under stirring.

Results and Discussion

Immobilization of Quantum Dots. For immobilization of the CdSe/ZnS nanocrystals their capping ligand from synthesis is exchanged by 1,4-benzenedithiol (BDT). The use of a small dithiol provides the possibility of replacement of the original ligand, trioctylphosphine oxide (TOPO), in a first step as well as the strong coupling of the nanocrystals to the gold electrode surface via chemisorption in a second step (Au-[QD-BDT]). The immobilization scheme is displayed in Figure 1.

Evidence of the successful deposition of the quantum dots onto the electrode can be obtained simply with the detection of the photocurrent, as shown in Figure 1B. The intensity and direction of this photocurrent depend on the applied potential and are thus similar to a quantum dot–electrode system described previously for cytochrome *c*.³¹ The direction of the photocurrent changes at a potential between +100 and +300 mV (vs Ag/AgCl, 1 M KCl) depending on the used buffer and pH.

In order to verify that the photocurrent is related to the properties of the quantum dots, the wavelength dependence of the current was investigated as already shown in our previous study.³¹ The photocurrent of the quantum-dot-modified electrode clearly follows the absorption spectrum of CdSe/ZnS particles with a peak current between 520 and 540 nm. Thus, the measured photocurrent is a result of electron–hole pair generation inside the CdSe/ZnS particles.

It has to be mentioned that the bare gold electrode shows no photocurrent, but after quantum dot immobilization a negative photocurrent in the range of 10 nA is observed at an applied potential of +50 mV vs Ag/AgCl, 1 M KCl.

The stability of this photocurrent is tested at the same potential. During the first light pulses the photocurrent increases initially (see Figure 2). Afterward, it remains almost stable. Even after 1 h

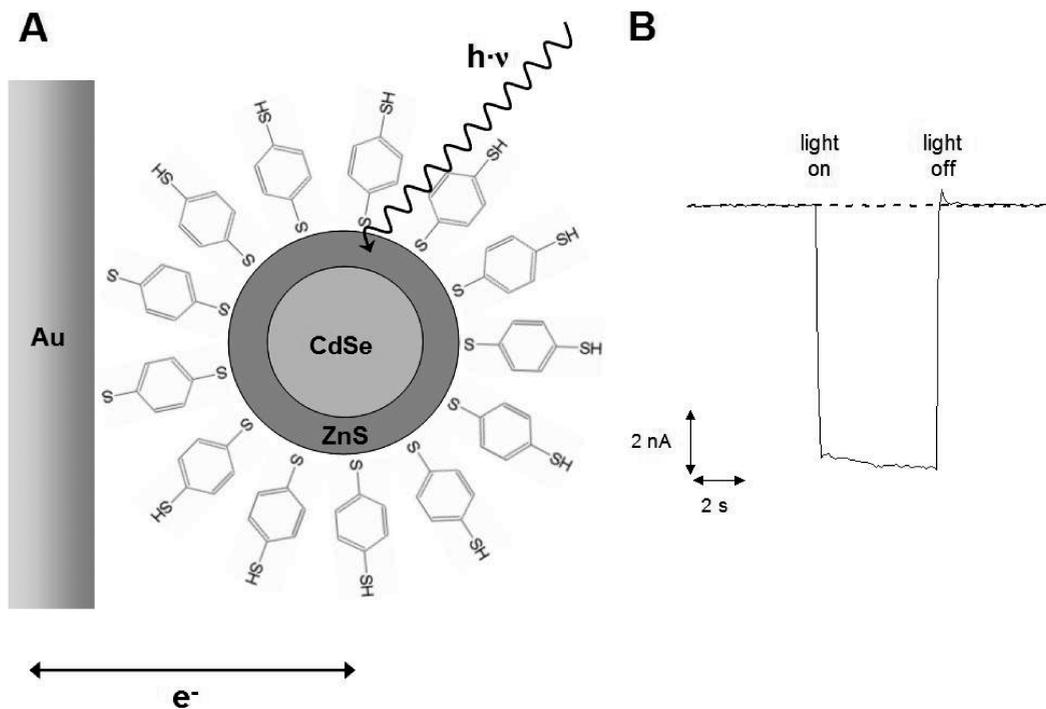


Figure 1. (A) Immobilization scheme of CdSe/ZnS quantum dots on gold via the ligand 1,4-benzenedithiol (Au-[QD-BDT]). (B) Current change due to illumination of the electrode surface: (---) unmodified gold; (—) quantum-dot-modified gold.

permanent illumination of the electrode surface only a decrease of $\sim 10\%$ can be observed. It has to be mentioned here that for the light-triggered read-out an electrode illumination for 5–10 s is sufficient. Thus, the immobilization strategy provides a stable light-switchable layer in the tested time period.

The immobilization of the quantum dots is independently verified by quartz crystal microbalance (QCM) measurements. For this purpose CdSe/ZnS nanocrystals have been immobilized on the gold film of quartz crystals ($f_0 = 10$ MHz). The frequency shift is about -225 Hz with a standard deviation of 15% ($n = 6$) for the immobilization of the quantum dots on these chips. If we assume a similar situation for the gold disk electrodes as for the QCM chips, a rough determination of the surface coverage with quantum dots can be made. Unfortunately, the molecular weight of CdSe/ZnS nanocrystals is not precisely known. This is in particular due to the fact that the exact amount and composition of the ligands on the nanoparticle surface are not determined well. Therefore, values between different studies differ significantly.^{49,50} We approximate the molecular weight of BDT-capped CdSe/ZnS of 2.5 nm inorganic diameter to be in the range of $50\,000\text{--}80\,000$ g mol⁻¹ by assuming a sphere with 2.5 nm diameter with the density of CdSe and a BDT layer of $10\text{--}20$ molecules/nm² on top of it. With a mass change of 4.4 ng Hz⁻¹ cm⁻² the surface concentration is determined in the range of $10\text{--}20$ pmol cm⁻², indicating a monolayer coverage of the electrode surface.

Electrocatalytic Oxidation of NADH. In the presence of NADH a significant change of the photocurrent can be observed. NADH detection is possible in a rather wide potential range. At an electrode potential where no photocurrent can be detected

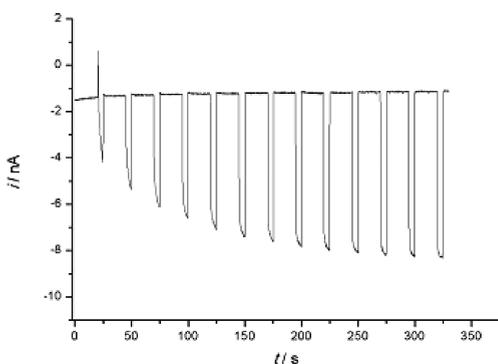


Figure 2. Evolution of photocurrent response of Au-[QD-BDT] for repeated photocurrent measurements in 100 mM HEPES (*N*-[2-hydroxyethyl]piperazine-*N'*-[2-ethanesulfonic acid]), pH 8, at +50 mV (vs Ag/AgCl, 1 M KCl).

under illumination an anodic photocurrent occurs after addition of NADH into the buffer solution. At more positive potentials the anodic photocurrent increases significantly in the presence of NADH (see Figure 3A). Thus, electrons are obviously transferred from NADH to the excited quantum dot layer under illumination.

At potentials where a cathodic photocurrent is generated (i.e., below +100 mV vs Ag/AgCl, 1 M KCl) this current decreases in the presence of NADH (see Figure 3B), indicating a depressed charge transfer between the gold electrode and the quantum dot layer. Thus, electrons transferred from the electrode to the nanocrystals seem to compete with electrons from NADH. Eventually even a cathodic photocurrent can be transformed into an anodic current at an appropriate potential, as demonstrated in Figure 3C.

However, in all cases the presence of NADH in solution can be detected by a change of the photocurrent. Only a weak dependence of the photocurrent change on the applied bias is found in the range from -100 to $+200$ mV (vs Ag/AgCl, 1 M KCl) (see Figure 4). At more negative potentials an increasing negative photocurrent in the absence of NADH is observed. Because of the competition of the charge transfer of the illuminated nanocrystal layer with the electrode and the NADH, the kinetic situation is more complicated and will not be discussed further on the obtained data.

For more positive potentials also an increasing photocurrent is found. However, since it is desirable to lower the overpotential for NADH oxidation and to minimize the electrochemical stress for the quantum dot layer NADH detection is not further investigated at higher potentials.

In the potential range from -100 to $+200$ mV (vs Ag/AgCl, 1 M KCl) the NADH oxidation results in a change of the photocurrent which is in the same range as the photocurrent generated

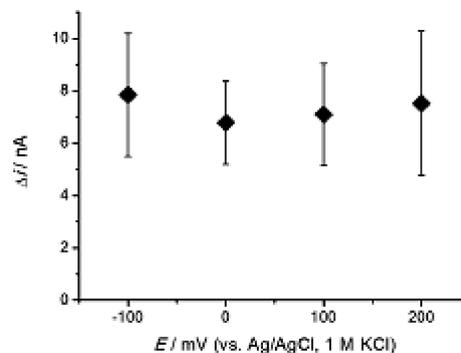


Figure 4. Dependence of photocurrent change on the applied potential due to the addition of NADH ($200\ \mu\text{M}$); 100 mM HEPES, pH 8 (mean of six electrodes, Au-[QD-BDT]).

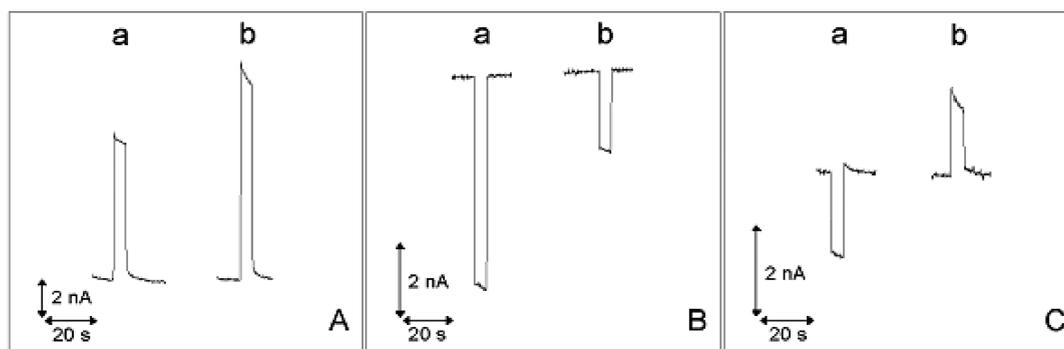


Figure 3. Change of the photocurrent for Au-[QD-BDT] due to the presence of NADH (a: without; b: with $200\ \mu\text{M}$ NADH), 100 mM HEPES, pH 8. Applied potential was at (A) +300 mV, (B) +100 mV, and (C) +210 mV (vs Ag/AgCl, 1 M KCl).

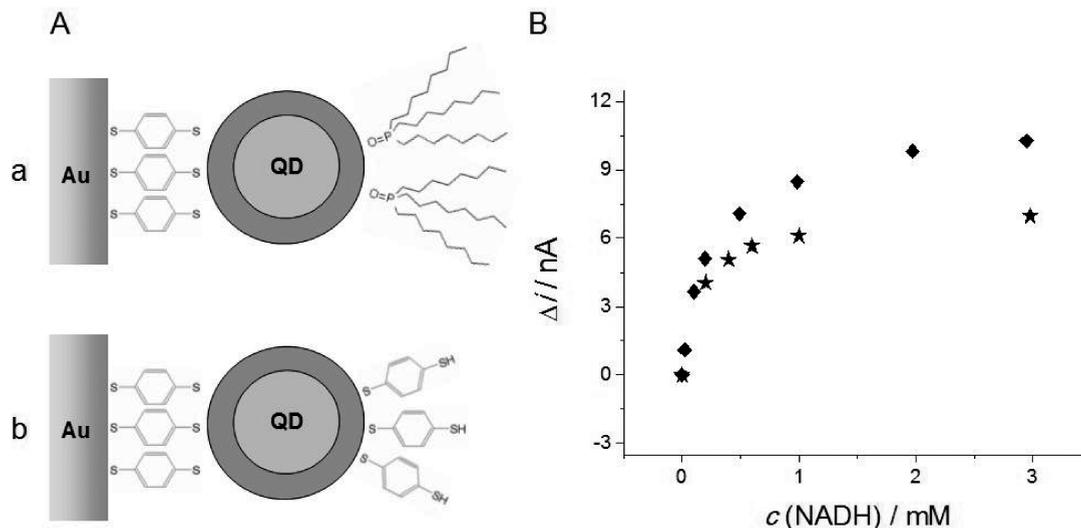


Figure 5. (A) Schemes of two different electrode systems: (a) Au-BDT-[QD/TOPO] and (b) Au-[QD-BDT]. (B) Change of the photocurrent for the two different electrode systems due to the addition of NADH (★) for (a) and (◆) for (b), in 100 mM HEPES buffer pH8 at +50 mV vs Ag/AgCl, 1 M KCl (mean of three electrodes).

in the absence of NADH. The only weak dependence of the photocurrent change on the applied potential at the electrode shows that the NADH oxidation can not simply be enhanced by the applied bias. It is obviously determined by the catalytic properties of the semiconductor nanoparticles.

It has also to be emphasized that the nanoparticle modified electrode cannot be used for H_2O_2 detection—another potential product of oxidoreductase reactions. Here the nanoparticles provide no suitable surface for the oxidation of this enzymatic byproduct.

Since the change of the photocurrent is caused by the electrochemical reaction of NADH at the quantum-dot-modified electrode such a nanoparticle-based system can be used for the effective detection of NADH and thus provides the basis for the construction of a sensor system. In the following all measurements are done at +50 mV (vs Ag/AgCl, 1 M KCl) to avoid large background signals in the absence of the analyte.

The sensitivity for NADH can be obtained in the range of 20 μM to 2 mM as can be seen in Figure 5B. The concentration dependence shows saturation behavior. Thus, it is similar to the photocurrent change in the presence of cytochrome *c* with mercaptopropionic acid-modified CdSe/ZnS quantum dot electrodes as shown previously.³¹

Control experiments prove that the nanoparticle ligand benzenedithiol does not participate as mediator in the electron transfer process from NADH to the CdSe/ZnS quantum dots. Gold electrodes simply modified with benzenedithiol show only a very weak photocurrent (less than -1 nA at +50 mV vs Ag/AgCl, 1 M KCl) and no significant change of it in the presence of 1 mM NADH.

In order to investigate the influence of the nanoparticle surface modification a different electrode system is prepared, as illustrated in Figure 5A. Gold electrodes are modified first with benzenedithiol, and then CdSe/ZnS nanocrystals are immobilized in a second step (Au-BDT-[QD/TOPO]). That means that the original ligand of the quantum dots, trioctylphosphine oxide (TOPO), is still at the surface and exposed to the solution. Such an electrode system shows a rather similar change of the photocurrent in the presence of NADH compared to electrodes with nanocrystals whose ligands are exchanged by benzenedithiol first (Au-[QD-BDT]), as can be seen in Figure 5B.

Therefore, electrons seem to be transferred directly from NADH to the CdSe/ZnS nanoparticles. But it cannot be excluded that the electron transfer reaction is supported by BDT because of its aromatic structure (particularly under illumination).

It is also investigated whether NADH detection can be enhanced by means of a known mediator of NADH electrochemistry—pyrroloquinone quinone (PQQ). Thus, the quantum dots are additionally modified with PQQ after the immobilization at the gold electrode because of its known interaction with NADH.⁵¹ However, PQQ modification results in no significant enhancement of the photocurrent change compared to PQQ-free Au-CdSe/ZnS electrodes. Thus, the application of this surface-bound mediator provides no improvement and is not necessary for the electrocatalytic detection of NADH.

Combination of Quantum Dots with a Dehydrogenase Reaction. NADH is involved in many dehydrogenase reactions. The concentration of NADH can be sensed by photocurrent measurements as described above. Thus, the quantum dot–electrode system can be used to follow such catalytic reactions. The amount of NADH produced in an enzymatic catalysis depends directly on the concentration of the substrate.

In order to demonstrate the feasibility of the concept, glucose dehydrogenase (*Pseudomonas sp.*) is chosen as biocatalyst. This dehydrogenase catalyzes the reaction of β -D-glucose to D-glucono-1,5-lactone while reducing its cofactor NAD^+ to NADH. This principle is illustrated in Figure 6.

Photocurrent measurements with quantum-dot-modified gold electrodes before and after addition of glucose without the enzyme show no change of the signal in the potential range from -100 to $+200$ mV (vs Ag/AgCl, 1 M KCl), which can be seen exemplarily in Figure 7.

Thus, glucose cannot be oxidized directly at the quantum-dot-modified gold electrode. But in the additional presence of the enzyme glucose dehydrogenase and its cofactor NAD^+ a concentration dependent change of the photocurrent can be detected with the nanoparticle-based system developed here. This is presented in Figure 8. Thus, the glucose signal is converted to NADH by electron transfer via the enzyme, and subsequently NADH is detected by the electron transfer to the illuminated quantum dots. The concentration range for glucose detection is rather similar to that of NADH. Sensitivity for glucose is observed up to 1 mM.

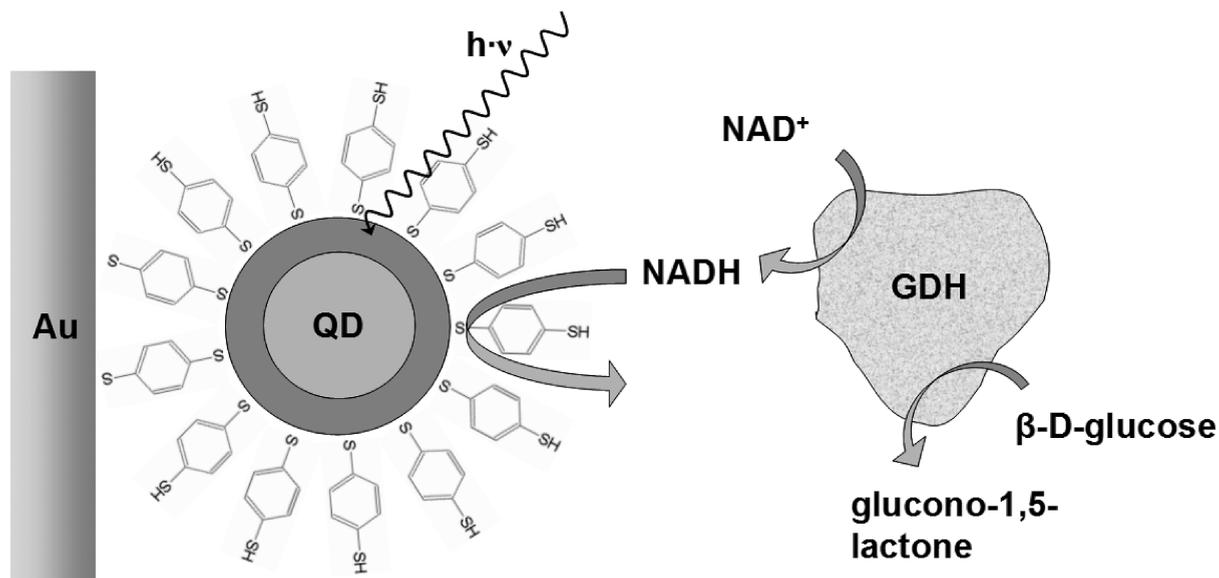


Figure 6. Scheme of glucose detection at quantum-dot-modified gold electrodes due to the catalytic production of NADH by the enzyme glucose dehydrogenase (GDH) in solution.

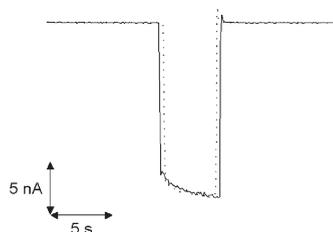


Figure 7. Photocurrent response of the quantum-dot-modified electrode without the enzyme GDH (···) without and (—) in the presence of 500 μM glucose in 100 mM HEPES buffer, pH 8, at +50 mV (vs Ag/AgCl, 1 M KCl).

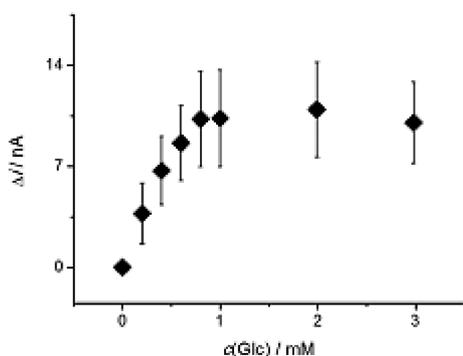


Figure 8. Change of the photocurrent of a quantum-dot-modified electrode (Au-[QD-BDT]) due to the addition of glucose in the presence of 50 U GDH, 1 mM NAD^+ in 100 mM HEPES buffer, pH 8, at +50 mV (vs Ag/AgCl, 1 M KCl), mean of four electrodes.

In summary, it can be stated that by the combination of a specific biocatalyst and a quantum-dot-modified electrode a bioanalytical

signal chain can be constructed which can be switched on by illumination of the sensor surface.

Conclusions

A nanostructured electrode on the basis of CdSe/ZnS quantum dots is developed for the sensitive measurement of the enzyme cofactor NADH. The light-triggered read-out of NADH concentrations in solution can be shown for the first time with this system.

The immobilization of the semiconductive nanoparticles via a dithiol compound on gold electrodes can be proved by recording of a photocurrent. Electron-hole pairs are generated in semiconductive nanocrystals by photoexcitation, resulting in a charge transfer when the electrode is polarized. Anodic or cathodic photocurrents can be detected depending on the applied potential. The nanocrystal immobilization is also verified by quartz crystal microbalance (QCM) measurements. The frequency shift indicates a coverage of the electrode surface by about a monolayer of CdSe/ZnS nanoparticles.

In the presence of NADH a change of the photocurrent occurs, depending on the concentration of NADH. The detection was possible in the range from 20 μM to 2 mM. It has to be emphasized that a rather low electrode potential needs to be applied with this nanoparticles-based system.

It is also shown that CdSe/ZnS nanocrystal-modified gold electrodes can be used for the light-triggered analysis of substrates of NADH-producing enzyme reactions. The detection of glucose using glucose dehydrogenase is demonstrated successfully as an example.

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Enhanced photocurrent generation with quantum dots containing multilayers on gold

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ABSTRACT

Quantum dots (QD) immobilised on electrodes show a light-triggered current depending on the applied potential. In this study it is investigated whether multiple layers of QD can be formed on electrodes and used for an enhanced photocurrent generation. Therefore multilayers of QD and the redox protein cytochrome c (cyt c) are constructed verified by quartz crystal microbalance (QCM) measurements. The voltammetric investigation of these multilayer assemblies shows no enhancement of the redox signal from cyt c in contrast to multilayers of cyt c and polyelectrolytes or gold nanoparticles. But photocurrent measurements reveal a slight enhancement of the signal which is depending on the number of deposited QD layers. In a second step QD multilayers with a positively charged polyelectrolyte are built up verified by QCM. Chronoamperometric investigations reveal an increase of the photocurrent which is proportional to the number of deposited layers. This indicates an efficient electron transfer between the QD layers. At an electrode with 5 bilayers (QD and polyallylamine) the light-induced current is increased about 5 times compared to a monolayer.

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1. Introduction

For the construction of biosensors the selectivity of biological recognition elements has to be combined with a transducing electrode. The necessary protein–electrode–contact often leads to partial or complete loss of the function of the biocomponent [1]. However, nanomaterials on electrodes such as gold nanoparticles [2] and carbon nanotubes [3] can provide suitable docking places for the biological element. Also modified quantum dots (QD) can be used for the coupling of biological macromolecules such as enzymes [4,5] or DNA [6]. Nanomaterials often improve the sensitivity of the constructed sensors due to the high specific surface and a material texture similar to the structure dimensions of biological molecules [7,8].

While gold nanoparticles and carbon nanotubes are electrical conductive QD are made of semiconducting materials. The band gap of these particles depends on the size resulting in optical properties such as size dependent photoluminescence [9,10]. Background is the exciton (electron–hole pair) generation by the absorption of light. This phenomenon causes also a drastic change in conductivity when the material is irradiated by light of a suitable wave length and can be detected by photocurrent measurements. Thus,

a quantum dot layer on an electrode can allow a spatial read-out by illuminating the respective area [11]. The coupling of a specific biochemical reaction to the QD can be applied for analytical detection [12–15].

For a high sensitivity of enzyme electrodes it is often necessary to enhance the primary signal resulting from analyte conversion by the chosen protein. This enhancement can be demonstrated by the construction of protein–electrodes with the layer-by-layer technique [16–18]. These systems are mostly based on small shuttle molecules transferring electrons between the protein molecules and the electrode. However, systems can also be constructed which avoid these shuttle molecules. Examples are multilayer assemblies with cytochrome c, bilirubin oxidase or sulfite oxidase and the polyelectrolyte polyanilinesulfonic acid [19–21] allowing the tuning of sensitivity by the layer number. Such electro-active multilayers can also be built up by means of DNA [22] or gold nanoparticles [23].

In this study it is investigated whether multiple layers of QD formed on electrodes can be used for an enhancement of current signals.

2. Materials and methods

2.1. Materials

The CdSe/ZnS quantum dots were synthesized by our cooperation partner AG Biophotonic from the Philipps University

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in Marburg according to the established procedure from Reiss et al. and Dabbousi et al. [24,25]. Cytochrome c from horse-heart (cyt c), mercapto undecanol (MU), mercaptoundecanoic acid (MUA), mercaptopropionic acid (MPA), polyallylamine hydrochloride (PAA), hydrogen peroxide and potassium chloride are provided from Sigma–Aldrich, Germany. Sulphuric acid, sodium hydroxide and potassium hydrogenphosphate are purchased from Roth (Germany).

For all solutions the water is purified by the water system “ultra clear direct” from SG Water (Germany).

2.2. Electrode preparation for multilayer assembly

For the QD multilayer characterisation by the means of quartz crystal microbalance (QCM) the chip is treated consecutively with piranha-solution (1 part hydrogen peroxide, 3 parts sulphuric acid), acetone, isopropanol and piranha-solution for each 15 min. Then the QCM-chip is incubated in an ethanolic solution with 5 mM mercaptoundecanoic acid and 15 mM mercaptoundecanol for 2 d at RT. To assemble the multilayer on the MUA/MU-modified QCM-chip a flow cell is used with a flow rate of 40 $\mu\text{l}/\text{min}$. Alternating 20 μM cyt c or 20 μM PAA and 3 μM QD in a 5 mM sodium potassium phosphate, pH 5 are applied for 5 min to adsorb each layer. Each adsorption step is followed by 5 min long rinsing with the phosphate buffer.

For the electrochemical investigations the gold electrodes are wet polished consecutively with aluminium oxide (1 μm and 0.05 μm), treated by ultrasound for 10 min and cleaned by voltammetric cycling in 1 M NaOH (–0.8 to 0.2 V vs Ag/AgCl, 1 M KCl; 0.3 V/s) and 0.5 M H₂SO₄ (–0.25 to 1.75 V vs Ag/AgCl, 1 M KCl; 0.3 V/s). Afterwards the electrodes are immersed in an aqueous solution with 5 mM mercaptopropionic acid and 15 mM methanol for 4 h. For the QD/cyt c multilayer construction the MPA-modified electrodes are incubated in 3 μM QD suspension and in 20 μM cyt c each for 20 min in 5 mM potassium phosphate, pH 5. These last two steps are repeated for the deposition of each further bilayer.

The assembling of the QD layers with the polyelectrolyte PAA is done in the same way as described for cyt c using a polymer solution of 20 μM polyallylamine hydrochloride.

2.3. QCM and electrochemical measurements

The successive deposition of each single layer is verified by the quartz crystal microbalance Multilab 39000 from Kitilčak (CZ) with a 10 MHz-quartz chip from International Crystal Manufacturing (diameter of 5 mm) in a flow cell (8 μl , manufactured in-house). As pump a Minipuls, Model M312 from Abimed Gilson (Germany) is applied.

The electrochemical characteristics of the QD modified electrodes are examined with a three electrode-arrangement consisting of the working gold electrode (2.01 mm²) by Bioanalytical Systems Ltd. (UK), an Ag/AgCl, 1 M KCl reference electrode by Microelectrodes Inc. (USA) and a platinum wire as counter electrode employing the potentiostat CHI1205 (USA). A xenon lamp with a fibre optic cable from LOT Oriol (Germany) is used for the illumination of the QD modified electrodes. The measurements concerning the multilayer construction are carried out in a 100 mM potassium phosphate buffer, pH 7 at 0.2 V vs Ag/AgCl (cyt c and PAA) and at –0.1 V vs Ag/AgCl (PAA).

3. Results and discussion

In the first set of experiments multilayer of the redox protein cyt c and CdSe/ZnS-QD have been constructed and investigated. Therefore the original hydrophobic ligand trioctylphosphine oxide

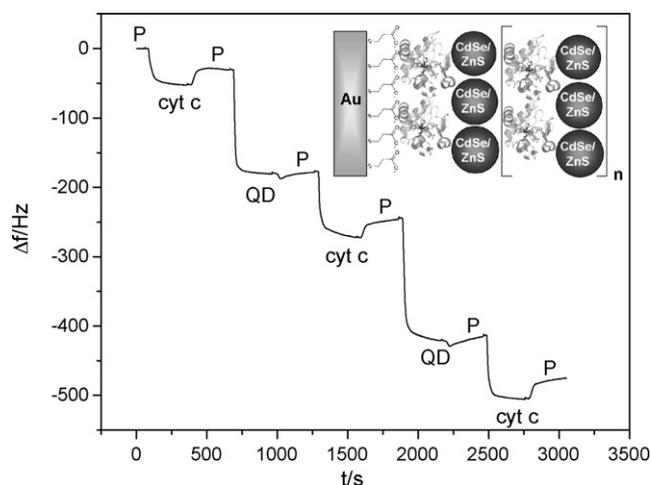


Fig. 1. Process of the multilayer formation with cyt c and MPA-modified CdSe/ZnS quantum dots followed by quartz crystal microbalance during the assembling in a flow cell (40 $\mu\text{l}/\text{min}$). P – 5 mM sodium phosphate, pH 5; cyt c – 20 μM cyt c in 5 mM sodium phosphate, pH 5; QD – MPA modified CdSe/ZnS quantum dots in 5 mM sodium phosphate, pH 5. Inset: assembly of a multilayer electrode consisting of cyt c and QD.

from the QD synthesis is exchanged by a charged ligand. Mercaptopropionic acid (MPA) allows the introduction of a negative charge on the surface of the QD and thus a multilayer construction with positively charged cyt c by electrostatic interactions. Furthermore MPA provides a suitable surface for electron exchange with cyt c [12]. Measurements by quartz crystal microbalance (QCM) are used to test the feasibility of the assembly construction using the layer-by-layer technique (Fig. 1).

In the course of these investigations 1 mM and 5 mM sodium phosphate buffers with pH 5 and pH 7 are examined. It could be demonstrated that pH value and ionic strength have a pronounced influence for the multilayer construction. In 1 mM sodium phosphate pH 7 a high amount of cyt c can be electrostatically bound but after the adsorption of the QD 1/3 of the before bound cyt c is removed obviously by the QD. However, the successful build-up of this multilayer assembly is proved by the small frequency decrease after the each cyt c/QD adsorption cycle. If sodium phosphate buffer of a lower pH (5) or a higher ionic strength (5 mM) is applied the assembly of the multilayer construction can be improved (higher total mass deposition). The most stable deposition of cyt c and QD layers is achieved with a 5 mM sodium phosphate buffer at pH 5. Under these conditions for each QD layer a frequency shift of about 160 Hz can be observed which would correspond to a mass deposition of 140 ng if the model of a rigid layer is applied.

After this the multilayer assembly is prepared onto a thiol-modified gold electrode (MUA/MU) and is investigated voltammetrically. In contrast to multilayer assemblies of cyt c and polyaniline sulfonic acid, DNA or gold nanoparticles [19,22,23] no enhancement of the cyt c signal can be found with increasing layer numbers. Even under illumination no increase of the cyt c signal can be detected. There is a small current enhancement at potentials above the oxidation of cyt c and a slightly enhanced negative current below this potential indicating photocurrent generation (Fig. 2). For the established cyt c multilayer systems (with the above mentioned building blocks) the electron exchange between the cyt c molecules is discussed as the main mechanism of electron transport [26]. However, the results here demonstrate that the protein signal is not enhanced, probably because the cyt c–cyt c electron exchange is hindered.

The cyt c/QD multilayers are further examined under a constant polarisation of 0.2 V vs Ag/AgCl, 1 M KCl and short light pulses. The indicated photocurrent generation can be confirmed.

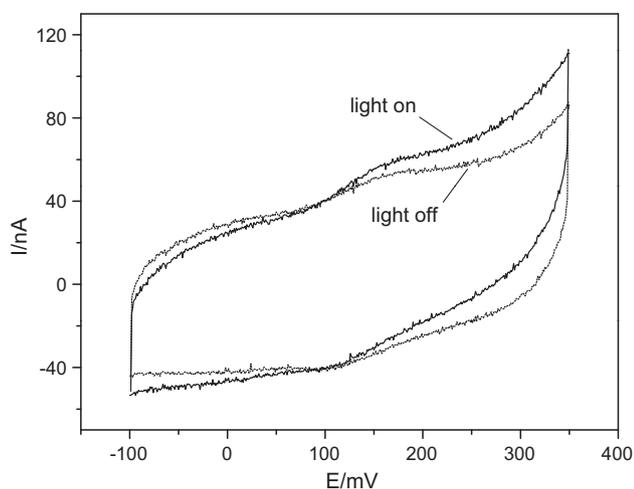


Fig. 2. Cyclic voltammograms of an Au/MPA/cyt c[(MPA-)QD/cyt c]_x electrode with 5 (MPA-)QD/cyt c layers with (gray curve) and without illumination (black curve) in 100 mM potassium phosphate, pH 7 (scan rate 0.1 V/s).

The results obtained are verified by at least 3 independent measurements. Because of positive polarisation an anodic photocurrent is detected. Fig. 3 illustrates the change of the photocurrent signal in dependence on the number of the cyt c/QD layers. The increase in numbers of cyt c/QD bilayers from 1 to 5 enhances the photocurrent by a factor of 2. This may indicate the electron transfer between the QD layers and the gold electrode. Under light the exciton generation in the QD immobilised in the different layers can be used for an amplified photocurrent compared to a QD monolayer. However, obviously not all deposited QD can contribute to the photocurrent since the enhancement in current signal is much smaller than the increase in deposited amount of QD detected by QCM. This implicates that electron transfer between the illuminated QD layers is possible, but the redox protein cyt c is not facilitating this process and thus a large portion of QD immobilised in the layered architecture is not contributing to the photocurrent generation.

In a second set of experiments cyt c is replaced by the positively charged polyelectrolyte polyallylamine (PAA) for the arrangement of the QD in multiple layers. QCM measurements show that this multilayer assembly can be realised (Fig. 4). The successive decrease of the frequency detected after the alternating contact

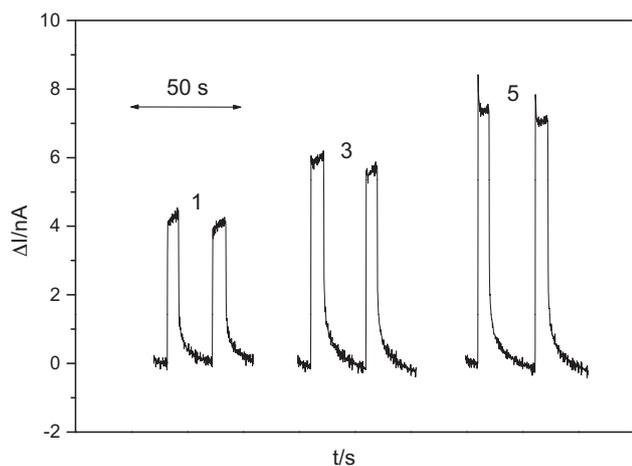


Fig. 3. Photocurrent measurements of an Au/MPA, MeOH/cyt c[(MPA-)QD/cyt c]_x electrode with an increasing number of deposited QD/cyt c bilayers (1, 3, 5) with light pulses of 5 s, $E = 0.2$ V (vs Ag/AgCl, 1 M KCl).

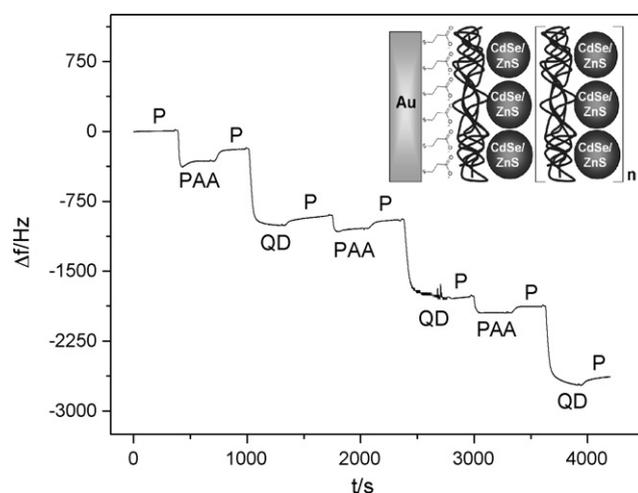


Fig. 4. Process of multilayer assembly using PAA and MPA-modified CdSe/ZnS-QD followed by quartz crystal microbalance in a flow cell (40 μ l/min). P – 5 mM sodium phosphate, pH 5; PAA – 20 μ M polyallylamine hydrochloride in 5 mM potassium phosphate, pH 5; QD – MPA modified CdSe/ZnS quantum dots in 5 mM sodium phosphate, pH 5. Inset: build-up of the multilayer architecture for an electrode with PAA and quantum dots.

of the QCM-chip with PAA and QD solutions confirms the consecutive deposition of each QD and PAA single layer resulting in a multilayer architecture. The amount of the deposited QD exceeds the mass of deposited PAA; the mass of the each accumulated QD and PAA layer is nearly constant and corresponds to a frequency shift of 750 Hz and 100 Hz respectively. Compared to the cyt c/QD assembly the amount of deposited QD is significantly higher (by factor 5).

Prepared on electrodes the QD assemblies with PAA reveal an enhancement of the current signal under illumination. At a polarisation of 0.2 V vs Ag/AgCl the light-induced current of an electrode with 5 bilayers is increased about 5 times compared to a monolayer electrode (Fig. 5). Measurements at -0.1 V vs Ag/AgCl exhibit a cathodic photocurrent. Also under these conditions the photocurrent is increasing with the deposited amount of QD (data not shown). The magnitude and enhancement of the light-induced currents of this multilayer construction is significantly increased compared to assemblies with the redox protein cyt c. An electrode with 5 QD/PAA bilayers reveals a photocurrent of 50 nA while an electrode assembled by 5 QD/cyt c coatings can achieve only 7 nA.

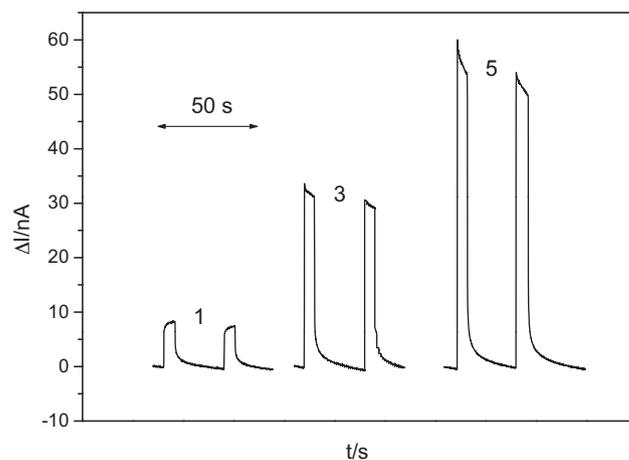


Fig. 5. Photocurrent measurements of an Au/MPA/PAA[(MPA-)QD/PAA]_x electrode with an increasing number of deposited PAA/QD bilayers (1, 3, 5) under illumination in 100 mM potassium phosphate, pH 7 at $E = 0.2$ V (vs Ag/AgCl, 1 M KCl).

This indicates that an efficient electron transfer between the QD is possible. In conclusion of these investigations it can be stated that for a high photocurrent – tunable by the number of deposition steps – a high amount of immobilised QD and rather thin interlayers seem to be essential. Interestingly the small redox protein acting in a biological system as efficient electron shuttling molecule cannot facilitate electron transfer between the QD, probably by restricted mobility within the layered system because of strong adsorption to the MPA modified surface. A similar behaviour has been found with the highly charged polyelectrolyte PSS allowing efficient protein immobilisation but no electron transfer between the cyt c layers [27].

4. Conclusions

The construction of multiple layers with QD and the redox protein cyt c does not enhance the voltammetric cyt c-signal with the number of layers of the assembly. Obviously only molecules near the electrode surface can exchange electrons with the electrode. Photocurrent measurements show an increase of the QD generated current with the number of deposited layers. Although QCM measurements reveal a constant QD amount with each deposition step the light generated photocurrent does not increase proportional. This indicates that cyt c cannot facilitate the electron transfer between the QD layers.

QD multilayered architecture can be also built by use of the polyelectrolyte PAA. Here a much higher amount of QD can be found. Such an assembly reveals a proportional increase of the light-induced current with the number of deposited layers. Obviously here an electron exchange between the immobilised and illuminated QD is feasible in contrast to cyt c containing systems where this process seems to be hindered.

In summary a QD based electrode system can be constructed and its properties can be tuned in a controlled way. Since photocurrent measurements can be used for sensorial purpose, e.g., in the detection of redox active substances, the higher amount of electrode contacted QD can be beneficially used for enhanced analytical signals.

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Light controlled bioelectrochemical sensor based on CdSe/ZnS quantum dots

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Abstract

This study reports on the oxygen sensitivity of quantum dot electrodes modified with CdSe/ZnS nanocrystals. The photocurrent behaviour is analysed in dependence on pH and applied potential by potentiostatic and potentiodynamic measurements. On the basis of the influence of the oxygen content in solution on the photocurrent generation the enzymatic activity of glucose oxidase is evaluated in solution. In order to construct a photobioelectrochemical sensor which can be read out by illuminating the respective electrode area two different immobilisation methods for the fixation of the biocatalyst have been investigated. Both covalent crosslinking and layer-by-layer deposition of GOD by means of the polyelectrolyte polyallylamine hydrochloride show that a sensor construction is possible. The sensing properties of such kind of electrodes are drastically influenced by the amount and density of the enzyme on top of the quantum dot layer. Particularly advantageous this can be adjusted by the layer by layer technique. By depositing 4 bilayers [GOD/PAH]₄ on the CdSe/ZnS electrode a fast responding sensor for the concentration range 0,1mM - 5 mM glucose can be prepared. This opens the door to a multi-analyte detection with a non-structured sensing electrode, localized enzymes and spatial read out by light.

Introduction

Nanostructures have gained a center stage of interest for bioanalytical systems since the last decade^{1,2,3,4}. Among the various nanostructures, nanoparticles are of special interest caused by the modified and new chemical and physical properties compared to the bulk material and the high surface to volume ratio⁵. For metal nanoparticle and carbon nanotubes particularly the high electrocatalytic activities are beneficial^{6,7}. They are often used for direct contacts with enzymes as recognition elements within biosensors⁸; thus the response for a sensitive and selective substrate detection can be enhanced^{9,10}.

Furthermore semiconductive quantum dots (QDs) are intensively studied for several years due to their unique photophysical properties. Especially II-VI-semiconductor (e.g. CdSe, CdS, HgS, ZnS, ZnSe) have got into focus of research^{11,12}. The QDs are often passivated by a second semiconductor material (e.g. ZnS^{13,14}) to protect the core from oxidation and bleaching¹⁵. The band gap energy of this shell is higher in order to confine the exciton generation and relaxation to the core and thus increase the quantum yield^{13,14,16}.

When QDs are illuminated, an electron-hole pair is generated in the conduction and valence band, respectively¹⁷. The relaxation of the charge carriers can be radiant, whereby the emitted light is a function of the band gap energy. The band gap energy increases with decreasing diameter of the QDs due to quantum confinement¹⁸. Thus the wavelength of the emitted light can be triggered by the particle size. This size effect, the broad absorption spectra and the high resistance against chemical and photodegradation make them much more suitable for biological labeling than organic dyes^{16,19}. Furthermore the surface ligands, which are used for the QD-synthesis, can be exchanged by other molecules in order to integrate functional groups²⁰. This also gives access to an increase in hydrophilicity, making the QDs more favourable for labeling of biomolecules^{16,21}. Applications have shown in immunosensing²², labeling nucleic acids^{23,24,25}, proteins^{26,27} and peptides^{28,29}. It was even possible to detect the photoluminescence of QDs in cells^{28,30,31}, tissues and living organism without interference from auto fluorescence of the tissue³².

Beside the fluorescence detection, QDs can be used for Förster-Resonance-Energy-Transfer (FRET) systems allowing for the detection of biomolecular interactions and binding events³³. This has been demonstrated eg. for proteins^{34,35} and DNA^{36,37}.

Due to the generation of charge carriers, QDs can also be used for electrochemical sensor systems. Depending on the applied potential an anodic or cathodic photocurrent can be generated, respectively. The former is caused by electron transfer from the conduction band of the QD to the electrode. The latter one is generated by the electron transfer from the electrode

to the valence band of the QDs³⁸. The photocurrent can be enhanced by reactions with redox active species in solution. For example, reaction products of the acetylcholine esterase reaction³⁹ and mediators such as ferri-/ferrocyanide³⁸ could be detected. The photocurrent can even be switched by the introduction of cyt c^{38,40}. Besides different enzymes were combined with QD-modified electrodes^{41,42,43}. Not for all systems a direct electron transfer between the protein and the QDs is necessary; often systems rely on the detection of reaction product as exemplified e.g. for NADH allowing the detection of dehydrogenase based catalysis⁴³.

The different systems investigated so far show a significant photocurrent already in the pure buffer solution. Together with the findings on the oxygen influence on the photoluminescence^{44,45,46,47} this can be seen as an indication that oxygen act as electron acceptor upon illumination. Thus, it has been shown that enzyme activation by reactive oxygen species occurs when CdSe nanoparticles are photosensitized⁴⁸.

In this study CdSe/ZnS-electrodes are analysed in more detail with respect to the influence of oxygen on the photocurrent. Based on these results oxygen consuming enzyme reactions are combined with the QD electrode and the system is used for sensitive substrate detection. Different immobilization strategies of glucose oxidase (GOD) are investigated to ensure efficient oxygen depletion in the enzyme layer during glucose conversion. This allows the construction of a photobioelectrochemical sensor which can be read out by spatially resolved illumination of the respective sensor surface.

Experimental Section

Materials

Argon comes by Air Liquide (Düsseldorf, Germany). 1,4-Benzendithiol (97%; BDT) is acquired from Alfa Aesar (Karlsruhe, Germany). di-Sodium hydrogen phosphate anhydrous, (N-[2-Hydroxyethyl]piperazine-N'-[2-ethansulfonic acid]) (99,5%; HEPES), citric acid monohydrate, 2,2'-Azino-bis-(3-ethylbenzothiazoline-6-sulfonic acid) diammonium salt (99%, ABTS), glutaraldehyde (Grade II 25%), toluene, peroxidase from *horseradish* (EC 1.11.1.7, HRP), glucose oxidase (EC 1.1.3.4, GOD) from *Asp. niger* and poly(allylamin hydrochloride) are purchased from Sigma-Aldrich (Steinheim, Germany). Methanol (99,9%, ≤ 50ppm H₂O), α-D(+)-glucose monohydrate, sulfonic acid (96%); hydrogen peroxide (50%) are bought from Roth (Karlsruhe, Germany). N-[γ-maleimidobutyryloxy]sulfosuccinimide

ester (98-100%, Sulfo-GMBS) is obtained from Pierce (Rockford, USA). Buffer solutions are prepared with ultra pure water (Ultra Clear Direct, Siemens Water Technologies).

CdSe/ZnS nanoparticles are synthesized by an established protocol^{13,49}. The QDs are coated with trioctylphosphine oxide (TOPO) and dissolved in water free toluene. The QDs are synthesized with a concentration of about 16 μ M and a diameter of ca. 2.5nm.

Electrode Cleaning

Au electrodes (5mm diameter BASi, UK) are polished with Al₂O₃ powder of decreasing grain size (1, 0.05 μ m) for 4min each. Afterwards the electrodes are sonicated for 10min. Then, each electrode electrochemically cleaned with cyclic voltammetry in 1M NaOH (-800 to +200mV vs Ag/AgCl, 1M KCl, 300mV s⁻¹) and in 0.5M H₂SO₄ (-250mV to +1.75V vs Ag/AgCl, 1M KCl, scan rate 300mVs⁻¹). The electrodes are rinsed after each step with ultrapure water and additionally with ethanol after the last cycling.

QCM chips with a resonance frequency of 8MHz (diameter = 5.1mm, International Crystal Manufacturing, Oklahoma/USA) are cleaned in piranha solution (H₂O₂:H₂SO₄ 1:3) for 10min and rinsed with water and ethanol. The QCM-chips are incubated with 100mM BDT overnight in a homemade chamber at 4°C.

Electrode modification

For exchange of the TOPO on the QDs, 10 μ M CdSe/ZnS nanocrystals are incubated with 100mM 1,4-benzenedithiol in toluene (water free) at 40°C and shaking (400rpm) for 3h. After adding methanol with a volume ratio of 1:1 the solution is centrifuged at 14.500rpm for 25min with a miniSpin from Eppendorf. Only the QDs in the pellet are resuspended in water free toluene. The BDT-capped CdSe/ZnS are filled in Eppendorf tubes and put on top of the cleaned Au electrodes and incubated at room temperature for 24h on a shaker (1000rpm). Eventually the gold electrodes are rinsed with toluene to remove weakly attached nanocrystals.

Immobilisation of GOD

For the covalent coupling of GOD onto the Au-[QD-BDT], the electrodes are first incubated with 20 μ l of 8mM Sulfo-GMBS/25mM sodium phosphate buffer (pH 7.5) for 30min at room temperature and after washing with buffer with 20 μ l of 200 μ M GOD/100mM citrate-phosphate buffer (pH 4.2, CiP) for 1h. For the analysis of the adsorption of the enzyme, the

same procedure is used without Sulfo-GMBS. Before the measurement the electrodes are washed in 100mM CiP pH 4.2.

The formation of an enzyme network is achieved by incubation of 20 μ l GOD/100mM CiP (pH 4.2) on the QD-modified electrode. GOD concentration is varied: 200 μ M, 400 μ M and 2mM in 100mM CiP pH 4.2. Then 20 μ l of 0.05% glutaraldehyde/100mM CiP (pH 4.2) are added to the solution for covalent cross-linking. Before the measurement the electrodes are washed with 100mM CiP.

For the assembly of [GOD/PAH]_n-multilayers (n:2,4,6) the QD-modified electrodes are 15min incubated in GOD-solution (150 μ l; 2mM sodium phosphate buffer pH 7). Different GOD concentrations are used: 400 μ M and 2mM. Subsequently, after a short washing step, the electrodes are incubated in a 0.02 monomol/l poly(allylamin hydrochloride) (PAH) (the term monomol refers to the molar concentration of one monomer of the polyelectrolyte) (150 μ l, 2mM sodium phosphate buffer pH 7). The pH of the polyelectrolyte solution is adjusted to pH 7. After a washing step the electrodes are again incubated in the GOD-solution. With this layer-by-layer adsorption method different layers are assembled. Before measuring, the electrodes are washed in 2mM sodium phosphate buffer pH 7.

For mass sensitive analysis the same procedure is used in a flow cell. The BDT-modified QCM-Chips are exposed to a GOD solution in 2mM sodium phosphate buffer (pH 7) and to 0.02mol/l PAH/2mM sodium phosphate buffer (pH 7) in alternating steps. In between a washing step is performed in order to remove unbound molecules.

Measurements

All photocurrent measurements are done in 100mM HEPES. For linear sweep voltammetry and amperometric measurements a CH Instruments electrochemical analyzer is used. The experiments are performed in a homemade electrochemical cell with a three-electrode arrangement. An Ag/AgCl, 1M KCl electrode (Microelectrodes Inc.) is used as a reference electrode and a platinum wire as a counter electrode. The QD-modified gold electrode is the working electrode. Opposite to the working electrode a 150-Watt light source is placed for illumination of the electrode from a defined distance of 1cm with a halogen reflector lamp from Schott (Mainz, Germany).

Mass sensitive analysis is performed by an EQCM device from CH Instruments. The QCM-chips are mounted in a flow cell with an inner volume of 8 μ l out of acrylic glass and connected with a pump (Minipuls 3, model M312, Abimed Gilson, Langfeld, Germany). The

values of wave propagation rate, density of the quartz, diameter of the electrode and shear modulus are $c_q= 3330\text{m/s}$; $r_q=2.65\text{g/cm}^3$; $d= 5\text{mm}$; $\mu_q= 2.947\cdot 10^6\text{N/cm}^2$.

For the analysis of the O_2 reduction of QDs LSV- and amperometric photocurrent measurements are applied. First the electrodes are measured in air-saturated buffer. For LSV-measurements the potential changed from -500mV up to 100mV . For amperometric measurements the potential is fixed at -350mV . After analysis of the photocurrent in air-saturated buffer, the electrodes are studied in argon-purged buffer. After that the electrodes are measured again in air-saturated buffer.

For the test of different oxygen concentrations, different ratios of argon-purged and air-saturated buffer are used.

The evaluation of the influence of different GOD activities on the photocurrent is performed by amperometric photocurrent measurement in 100mM HEPES pH 6.8. After adding 30mM glucose different activities of the enzyme are added and light pulses are given regularly to the system.

The glucose solution is prepared 1 day before measurement allowing mutarotation equilibrium. In order to establish different concentrations in the solution different amounts from the stock solution are added to the measuring cell. After a short mixing with a pipette light pulses are applied and the photocurrent measured.

Results & Discussion

Investigation of the O_2 -dependency of the photocurrent. As previously shown, the photoluminescence of CdSe/ZnS-nanoparticles is influenced by the oxygen concentration⁴². This gives the background for a closer look at the oxygen influence on the photocurrent of the CdSe/ZnS nanoparticle electrode. The QDs are functionalized with benzenedithiol and immobilized on gold. The cathodic photocurrent of QD-electrodes is measured in air saturated and argon purged buffer. Figure 1A shows a linear-sweep-voltammogramm of the electrode under argon and air. During illumination a photocurrent is generated, which is dependent on the applied potential. In both buffer solutions a photocurrent generation is possible, while it is clearly smaller in oxygen-free solution. This points to the fact, that oxygen can be reduced by the generated electrons during illumination of the nanocrystals. The oxygen-dependent part of the photocurrent is calculated as the difference between the photocurrent in both solutions and is shown in Fig. 1C. It can be seen, that not only the overall photocurrent but also the oxygen-dependent part increases with decreasing potential. Fig. 1B illustrates schematically the

electron transfer steps during the illumination of QD-electrode. Electrons from the conduction band of the QDs can reduce oxygen dissolved in the buffer. The hole generated in the valence band of the QDs can be filled through electron transfer from the electrode.

[Figure 1]

In further studies the potential of -350mV vs. Ag/AgCl; 1M KCl is chosen as working potential for the photocurrent measurements. After preparation and first time use of the QD-electrode the generated photocurrents show an increase of about 10% within the first 6-8 light pulses before they get stable.

To investigate the pH influence the photocurrent is measured at different pH values. This is shown in Fig. 2A. Measurements in basic and neutral pH-solutions result in the highest values for the oxygen-dependent photocurrent. In acidic pH however, the results show a smaller current - this is valid not only for the overall photocurrent but also for the oxygen dependent part (Fig. 2B). Obviously the recombination of the charge carriers is enhanced under these conditions, probably on the particle surface. The results of amperometric measurements are confirmed by LSV-measurements at different pH values.

[Figure 2]

In further studies the dependence of the photocurrent on different oxygen concentrations is evaluated at neutral pH. At -350mV (vs Ag/AgCl) the cathodic photocurrent of CdSe/ZnS-modified electrodes is measured in argon purged buffer. After the detection of a stable signal, the buffer is partially exchanged by air-saturated buffer and the photocurrent is measured. While the oxygen concentration rises with addition of air this buffer, the photocurrent increases too. The studies evidence a linear dependence of the oxygen sensitive photocurrent at pH 7 and 8. The inset of Fig. 2B shows this dependence of the photocurrent.

In conclusion of the measurements with solutions of different oxygen concentrations, it can be stated, that the QDs provide a catalytic layer for the oxygen reduction under illumination. This oxygen sensitivity provides the basis for the combination of QD-modified electrodes with enzymatic reactions.

Evaluation of the solution activity of glucose oxidase. The biocatalyst glucose oxidase (GOD) can oxidize its substrate by the reduction of O_2 . Thus the photocurrent of QD-

electrodes during illumination might be suppressed as a result of the oxygen conversion. In order to prove the concept of the system, and to evaluate the enzyme reaction, different activities are tested. The results are illustrated in Fig. 3. Photocurrent measurements before and after addition of glucose show no signal change at -350mV (vs Ag/AgCl, 1M KCl). Thus, glucose cannot be converted at the QD-electrode under these conditions. After addition of the enzyme, the photocurrent is suppressed. In Fig. 3A one can see that the kinetics of the enzyme can be followed by the time dependence of the photocurrent. Figure 3B summarizes different activities of the enzyme. It can be shown that even for activities as low as 0.025U/ml a change in the photocurrent is detected.

[Figure 3]

The results demonstrate that the combination of QD-electrodes with the GOD-reaction is possible. The photocurrent is suppressed and can be used for detection. Based on these experiments the immobilization of the enzyme is attempted to develop a photoelectrochemical biosensor.

Immobilization of GOD on CdSe/ZnS-electrodes. Tentatively adsorption of GOD on the QD-electrodes is studied but does not result in a signal change after addition of glucose even at high concentrations. Besides this, the covalent coupling of the enzyme onto QDs with the bifunctional crosslinker Sulfo-GMBS (reacting with SH- and COOH-groups respectively) exhibit only small effect on the photocurrent. This is caused by the low number of immobilized GOD allowing still sufficient transport of oxygen to the QD surface.

Application of a covalently crosslinked GOD-network

In order to immobilize a higher GOD amount on the QD-electrode and thus to reach a high sensitivity the idea of a GOD-network in front of the electrode is followed⁵⁰. For this purpose the enzyme is covalently crosslinked with glutaraldehyde. The bifunctional reagent binds to the amino groups of the enzyme, thus each molecule can connect spatially adjacent enzymes. After immobilization, first the photocurrent is measured in buffer, then different concentrations of glucose are added and the change of the cathodic photocurrent is analysed. Fig. 4 shows the behavior of sensors prepared with different GOD concentrations during the crosslinking process. The figure illustrates the relative photocurrent change for different substrate concentrations. With the addition of low glucose concentrations, the photocurrent is

partially suppressed due to the consumption of oxygen. Higher glucose concentrations resulting in a higher conversion rate of the substrate, thus suppressing the photocurrent further. The sensors exhibit an increasing sensitivity with increasing GOD concentration during preparation of the enzyme network, e.g. the sensitivity increases by 24-times by application of 2mM GOD instead of 200 μ M.

[Figure 4]

The experiments prove not only, that the immobilization of GOD in a network is possible, but also the opportunity to adjust the density of GOD molecules in front of the sensor electrode. The photocurrent change and thus the sensitivity rises with higher GOD amount. Sensors with low density of GOD molecules do not enable complete signal suppression (i.e. the oxygen-dependent photocurrent) even at high glucose concentrations. In contrast sensors with a medium and high GOD concentration allow such a complete suppression. Here the overall photocurrent decreases up to 70 to 75% (at high glucose concentrations). With these experiments one can see that the signal suppression of the photocurrent exceeds the results of the measurements of QD-electrodes in argon-purged and air-saturated buffer for which a decrease of the photocurrent of about 50-60% is observed.

The overall photocurrent can be reestablished after exchanging the solution to glucose-free buffer, so that the signal regeneration of the system is also proved. However, it has to be mentioned here that with high GOD concentrations on the sensor surface the dynamic range of the sensor is rather limited and signal saturation is reached at low glucose concentrations. In addition, the results indicate that glutaraldehyde has an influence on the photocurrent generation. For the investigation of this effect of the immobilization reagent, QD-electrodes are incubated with glutaraldehyde only and measured in air saturated and argon purged buffer. In contrast to QD-electrodes without the treatment, the treated QD-electrodes show a higher relative photocurrent change. These results prove the influence of glutaraldehyde on the photocurrent and explain the high signal suppression of the sensors when glutaraldehyde is used as enzyme crosslinker. The mechanism of this interaction is not clear in detail, but the QDs are sensitive to changes in the surface chemistry which is caused by the reaction of the crosslinker here.

Preparation of [GOD/PAH]_n-multilayer assemblies

With the development of an enzyme-network a high amount of GOD can be immobilized on the QD-electrodes. This allows enhanced signals for the substrate detection. However, the arrangement of the enzyme is not well controlled and additionally the QD behavior is slightly changed by the crosslinker. In order to develop a more gentle and better defined immobilization the alternating deposition of GOD and positively charged poly(allylamine hydrochloride) (PAH) is used. The layer-by-layer assembly enables not only to prepare defined structures, but also a better control of the GOD amount. To study the conditions of the assembly of the oppositely charged components the deposition of each layer is analyzed by QCM measurements. This technique is well suited to evaluate the concentration of polyelectrolyte/protein-multilayers^{51,52,53}.

Thus, quartz crystals are modified with BDT and fixed in a flow cell. Alternating flush of GOD and PAH in 2mM sodium phosphate buffer pH 7 allows a successful assembly which is followed up to four bilayers. Figure 5A illustrates this. One can see a decreasing frequency with every deposition step. The negative charge density of the protein at neutral pH is obviously sufficient for a successful adsorption to the positively charged polyelectrolyte. This evidences that the deposition of multiple GOD layers through electrostatic interaction with PAH is possible. From the measurements one can also see that the amount of deposited GOD is rather constant for the different adsorption steps.

Figure 5

In further studies QD-electrodes are modified with the $[\text{GOD/PAH}]_n$ -multilayers and the behaviour of the oxygen dependent photocurrent is analyzed. Fig. 5B shows the results of sensors which differ in the number of $[\text{GOD/PAH}]_n$ -layers. The figure illustrates the relative photocurrent change in dependence on the glucose concentration. One can clearly see an enhanced signal with increasing numbers of GOD layers. The results demonstrate that GOD in the different layers is accessible and glucose can diffuse rather freely through the layers. This means also that glucose is not completely converted by the outer enzyme layer and also inner layers contribute to the signal generation (up to 4 layers). The density of GOD molecules in the multilayer architecture is high enough to ensure sufficient oxygen depletion although oxygen diffuses faster than glucose. This point of view is supported by comparing multilayer electrodes which have been prepared with the same number of PAH/GOD layers (4) but with a lower GOD concentration (400 μ M instead of 2mM). Here the photocurrent can only be suppressed to a value of about 60% (at a glucose concentration of 20mM) in

comparison to about 50% for electrodes prepared with 2mM. This also clearly decreases the sensitivity and thus the higher enzyme concentration is used for further studies on the layer number influence.

For electrodes prepared under this condition the suppression of the oxygen dependent photocurrent by addition of glucose is increasing with assemblies up to 4 layers of GOD. The deposition of additional layers is not further enhancing the sensitivity between 100 μ M and 5mM glucose. Also the maximum change in photocurrent at higher glucose concentrations (>10mM) cannot be further increased. It is about 50% for 10mM glucose. These results and the measurements in argon-purged buffer showing that about 50% of the photocurrent is oxygen independent (at pH 7) clearly demonstrate that the GOD multilayers can suppress the whole oxygen-dependent photocurrent, when the whole activity is used i.e. at high substrate concentrations. The experiments show the potential of this system for the detection of glucose with a dynamic range between 100 μ M and 5mM. Because of the immobilized state of the enzyme reaction the read out of the system can be performed spatially resolved by illuminating the respective area. The resolution depends both on the immobilization technique applied and the optical system used for photoexcitation. The response behaviour of the whole electrode is characterized by a rather fast reaction to glucose concentration changes; already 10s after addition a stable photocurrent is obtained. This is illustrated in the inset of Fig. 5B, where after the first light pulse the glucose concentration is changed and 3 photocurrent measurements are performed.

Conclusions

A CdSe/ZnS-modified electrode is prepared and the cathodic photocurrent during illumination is analyzed in the presence and absence of oxygen. After a few light pulses a stable photocurrent can be detected. These basic experiments verify a light-triggered oxygen reduction at negative electrode potentials. The results also show a dependency of the photocurrent on the pH value with preferred reaction at basic and neutral pH.

The oxygen-sensitive electrode is used for the analysis of the GOD reaction in solution. Due to the consumption of oxygen, the signal is suppressed. Thus different enzyme activities can be evaluated

For the construction of a photoelectrochemical biosensor, different immobilization strategies are used. Glutaraldehyde is applied for the construction of a covalently crosslinked GOD-network in front of the QD-electrode. Photocurrent measurements allow a sensitive glucose detection starting from μ -molar concentrations. The sensitivity is increasing with increasing

GOD concentration used for the crosslinking. However, glutaraldehyde is influencing the sensitivity of the QDs and reproducibility in preparation is moderate.

The alternating assembly of negatively charged GOD and a positively charged polyelectrolyte enables the development of a multilayer system through electrostatic interaction. QCM measurements demonstrate a constant amount of deposited GOD for each layer. Photocurrent measurements with varying glucose concentrations evidence the accessibility of GOD within the layers and show increasing response with increasing layer number. A [GOD/PAH]₄-multilayer electrode uses the full range of the oxygen dependent photocurrent. With a short response time and a dynamic range between 100 μ M and 5mM glucose this system is a useful biosensor for glucose. The investigations demonstrate the feasibility of the concept of a light-triggered read out of a sensor surface based on a QD-layer and a coupled enzyme architecture.

Acknowledgement:

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Fig. 1

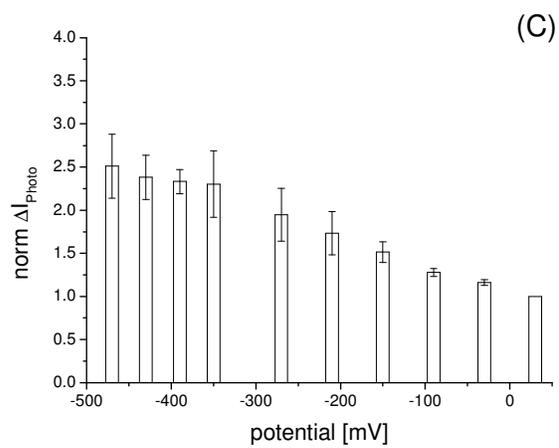
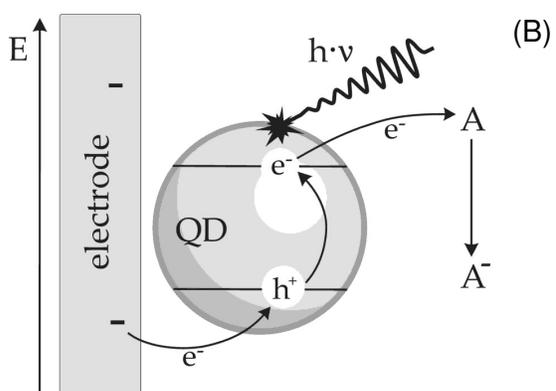
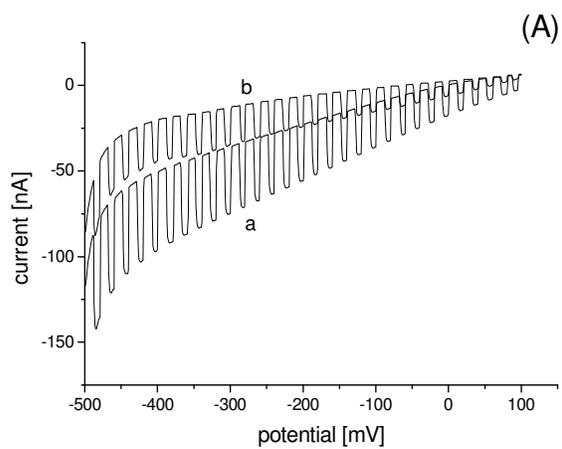


Fig. 2

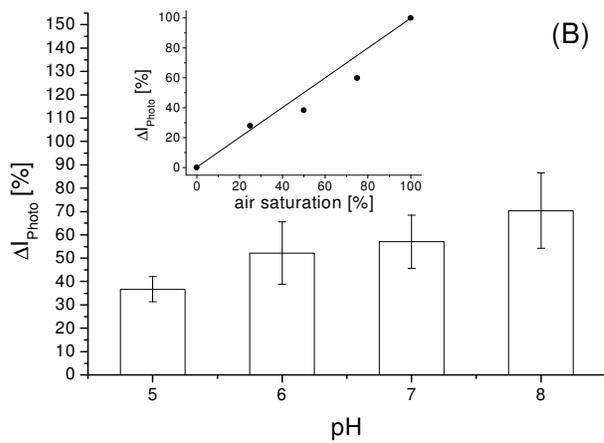
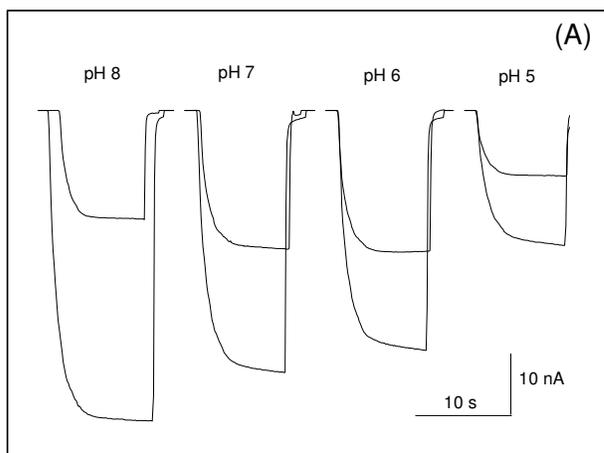


Fig. 3

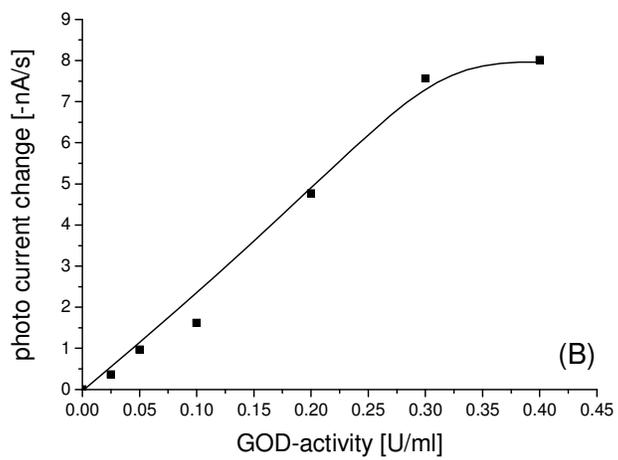
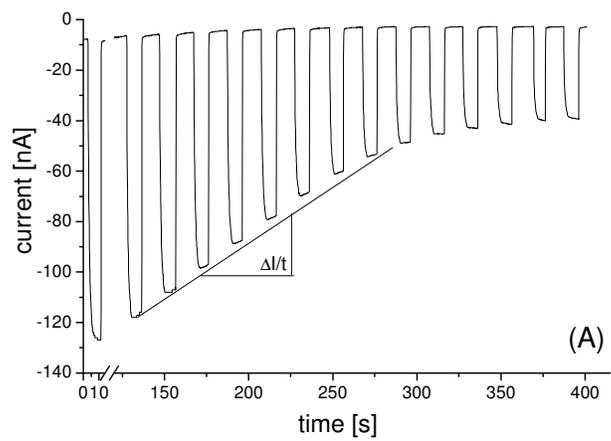


Fig.4

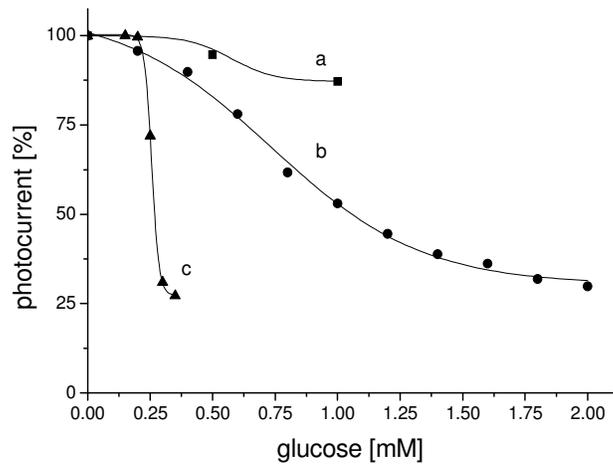
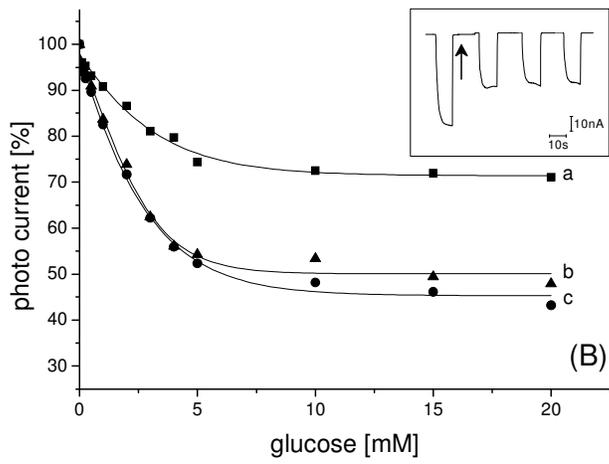
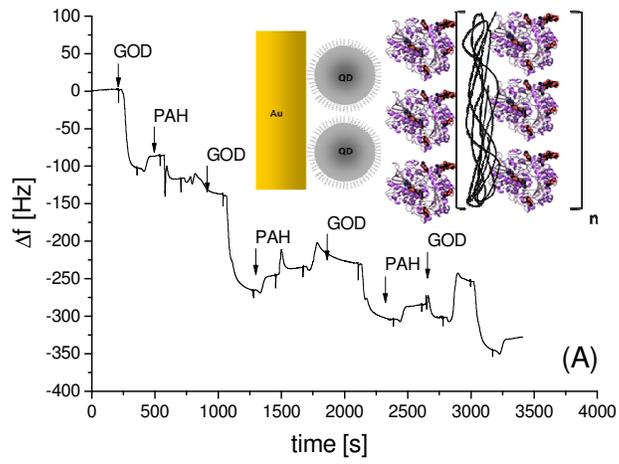


Fig. 5



Captions

Fig. 2. (A) LS-Voltammogramm of a Au-[QD-BDT] electrode in argon-purged buffer (a) and in air-saturated buffer (b) (100mM HEPES; pH 8; 1mV/s; between -500 and $+100$ mV vs. Ag/AgCl, 1M KCl); (B) Normalized photocurrent differences in the absence and presence of oxygen (ΔI_{Photo}) in dependence of the oxygen concentration (error bars results from 4 independent sensor electrodes, normalization to the current change at $+30$ mV vs. Ag/AgCl); (C) Illustration of the electron transfer steps after illumination of the QD-electrode.

Fig. 3. (A) Photocurrent measurements of Au-[QD-BDT] electrodes at constant potential in argon-purged buffer (a) and air- saturated buffer (b) in dependence on solution pH (100mM HEPES; $t_L= 10$ s; $E= -350$ mV vs. Ag/AgCl, 1M KCl); (B) Relative signal change after removing O_2 from the air-saturated solution at different pH-values (error bars results from 4 independent sensor electrodes); Inset: Dependency of the photocurrent change on the oxygen amount in solution (relative to air saturation) at physiological pH (100mM HEPES; pH 7)

Fig. 3. (A) Photocurrent measurement of a Au-[QD-BDT] electrode in 30mM glucose and after addition of GOD (100mM HEPES; pH 6.8; $t_L= 10$ s; $E= -350$ mV vs. Ag/AgCl, 1M KCl); (B) Rate of the oxygen-dependent photocurrent change for different GOD-activities.

Fig. 4. Suppression of the photocurrent of a Au-[QD-BDT] electrode with a cross-linked GOD-network prepared with different enzyme concentrations in dependence on glucose concentration; (a) 200 μ M GOD; (b) 400 μ M GOD; (c) 2mM GOD (100mM HEPES; pH 6.8; $t_L= 10$ s; $E= -350$ mV vs. Ag/AgCl, 1M KCl).

Fig. 5. (A) Mass sensitive analysis of [GOD/PAH]_n-multilayer formation on BDT-modified quartz chips in 2mM sodium phosphate buffer (flow rate 40 μ l/min) (right); Inset: Schematic illustration of the multilayer assembly of [GOD/PAH]_n on a BDT-modified gold electrode of a quartz crystal. (B) Relative change of the photocurrent of Au-[QD-BDT] electrodes with immobilized [GOD/PAH]_n-layers with increasing glucose concentration, (a) [GOD/PAH]₂, (b) [GOD/PAH]₄, (c) [GOD/PAH]₆ (100mM HEPES pH 6.8; $t_L= 10$ s; $E= -350$ mV vs. Ag/AgCl, 1M KCl); Inset: Photocurrent behaviour of an electrode with 4 bilayers [GOD/PAH]₄ in air saturated buffer without glucose (light pulse 1) and after addition of 4mM glucose (light pulses 2-4, the arrow marks the addition of glucose).

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Immobilization of quantum dots via different self assembled monolayers and their application as a light-controlled sensor for the detection of hydrogen peroxide

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Abstract

A light-addressable gold electrode modified with CdS and FePt or with CdS@FePt nanoparticles via an interfacial dithiol layer is presented. XPS measurements reveal *trans*-stilbenedithiol as good choice for high quality self assembled monolayers, in case they are formed at elevated temperatures. Presence of FePt serves as catalytic sites for the reduction of hydrogen peroxide to water. Thus the use of hybrid nanoparticle layers allows for hydrogen peroxide detection.

Introduction

Most commonly spatial resolution in electrochemical sensors is achieved by structured surfaces, e.g. arrays of electrodes. One alternative is addressing individual points on an unstructured electrode by light. The concept of such light-addressable electrodes has been introduced already decades ago [1-3]. Hereby illumination of selected spots on the electrode surface generates a local photocurrent and thus by scanning a light pointer across the sensor surface different positions can be addressed, i.e. spatially resolved measurements are possible [4], cf. Figure 1a. Traditionally such light-addressable sensors have been designed as microfabricated silicon chips, typically in doped silicon - silicon oxide / silicon nitride geometry. Essential is a semiconductor layer in which light-generated electron-hole pairs can be generated as local charge carriers with the light pointer. In the last year attempts have been reported to create similar set-ups, however by placing a layer of semiconductor nanoparticles (quantum dots, QDs) on the surface of gold electrodes via conductive dithiols a linker [5, 6]. Such geometry has potentially several advantages. First QD layers can be easily deposited on gold surfaces and no microfabrication facilities are required. Second, due to the small size of the QDs in principle better spatial resolution could be obtained. Third, besides semiconductor nanoparticles (QDs) also other types of nanoparticles (NPs) could be added on top of the gold electrode, which could for example specifically trigger catalytic reactions. Functionality of such QD modified gold electrodes as electrochemical sensors has been demonstrated before and also applied to the detection of enzymatic reactions [7, 8]. Though attempts have been made to quantitatively optimize the QD layer on the gold surface and thus to improve sensor performance [9], still a conclusive picture of the structure of this interfacial layer is missing. Though in most images such NP layers are depicted as homogeneous self assemble monolayer (SAM), so far no detailed data exist which would support such statement about the geometry. In this work the importance of this junction is systematically studied for the case of different SAMs of dithiols on top of different electrode substrates in order to emphasize the importance of defined structures on device performance and to understand and optimize such sensors. This has to be also seen in the more general context of investigating the structure of NP layers on top of plane surfaces. In addition this work demonstrates the ability of making NP layers of different materials. Besides semiconducting NPs, which serve as switch for light-controlled detection, also other NPs can be used, which for example locally catalyze reactions at the electrode surface. In the present case we demonstrate detection of H_2O_2 via catalytic degradation at the surface of FePt NPs.

Results and discussion

Self assembled monolayer (SAMs) of several dithiols (benzenedithiol (BDT), biphenyldithiol (BPDT), biphenyldithiol monoacetylated (BPDTAc-1), trans-stilbenedithiol (StDT), and trans-stilbenedithiol monoacetylated (StDTAc-1), cf. Figure 1b) were formed on 3 types of Au substrates (Au evaporated on glass substrates with an intermediate TiO_2 layer, Au on mica, and polycrystalline gold on SiO_2 , cf. Figure 1c) by immersing the substrates in the dithiol solutions. Dithiols potentially might bind with both thiol groups to gold surface. For this reason monoacetylation was performed, in order to protect one of the thiol groups of the dithiols, so that only the free thiol can react with the gold surface. The structure of the SAMs (on top of Au@SiO_2 substrates) was investigated with X-ray photoelectron emission spectroscopy (XPS). For BDT on polycrystalline gold on SiO_2 (which has been used in our previous studies for assembly of NPs on top of Au substrates), a wide XPS signal was observed in the S 2p region ($E_{\text{ex}}=650$ eV, cf. Figure SI-II.2a), which could not be resolved for identification of thiolates or thiols. This monolayer therefore had clearly undergone oxidation of thiolates. The XPS result clearly demonstrate poor SAM quality, of low coverage and high density of defects. For BPDT SAMs on Au@SiO_2 the S 2p spectrum as taken at $E_{\text{ex}}=350$ eV

shows a fit of three doublets referring to three chemical species of sulfur (data cf. SI Figure SI-II.2a). In general, the peak of higher intensity corresponds to the S 2p_{3/2} and the one of less intensity to the S 2p_{1/2} signal with an intensity ratio of 2:1. The major peaks were detected at 162 eV and 163.4 eV and were assigned to thiolates (R–S–Au) and thiols (R–S–H), respectively. As the thiolate species dominates the thiol, molecules in this case were lying on the gold surface and partially had formed dithiolates. The SAMs in this case were not well-ordered and the exposed thiolates could be rapidly oxidized (by O₂ under UV light) to sulfonates located at binding energies of 165.2 eV and 168.5 eV. Atomic sulfur, also denoted as sulfide (S–Au), could be clearly detected at 161.2 eV and evidenced a contaminated gold surface and damaged SAMs by X-ray radiation. By capping one S–H group of BPDT by an acetate group, the anchoring of the remaining thiol was privileged, exhibiting higher affinity to gold than the acetate group, and, hence, yielded an improved quality of SAMs (cf. Figure SI-2b). The thiol signal at 163.4 eV (red) of distinctly higher intensity than thiolates at 162 eV (green) substantiated an exposed thiol group and a shielded thiolate group, thus indicating well-defined upright oriented SAMs. Atomic sulfur at 161 eV and oxidized sulfur species at binding energies higher than 165 eV were consequently attenuated. After decapping the end group, interestingly no or vanishingly little contamination or further damage of the thiolates was observed (cf. Figure SI-2c) and a remarkable thiol signal at 163.5 eV accompanied by a rather low intensity of the thiolate peak at 162.1 eV was assessed. This enhanced packing of the decapped biphenyldithiols on Au/SiO₂ can be explained by the less steric end group (–S–C(O)–CH₃ vs. –S–H) aiding in more densely packed SAMs than the capped one being upright standing as well. At this point, the capping resulted in well-ordered BPDT monolayers; however, the band gap of the SAM junction is crucial for charge transfer from QDs through the organic layer to the gold electrode. Such biphenyl molecules possess a band gap of 4.1 eV at 4.2 K. For device applications a different class of dithiols of much lower gap has been employed, called stilbenes. A stilbene molecule exhibits a gap of 2.14 eV at T = 77 K. Therefore, we have investigated also stilbenedithiols on the same electrodes (Au@SiO₂) with XPS. In Figure 2a, the deposited stilbenedithiols at room temperature show a similar spectrum as in the case of BPDT (cf. Figure SI-II.2a). Three species of reduced sulfur were identified: atomic sulfur at 161.2 eV in blue, thiolate (R–S–Au) at 162.1 eV in green and thiol (R–S–H) at 163.4 eV in red. Hence, oxidation of sulfur in form of sulfonates was existent as well (in gray, binding energy 165.5 eV and 168.2 eV). This monolayer possessed many defects and more likely a lying phase had been formed on the gold electrode. Its noteworthy, that the thiolate signal was higher than the thiol peak, implying a dominant binding of both thiols of one molecule on gold, thus inducing a lying phase on such monolayers. When one thiol group prior to SAM formation had been protected by an acetate group, their thiolates on Au/SiO₂ revealed improved self-assembly with less damaged SAMs and other impurities (cf. Figure 2b, blue peak at 161.2 eV and gray peaks at 165.5 eV and 168 eV). These features were significantly less after deprotecting the sulfur end group (cf. Figure 2c). It's also noteworthy, that the green peak representing the thiolate S 2p XP signal in the decapped stilbene dithiols was attenuated, elucidating a more upright orientation, and hence, thicker organic film, as in the case of BPDTAc-1 and decapped BPDT (cf. Figures SI-II.2b,c). For best device application, the fraction of impurities, which behave as charge traps, and densely packed SAMs is desired. In recent studies, annealing thiolate samples in nitrogen at elevated temperatures on Au(111) (75 °C) has shown significant refinement of SAM formation [10];[11]. In this work, this has been applied for StDT on Au@SiO₂, where samples in thiol solution at 348 K have been prepared. Surprisingly, the S 2p XP signal demonstrated a prominent improvement in the monolayer. Negligible impurities and well-defined SAMs had been formed. Moreover, the XPS signal ratio of thiol S 2p_{3/2} to Au 4f_{7/2} in Figures 2c and 2d was around 1:4.7 and 1:3.1, respectively, giving rise to a more densely packed molecular film in tempered thiol solution compared to room temperature preparation. The fact that the thiol to thiolate ratio is lower in the case of room temperature StDT/Au@SiO₂ (3:1, whereas 5:1 for hot substrates) as illustrated in Figures 2c and 2d indicates a lower attenuation of thiolate

photoelectrons, and hence, a greater tilt angle of such SAMs. For preferential molecular orientation on gold surface, NEXAFS (near edge x-ray absorption fine spectroscopy) for three different incident angles (30°, 55° and 90°) had been executed (cf. Figures 2e, 2f). The densely packed StDT/Au@SiO₂ film (as assembled at 348 K) exhibited a preferential orientation of 62° to the sample plane with long range ordering as depicted in Figure 2f. In summary XPS measurements demonstrate low quality of BDT, BPDT, and StDT SAMs. Quality can be improved by protection of one thiol before SAM formation, as presented in the case of BPDtAc1 and StDtAc1. However, high quality SAMs can also be obtain in the case of SAM formation at elevated temperatures (348 K), as demonstrated in the case of StDT, which avoids the necessity of thiol protection. These results indicate that formation of high quality SAMs can't be taken for granted and needs to be experimentally verified.

In the next step we wanted to investigate the influence of the SAMs on the electrical properties of the light-addressable sensor. For this purpose CdS QDs were immobilized on top of the SAM covered gold electrodes. The modulated light source was periodically switched on and off and during on-periods the photocurrent I at fixed bias potential $U = +200\text{mV}$ was recorded in 0.1 M phosphate buffer solution versus time. Four parameters were extracted from each photocurrent trace $I(t)$ as previously described [9] and depicted in Figure 1d: the average photocurrent I_{mean} , the drift current $I_{\text{drift}} = (\Delta I/I_{\text{mean}})\Delta t$, the signal-to-noise ratio $I_{\text{mean}}/I_{\text{noise}}$, and the loss in photocurrent amplitude after rinsing of the the sensor surface $(I_0 - I_2)/I_0$. The results are enlisted in Table 1. In all cases electrical performance of the sensor was found to be best for Au@SiO₂ substrates, and with one exeption Au@glass substrates lead to better performance than Au@mica. Electrical performance depended directly on the quality of the SAMs, as this is also crucial for the attachmend of the QD layer. XPS data have demonstrated rather defective SAMS in the case of BDT and BPDT. This goes hand in hand with poor sensor performance, i.e. low signal-to-noise ratio, high reduction of the photocurrent after rinsing. SAM creation with capped dithiols resulted (after decapping) in clearly improved electrical characteristics (BPDtAc-1 versus BPDT, and StDtAc-1 versus StDT). StDtAc-1 gave improved photocurrent measurements compared to BPDtAc-1, which can be attributed to the smaller band gap. Best electrical performace was achieved with SAMs of StDT created at elevated temperatures (on Au@SiO₂ substrates). This corresponds to the SAMs with fewest defects as indicated by XPS data.

In a final step we wanted to translate the better understanding of the QD layer - Au electrode interface to improved sensing characteristics. Hereby we also wanted to make use of the possibility of attaching different types of colloidal NPs. We chose FePt NPs as catalytic element. FePt surfaces can catalyze reduction of H₂O₂ to H₂O ($\text{H}_2\text{O}_2 + 2\text{H}^+ + 2\text{e}^- \rightarrow 2\text{H}_2\text{O}$) Addition of FePt NPs to the CdS NPs on the Au surface should thus facilitate sensing of H₂O₂. In fact, at bias voltage $U = -200\text{ mV}$ and buffer solution of $\text{pH} = 7.5$ no response of the photocurrent on H₂O₂ was found in case only CdS NPs were attached on top of the Au electrode surface (cf. Figure SI-III). Consequently, electrode surfaces with only FePt NPs on the Au electrode surface did not lead to any photocurrent, as no light-controllable element was present (cf. Figure SI-III). However, as expected, combination of CdS and FePt NPs on the Au electrode surface lead to a photocurrent of which the amplitude depended on the H₂O₂ concentration in the buffer above the electrode, cf. Figure 3. Data about dependence on bias voltage and pH are shown in Figures SI-III. As mentioned about reduction of H₂O₂ involves H⁺ and e⁻, which account for dependence on pH and bias potential, respectively. For combining CdS and FePt NPs we have actually employed 3 different geometries, cf. Figure 3. In the first case (Figure 3a) CdS NPs were attached on top of BDT SAMs on Au@glass substrates, which is compatible to conditions in our previous reports [9]. FePt NPs were added on top via an additional BDT layer. Please note that images are not drawn to scale and that, according to the XPS data, the structure is depicted highly idealized. Clearly dependence of the photocurrent on H₂O₂ concentration (up to ca. 100 μM) could be observed. Modern

synthesis protocols also allow for the production of hybrid NPs, such as CdS NPs grown on top of FePt NPs [12, 13]. In this way combination of two materials with different functionalities in one particle is possible. In Figure 3b the sensor response of CdS@FePt NPs immobilized via BDT on top of Au@glass electrodes is shown. In comparison to the mixed assembly of CdS and FePt NPs (Figure 3a) a 2-3 times higher response to H₂O₂ could be observed. This can be easily understood. Upon reduction of H₂O₂ electrons need to be transferred from the FePt NPs (the location where the reduction takes place) to H₂O. Source of the electrons are the CdS NPs, which in turn receive electrons from the Au electrode via the conductive dithiol SAM. Effectively electrons are injected from the Au electrode for reduction of H₂O₂, which results in a photocurrent with negative sign (cf. Figure 1a and Figure 3). In the case of CdS@FePt NPs electrons can flow directly from the CdS to the FePt domain without having to cross an external interface. In case of co-assembly of CdS and FePt NPs electrons need to be transferred from the CdS NPs to the FePt NPs via BDT molecules, which reduces the intensity of the photocurrent. As pointed out in the XPS characterization of the SAMs and in the electrical characterization best performance would be expected using StDT as linker and Au@SiO₂ as substrate. However, the dose-reponse curve of the photocurrent versus H₂O₂ concentration did not change to the geometry with BDT on top of Au@glass (cf. Figures 3b and 3c). Having a closer look at the photocurrent measurement at low H₂O₂ reveals that the error bars in photocurrent are lower in the case of StDT on top of BDT, which can be understood by the better signal to noise ratio. Consequently StDT monolayers on top of Au@SiO₂ substrates would allow for a lower H₂O₂ concentration as detection limit.

Discussion:

We have demonstrated that the quality of the SAMs interfacing the QD layer with the gold electrode has a direct influence on the electrical properties of the sensor. Quality of SAMs can be improved either by first capping one of the thiols, or by immobilization at elevated temperatures, and by careful selection of the molecule towards electrical properties such as the intrinsic bandgap. Still the structure of the actual NP layer on top of the SAM needs to be investigated in further studies. We furthermore have demonstrated that inclusion of other types of NPs facilitates new detection modalities. In the present case FePt NPs, either co-immobilized with the CdS NPs or CdS@FePt hybrid NPs have been used as catalytic sites for the detection of H₂O₂. This can be the basis of a set of biosensors involving enzymes with H₂O₂ as product.

Materials and Methods:

CdS [14], FePt [13, 15], and CdS@FePt [12, 13]NPs have been synthesized according to previously published protocols. Au@mica substrates were based on mica sheets. Au@glass substrates were based on TiO₂ evaporated on glass slides. Au@SiO₂ substrates were based on silicon wafers as purchased from *Silchem*. Wafers were rinsed thoroughly with acetone and 2-propanol and dried in a nitrogen stream. The clean substrates were placed in a POLARON sputter-coater and coated with 14 nm Au from a Au plate under argon atmosphere of $p=8 \cdot 10^{-2}$ mbar. SAMs of dithiols on top of the substrates were prepared by immersing the substrates in thiol solution for 24 h, subsequent thoroughly rinsing with dichloromethane, and drying in a nitrogen stream. If not specified otherwise, the substrates were immersed at room temperature. The dithiols were dissolved at a concentration of 100 nM in dichloromethane or toluene. Synthesis and decapping of BPDT-Ac1 thiolates on Au has been reported elsewhere [16], as has the synthesis and decapping of StDTAc-1 [16]. XPS (x-ray photoelectron spectroscopy) data were carried out at the HESGM synchrotron beamline of

BESSY II in Berlin at several photon energies / excitation energies (E_{ex}) in the range 350–700 eV at a base pressure $p \leq 1 \cdot 10^{-9}$ mbar. The XP spectra were reported as a function of the binding energy and were cross-calibrated with the Au 4f_{7/2} binding energy at a peak of 84.0 eV. Electrical characterization of the NP covered electrodes was performed as previously described elsewhere [9]. Dose-response curves of the dependence of the photocurrent from hydrogen peroxide were recorded. All experimental protocols and several characterizations measurements are described in detail in the Supporting Information.

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Figures

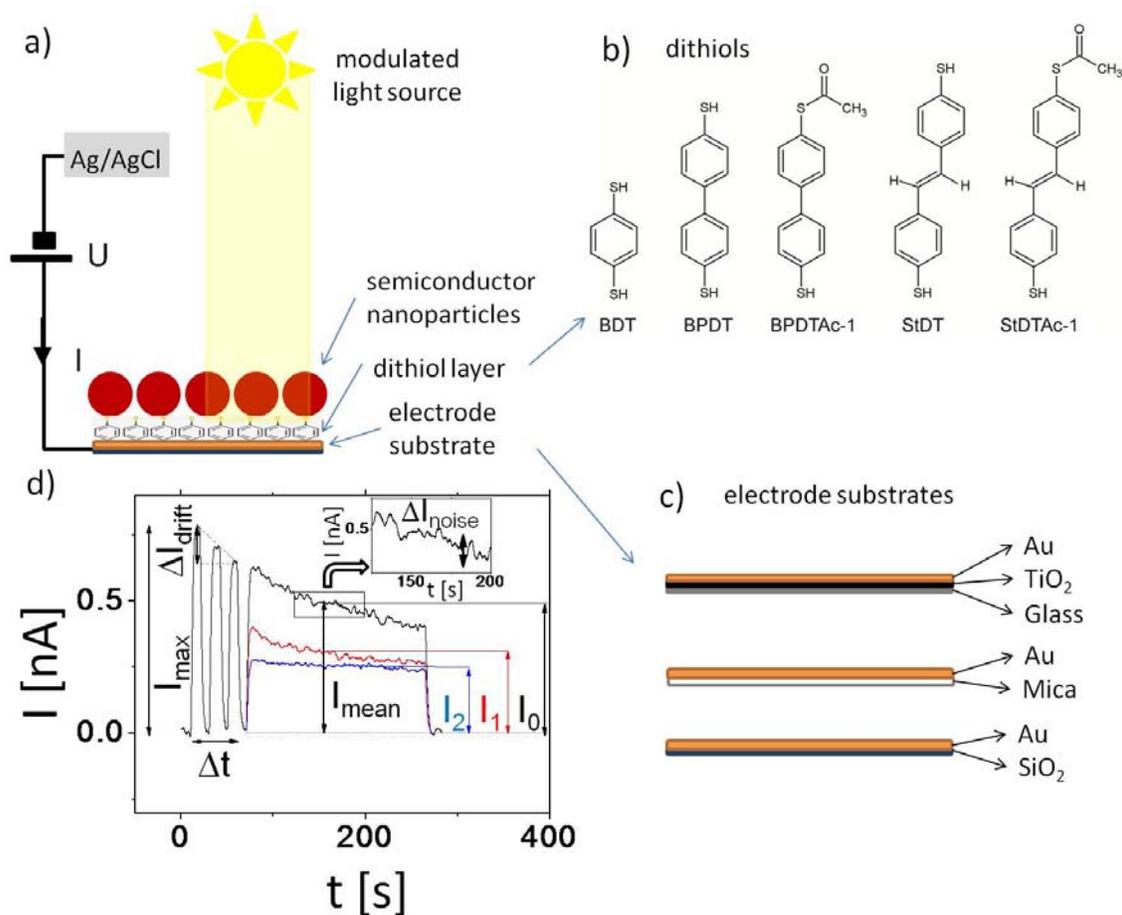


Figure 1. a) Set-up of the light controlled electrode comprising a NP layer on top of a gold electrode with an interfacial dithiol layer, an applied bias voltage, and a modulated light source. b) Schematic illustration of the different dithiols: benzenedithiol (BDT), biphenyldithiol (BPDT), biphenyldithiol monoacetylated (BPDTAc-1), *trans*-stilbenedithiol (StDT), and *trans*-stilbenedithiol monoacetylated (StDTAc-1). c) Schematic illustration of the geometry of the different used gold substrates: Au@glass, Au@mica, and Au@SiO₂. d) Photocurrent I recorded over time at fixed bias potential $U = -200$ mV. Within the first $\Delta t = 60$ s the modulated light source was three times switched on and off. Afterwards the light source was switched on for around 200 s. From the traces the following parameters were extracted: the maximum photocurrent at the beginning I_{\max} , the loss in photocurrent ΔI_{drift} during the period Δt in which the light source had been switched on and off 3 times, the mean photocurrent I_{mean} as recorded during the cycle in which the light was switched on, and the noise ΔI_{noise} during the same period.

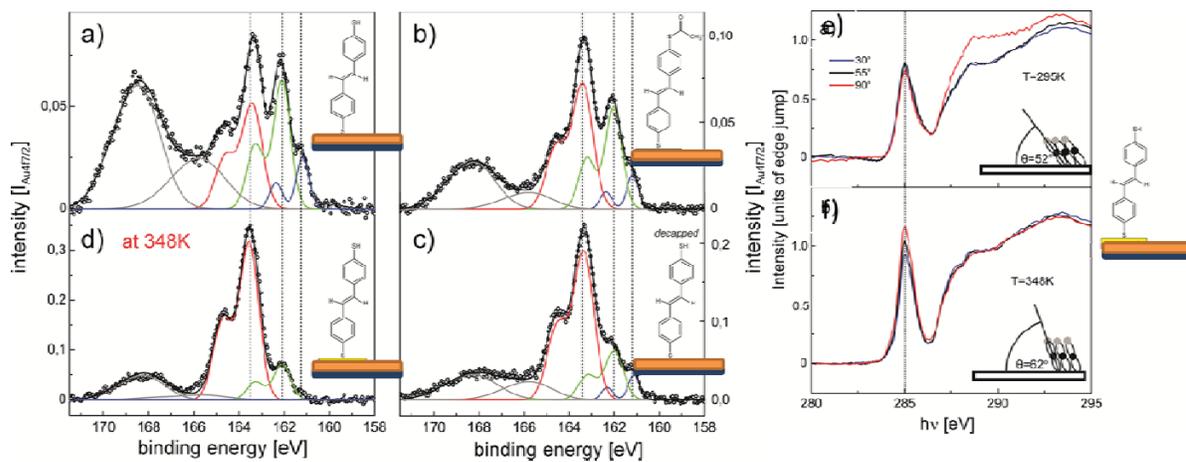


Figure 2. a-d) S 2p XP spectra at $E_{ex}=350$ eV of the following SAMs on Au/SiO₂: a) StDT; b) capped StDT (or StDTAc-1); c) decapped StDTAc-1; d) StDT prepared in thiol solution at 348 K (hot StDT). The emission intensity I_{em} is plotted versus the binding energy E_{bind} . e-f) NEXAFS at C1s edge of e) StDT at room temperature; f) StDT at 348 K. The intensity I is plotted versus the $h\nu$.

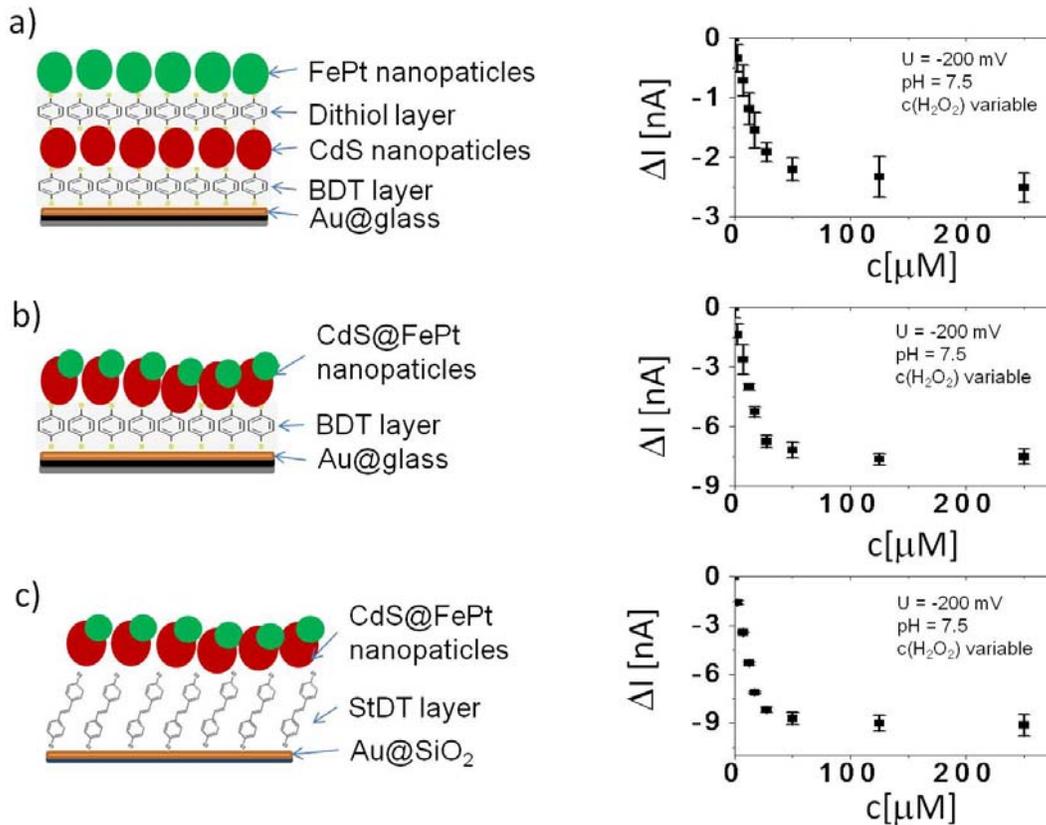


Figure 3: Layers of CdS and FePt NPs (a) and CdS@FePt NPs (b, c) were immobilized via dithiol SAMSI (BTD (a, b), (StDT (heated) (c)) on top of Au electrodes. Electrodes were constantly illuminated with a modulated light source and the amplitude I of the photocurrent was detected at fixed applied bias voltage $U = -200$ mV. Different concentrations of H_2O_2 were added to the buffer solution ($pH = 7.5$) on top of the electrode. Changes in photocurrent due to addition of H_2O_2 are plotted versus the H_2O_2 concentration for the 3 depicted geometries.

Tables

dithiol molecule	substrate	I_{mean} [nA]	$I_{\text{drift}} = (\Delta I / I_{\text{mean}}) / \Delta t$ [s^{-1}]	$I_{\text{mean}} / I_{\text{noise}}$	$(I_0 - I_2) / I_0$ [%]
BDT	Au@mica	0.27 ± 0.034	$5.1 \times 10^{-2} \pm 1.8 \times 10^{-2}$	5.68 ± 0.98	68.1 ± 2
	Au@glass	0.67 ± 0.15	$2.5 \times 10^{-2} \pm 3.5 \times 10^{-3}$	17.25 ± 2.54	52.3 ± 2.34
	Au@SiO ₂	1.56 ± 0.3	$4.84 \times 10^{-4} \pm 2.22 \times 10^{-4}$	55 ± 8	30.5 ± 4.43
BPDT	Au@mica	0.065 ± 0.019	$4.8 \times 10^{-2} \pm 1.2 \times 10^{-2}$	3.52 ± 1.08	100
	Au@glass	0.00 [§]	-	-	-
	Au@SiO ₂	0.62 ± 0.095	$3.8 \times 10^{-4} \pm 2.4 \times 10^{-4}$	10.37 ± 1.71	32.1 ± 0.68
BPDTAc-1*	Au@mica	0.11 ± 0.02	$6.8 \times 10^{-2} \pm 6.2 \times 10^{-3}$	15.54 ± 1.6	47.3 ± 1.78
	Au@glass	0.3 ± 0.07	$3.4 \times 10^{-2} \pm 5.6 \times 10^{-3}$	26.7 ± 6.86	26.5 ± 4.85
	Au@SiO ₂	1.76	1.3×10^{-4}	47.62	22.2
StDt	Au@mica	$0.37 \pm .066$	$2.5 \times 10^{-2} \pm 7 \times 10^{-3}$	20.25 ± 5.63	35.6 ± 5.3
	Au@glass	1.08 ± 0.11	$4.1 \times 10^{-2} \pm 8.1 \times 10^{-3}$	39.99 ± 6.2	21.7 ± 5.2
	Au@SiO ₂	1.57 ± 0.14	$2.9 \times 10^{-4} \pm 1.3 \times 10^{-4}$	48.9 ± 6.16	12.4 ± 1.11
StDtAc-1*	Au@mica	0.51 ± 0.12	$1.9 \times 10^{-2} \pm 7.6 \times 10^{-3}$	13.84 ± 3.14	46.6 ± 4.1
	Au@glass	1.45 ± 0.45	$1.3 \times 10^{-2} \pm 3.7 \times 10^{-3}$	38.46 ± 12.6	30.3 ± 2.3
	Au@SiO ₂	2.51 ± 0.135	$1.7 \times 10^{-4} \pm 1.35 \times 10^{-5}$	137 ± 4.95	4.42 ± 0.64
StDt (heated)	Au@SiO ₂	8.84 ± 0.5	$8.4 \times 10^{-5} \pm 4.8 \times 10^{-6}$	262.8 ± 15.2	1.01 ± 0.06

Table 1: Electrical characterization of CdS NPs on top of SAMs of different dithiol molecules on top of different electrode substrates. The definition of the parameters is given in Figure 1d. All measurements have been replicated with 2 different electrodes and data represent mean values with the corresponding standard deviation. *The capping had been removed after assembly of the dithiol SAM, before attachment of the QDs.

[§]The photocurrent was below the detection limit.

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